

LIFE, AND ACCIDENT AND HEALTH COMPANIES/FRATERNAL BENEFIT SOCIETIES - ASSOCIATION EDITION

ANNUAL STATEMENT

FOR THE YEAR ENDED DECEMBER 31, 2020 OF THE CONDITION AND AFFAIRS OF THE

First Symetra National Life Insurance Company of New York

NAIC Group Code 4855 1129 NAIC Company Code 78417 Employer's ID Number 91-1367496

Organized under the Laws of	(Current) (P	rior)	, State of Domicile or Port of E	ntry NY
Country of Domicile			tes of America	
Licensed as business type:	Life	, Accident and Health [Х	[] Fraternal Benefit Societies	
Incorporated/Organized	04/23/1987		Commenced Business	01/02/1990
Statutory Home Office	420 Lexington Ave.,	Suite 300	,	New York, NY, US 10170
	(Street and Nur	mber)	(City o	r Town, State, Country and Zip Code)
Main Administrative Office			n Ave., Suite 300 and Number)	
	ew York, NY, US 10170 vn. State, Country and Zip Co			425-256-8000
` ,		ode)	()	Area Code) (Telephone Number)
Mail Address	P.O.Box 34690 (Street and Number or P.C	D. Box)	(City o	Seattle, WA, US 98124-1690 or Town, State, Country and Zip Code)
Primary Location of Books and Re	cords		on Ave., Suite 300	
Ne	ew York, NY, US 10170	(Street	and Number) ,	425-256-8000
(City or Tov	vn, State, Country and Zip Co	ode)	(A	Area Code) (Telephone Number)
Internet Website Address		www.s	ymetra.com	
Statutory Statement Contact		neen Khalighi Name)	,	425-256-8557 (Area Code) (Telephone Number)
krist	in.khalighi@symetra.com (E-mail Address)	turne,		425-256-5818 (FAX Number)
		OF	FICERS	
President	Margaret Alice			Colleen Mary Murphy
Secretary	Jacqueline Marie	Veneziani	Chief Financial Officer _	
Chantel Lee Balkovetz #, Exe Joel Carmine Kneisley, Se Wesley Warren Severin #, Ex	nior Vice President	Anne-Marie Diou Harry Salvatore Monti	THER f, Senior Vice President Ir. #, Executive Vice President , Executive Vice President	Mark Edward Hunt, Executive Vice President Trinity Elizabeth Parker, Senior Vice President
			OR TRUSTEES	
Tommie David Sarah Margaret Ro	offey Jewell	Mune	r Morton Levy o Sasagawa	Margaret Alice Meister Jon Scott Stenberg
Jacqueline Marie	Veneziani	Robert	George Wolfe	
State of	Washington	— ss:		
County of	King			
all of the herein described assets statement, together with related excondition and affairs of the said re in accordance with the NAIC Annrules or regulations require differespectively. Furthermore, the sc exact copy (except for formatting of to the enclosed statement.	were the absolute property chibits, schedules and explar porting entity as of the report all Statement Instructions arences in reporting not rela- ope of this attestation by the	of the said reporting en lations therein contained ing period stated above, and Accounting Practices sted to accounting prac- described officers also filing) of the enclosed st	tity, free and clear from any lient, annexed or referred to, is a full and of its income and deductions and Procedures manual except tices and procedures, according includes the related corresponding	corting entity, and that on the reporting period stated above, s or claims thereon, except as herein stated, and that this and true statement of all the assets and liabilities and of the s therefrom for the period ended, and have been completed to the extent that: (1) state law may differ; or, (2) that state g to the best of their information, knowledge and belief, ng electronic filing with the NAIC, when required, that is an y be requested by various regulators in lieu of or in addition
DocuSigned by: Margaret Meister		Docusigned by:		Colleen Murphy
13537035E52941E Margaret Alice Meis President	ter		David Brooks nancial Officer	Colleen Mary Murphy Treasurer
Subscribed and sworn to before m 5th day of Sophie Hellman My commission expires: 08/14/202	April,		3. Number of pages	nent number ²
Further, this notarial act involved to	ne use or electronic technolo	STATION OF THE PROPERTY OF THE	NOTARY PUBLIC NOS-14-202 WASHING	

ASSETS

			Prior Year		
		1 Assets	2 Nonadmitted Assets	3 Net Admitted Assets (Cols. 1 - 2)	4 Net Admitted Assets
1.	Bonds (Schedule D)	2,501,826,587		2,501,826,587	2,322,123,058
	Stocks (Schedule D):				
	2.1 Preferred stocks				
	2.2 Common stocks				
3.	Mortgage loans on real estate (Schedule B):				
	3.1 First liens	494 . 643 . 128		494 . 643 . 128	346.778.992
	3.2 Other than first liens.				
4.	Real estate (Schedule A):				
	4.1 Properties occupied by the company (less \$				
	encumbrances)				
	4.2 Properties held for the production of income (less				
	\$ encumbrances)				
	4.3 Properties held for sale (less \$				
	encumbrances)				
5.	Cash (\$15,486,863 , Schedule E - Part 1), cash equivalents				
	(\$, Schedule E - Part 2) and short-term	15 406 062		15 406 060	E 20E 164
	investments (\$, Schedule DA)			15,486,863	
	Contract loans (including \$ premium notes)			, i	,
7.	Derivatives (Schedule DB)				
8.	Other invested assets (Schedule BA)				100 707
9.	Receivables for securities				, ,
	Securities lending reinvested collateral assets (Schedule DL)				
	Aggregate write-ins for invested assets				
	Subtotals, cash and invested assets (Lines 1 to 11)	3,012,131,710		3,012,131,710	2,074,047,292
	Title plants less \$ charged off (for Title insurers				
	only) Investment income due and accrued			10 001 262	
		19,001,303		19,001,303	19,700,129
	Premiums and considerations: 15.1 Uncollected premiums and agents' balances in the course of collection.	5 460 706	020 012	4 520 002	4 202 261
		5,409,700	930,013	4,550,695	4,303,201
	15.2 Deferred premiums and agents' balances and installments booked but				
	deferred and not yet due (including \$	05 000		0E 000	60 045
	earned but unbilled premiums)	90,000		95,888	60,845
	15.3 Accrued retrospective premiums (\$				
40	contracts subject to redetermination (\$				
16.	Reinsurance:	100 700		100 700	46E 101
	16.1 Amounts recoverable from reinsurers			182,798	ŕ
	16.2 Funds held by or deposited with reinsured companies				222 642
	16.3 Other amounts receivable under reinsurance contracts			207,744	
	Amounts receivable relating to uninsured plans				
	Current federal and foreign income tax recoverable and interest thereon Net deferred tax asset				6 054 221
	Guaranty funds receivable or on deposit				
20.	Electronic data processing equipment and software				
21.	Furniture and equipment, including health care delivery assets				
20	(\$				
	Net adjustment in assets and liabilities due to foreign exchange rates				
	Receivables from parent, subsidiaries and affiliates				
	Health care (\$				
	Total assets excluding Separate Accounts, Segregated Accounts and				
27.	Protected Cell Accounts (Lines 12 to 25) From Separate Accounts, Segregated Accounts and Protected Cell			3,048,337,831	
	Accounts				
28.	Total (Lines 26 and 27)	3,062,995,504	14,367,055	3,048,628,449	2,707,568,532
1104	DETAILS OF WRITE-INS				
1101.					
1102.					
1103.	0				
1198.	Summary of remaining write-ins for Line 11 from overflow page				
	Totals (Lines 1101 thru 1103 plus 1198)(Line 11 above)	4 475 440	4 475 440		
2501.	Interest maintenance reserve				
	New York assessment and other tax receivables		40.047	,	,
	Other accounts receivable and prepaid expenses				
2598.	Summary of remaining write-ins for Line 25 from overflow page				000 400
2599.	Totals (Lines 2501 thru 2503 plus 2598)(Line 25 above)	1,805,163	1,517,430	287,733	900,132

LIABILITIES, SURPLUS AND OTHER FUNDS

	,	1 Current Year	2 Prior Year
1.	Aggregate reserve for life contracts \$ 2,822,738,590 (Exh. 5, Line 9999999) less \$		
2	included in Line 6.3 (including \$ Modco Reserve)	2,822,738,590	2,471,579,628
3.	Liability for deposit-type contracts (Exhibit 7, Line 14, Col. 1) (including \$ Modco Reserve)	32.973.199	33.197.036
4.	Contract claims:		
	4.1 Life (Exhibit 8, Part 1, Line 4.4, Col. 1 less sum of Cols. 9, 10 and 11)	3,468,618	1,682,065
5	Policyholders' dividends/refunds to members \$	12,734,610	14,743,180
0.	and unpaid (Exhibit 4, Line 10)		
6.	Provision for policyholders' dividends, refunds to members and coupons payable in following calendar year - estimated		
	amounts: 6.1 Policyholders' dividends and refunds to members apportioned for payment (including \$		
	Modco)		
	6.2 Policyholders' dividends and refunds to members not yet apportioned (including \$ Modco)		
7.	6.3 Coupons and similar benefits (including \$ Modco)		
8.	Premiums and annuity considerations for life and accident and health contracts received in advance less		
	\$discount; including \$44,310 accident and health premiums (Exhibit 1,		
0	Part 1, Col. 1, sum of lines 4 and 14)	45,632	38,409
9.	Contract liabilities not included elsewhere: 9.1 Surrender values on canceled contracts		
	9.2 Provision for experience rating refunds, including the liability of \$260,683 accident and health		
	experience rating refunds of which \$ is for medical loss ratio rebate per the Public Health		
	Service Act	260,683	490,847
	ceded		
	9.4 Interest maintenance reserve (IMR, Line 6)		
10.	Commissions to agents due or accrued-life and annuity contracts \$	F00, 000	000 000
11.	\$ 297,994 and deposit-type contract funds \$ Commissions and expense allowances payable on reinsurance assumed		
12.	General expenses due or accrued (Exhibit 2, Line 12, Col. 7)		
13.	Transfers to Separate Accounts due or accrued (net) (including \$ accrued for expense		,
4.4	allowances recognized in reserves, net of reinsured allowances)		005 405
	Current federal and foreign income taxes, including \$(245) on realized capital gains (losses)		
	Net deferred tax liability		
16.	Unearned investment income		
17. 18.	Amounts withheld or retained by reporting entity as agent or trustee	1,416,252	441,217
19.	Remittances and items not allocated	4.269.323	6.855.602
20.	Net adjustment in assets and liabilities due to foreign exchange rates		
21.	Liability for benefits for employees and agents if not included above		
22. 23.	Borrowed money \$ and interest thereon \$ Dividends to stockholders declared and unpaid		
24.	Miscellaneous liabilities:		
	24.01 Asset valuation reserve (AVR, Line 16, Col. 7)	18,651,688	15 , 144 , 377
	24.02 Reinsurance in unauthorized and certified (\$) companies		
	24.03 Funds held under reinsurance treaties with unauthorized and certified (\$	343 990	14 403 842
	24.05 Drafts outstanding		
	24.06 Liability for amounts held under uninsured plans		
	24.07 Funds held under coinsurance		
	24.09 Payable for securities		
	24.10 Payable for securities lending		
	24.11 Capital notes \$ and interest thereon \$		
25. 26.	Aggregate write-ins for liabilities	15,492 2,909,881,107	8,917 2,565,068,221
27.	From Separate Accounts Statement		234,464
28.	Total liabilities (Lines 26 and 27)		2,565,302,685
29.	Common capital stock		
30. 31.	Preferred capital stock Aggregate write-ins for other than special surplus funds		
31. 32.	Aggregate write-ins for other than special surplus funds Surplus notes		
33.	Gross paid in and contributed surplus (Page 3, Line 33, Col. 2 plus Page 4, Line 51.1, Col. 1)	85,000,000	85,000,000
34.	Aggregate write-ins for special surplus funds		
35. 36.	Unassigned funds (surplus) Less treasury stock, at cost:	51,456,724	55,265,847
50.	36.1 shares common (value included in Line 29 \$)		
	36.2 shares preferred (value included in Line 30 \$)		
	Surplus (Total Lines 31+32+33+34+35-36) (including \$ in Separate Accounts Statement)	136,456,724	140,265,847
38. 39.	Totals of Lines 29, 30 and 37 (Page 4, Line 55)	138,456,724 3,048,628,449	142,265,847 2,707,568,532
00.	DETAILS OF WRITE-INS	0,040,020,448	2,101,300,332
2501.	Accrued interest on policy claims	15,492	8,917
2502.			
2503. 2598.	Summary of remaining write-ins for Line 25 from overflow page		
2596. 2599.	Totals (Lines 2501 thru 2503 plus 2598)(Line 25 above)	15,492	8,917
3101.	Code (Enter 2001 three 2000 plate 2000)		0,017
3102.			
3103. 3198.	Summary of remaining write-ins for Line 31 from overflow page		
3190.	Totals (Lines 3101 thru 3103 plus 3198)(Line 31 above)		
3401.			
3402.			
3403. 3498.	Summary of remaining write-ins for Line 34 from overflow page		
3499.	Totals (Lines 3401 thru 3403 plus 3498)(Line 34 above)		
	,		

SUMMARY OF OPERATIONS

		1 Current Year	2 Prior Year
	Premiums and annuity considerations for life and accident and health contracts (Exhibit 1, Part 1, Line 20.4, Col. 1, less Col. 11)	532,025,362	619,470,364
2. 3.	Considerations for supplementary contracts with life contingencies Net investment income (Exhibit of Net Investment Income, Line 17)	04 018 415	88 534 876
4.	Amortization of Interest Maintenance Reserve (IMR, Line 5)	(354,636)	(308,758)
5.	Separate Accounts net gain from operations excluding unrealized gains or losses		
6. 7.	Commissions and expense allowances on reinsurance ceded (Exhibit 1, Part 2, Line 26.1, Col. 1) Reserve adjustments on reinsurance ceded		(7,930)
8.	Miscellaneous Income:		
	8.1 Income from fees associated with investment management, administration and contract guarantees from Separate Accounts	3 289	2 979
	8.2 Charges and fees for deposit-type contracts		
	8.3 Aggregate write-ins for miscellaneous income	1,315,541 627,934,216	1,525,892 709,217,423
9. 10.	Total (Lines 1 to 8.3) Death benefits	, ,	9,446,040
11.	Matured endowments (excluding guaranteed annual pure endowments)		
12. 13.	Annuity benefits (Exhibit 8, Part 2, Line 6.4, Cols. 4 + 8) Disability benefits and benefits under accident and health contracts		4,714,981 48,314,939
14.	Coupons, guaranteed annual pure endowments and similar benefits		
15.	Surrender benefits and withdrawals for life contracts		
16. 17.	Group conversions		1 097 158
18.	Payments on supplementary contracts with life contingencies		
19.	Increase in aggregate reserves for life and accident and health contracts	351,556,201	436,274,443
20. 21.	Totals (Lines 10 to 19)	599,205,943	664,375,557
	2, Line 31, Col. 1)		18,689,576
22. 23.	Commissions and expense allowances on reinsurance assumed (Exhibit 1, Part 2, Line 26.2, Col. 1)		
24.	Insurance taxes, licenses and fees, excluding federal income taxes (Exhibit 3, Line 7, Cols. 1 + 2 + 3 + 5)	5,288,063	5,624,282
25.	Increase in loading on deferred and uncollected premiums	(52,712)	9,342
26. 27.	Net transfers to or (from) Separate Accounts net of reinsurance. Aggregate write-ins for deductions	(2,268)	(815)
28.	Totals (Lines 20 to 27)	628,725,352	697,319,648
29.	Net gain from operations before dividends to policyholders, refunds to members and federal income taxes (Line 9 minus		11 007 775
30.	Line 28) Dividends to policyholders and refunds to members	(791, 136)	11,897,775
	Net gain from operations after dividends to policyholders, refunds to members and before federal income taxes (Line 29		
32.	minus Line 30) Federal and foreign income taxes incurred (excluding tax on capital gains)	(791, 136) 3,835,291	11,897,775 9,247,130
33.	Net gain from operations after dividends to policyholders, refunds to members and federal income taxes and before	, ,	, ,
0.4	realized capital gains or (losses) (Line 31 minus Line 32)	(4,626,427)	2,650,645
34.	Net realized capital gains (losses) (excluding gains (losses) transferred to the IMR) less capital gains tax of \$	(29,078)	19,375
35.	Net income (Line 33 plus Line 34)		2,670,020
20	CAPITAL AND SURPLUS ACCOUNT	142.265.847	139, 168, 271
36. 37.	Capital and surplus, December 31, prior year (Page 3, Line 38, Col. 2)		
	Change in net unrealized capital gains (losses) less capital gains tax of \$		
39.	Change in net unrealized foreign exchange capital gain (loss) Change in net deferred income tax		
40. 41.	Change in nonadmitted assets	856,873	(3,382,125)
42.	Change in liability for reinsurance in unauthorized and certified companies		
43. 44.	Change in reserve on account of change in valuation basis, (increase) or decrease		
45.	Change in treasury stock (Page 3, Lines 36.1 and 36.2, Col. 2 minus Col. 1)		
	Surplus (contributed to) withdrawn from Separate Accounts during period		
47. 48.	Other changes in surplus in Separate Accounts Statement Change in surplus notes		
49.	Cumulative effect of changes in accounting principles		
50.	Capital changes:		
	50.1 Paid in		
	50.3 Transferred to surplus		
51.	Surplus adjustment: 51.1 Paid in		
	51.1 Paid in		
	51.3 Transferred from capital		
52.	51.4 Change in surplus as a result of reinsurance Dividends to stockholders		
53.	Aggregate write-ins for gains and losses in surplus		
	Net change in capital and surplus for the year (Lines 37 through 53)	(3,809,123)	3,097,576
55.	Capital and surplus, December 31, current year (Lines 36 + 54) (Page 3, Line 38) DETAILS OF WRITE-INS	138,456,724	142,265,847
	Surrender, set-up and administration fees		1,525,812
	Other revenue		80
	Summary of remaining write-ins for Line 8.3 from overflow page		
08.399.	Totals (Lines 08.301 thru 08.303 plus 08.398)(Line 8.3 above)	1,315,541	1,525,892
2798.	Summary of remaining write-ins for Line 27 from overflow page		
	Totals (Lines 2701 thru 2703 plus 2798)(Line 27 above)		
5303.			
	Summary of remaining write-ins for Line 53 from overflow page		
JJ38.	Totals (Enice 500 Fillia 5000 pius 5050)(Enic 50 above)		

	CASH FLOW	1 1	2
		1 Current Year	2 Prior Year
	Cash from Operations	Guirent real	i iidi i dal
1.	Premiums collected net of reinsurance	531,091,890	618,947,519
2.	Net investment income	400,000	87,443,847
3.	Miscellaneous income		1,555,286
4.	Total (Lines 1 through 3)		707,946,652
5.	Benefit and loss related payments	247,582,598	218,800,067
6.	Net transfers to Separate Accounts, Segregated Accounts and Protected Cell Accounts	(2,268)	(815
7.	Commissions, expenses paid and aggregate write-ins for deductions		33,951,606
8.	Dividends paid to policyholders		
9.	Federal and foreign income taxes paid (recovered) net of \$(272,729) tax on capital gains (losses)		9,309,654
10.	Total (Lines 5 through 9)		262,060,512
11.	Net cash from operations (Line 4 minus Line 10)		445,886,140
12.	Cash from Investments Proceeds from investments sold, matured or repaid: 12.1 Bonds 12.2 Stocks		506,397,811
	12.3 Mortgage loans	28,890,614	22,605,851
	12.4 Real estate		
	12.5 Other invested assets		
	12.6 Net gains or (losses) on cash, cash equivalents and short-term investments		8 , 105
	12.7 Miscellaneous proceeds	7,252,420	
	12.8 Total investment proceeds (Lines 12.1 to 12.7)	313,379,426	529,011,767
13.	Cost of investments acquired (long-term only):		
	13.1 Bonds	462,773,704	927,656,955
	13.2 Stocks		
	13.3 Mortgage loans	176,754,750	87,238,350
	13.4 Real estate		
	13.5 Other invested assets		
	13.6 Miscellaneous applications		152,421
	13.7 Total investments acquired (Lines 13.1 to 13.6)	639,528,454	1,015,047,726
14.	Net increase (decrease) in contract loans and premium notes	(35,143)	(678
15.	Net cash from investments (Line 12.8 minus Line 13.7 minus Line 14)	(326,113,885)	(486,035,281
	Cash from Financing and Miscellaneous Sources		
16.	Cash provided (applied):		
	16.1 Surplus notes, capital notes		
	16.2 Capital and paid in surplus, less treasury stock		
	16.3 Borrowed funds		
	16.4 Net deposits on deposit-type contracts and other insurance liabilities	(223,837)	398,470
	16.5 Dividends to stockholders		
	16.6 Other cash provided (applied)	(15,069,639)	11,838,201
17.	Net cash from financing and miscellaneous sources (Lines 16.1 to 16.4 minus Line 16.5 plus Line 16.6)	(15,293,476)	12,236,671
	RECONCILIATION OF CASH, CASH EQUIVALENTS AND SHORT-TERM INVESTMENTS		
18.	Net change in cash, cash equivalents and short-term investments (Line 11, plus Lines 15 and 17)	10,101,699	(27,912,470
19.	Cash, cash equivalents and short-term investments:		
	19.1 Beginning of year	5,385,164	33,297,634
	19.2 End of year (Line 18 plus Line 19.1)	15,486,863	5,385,164

Note: Supplemental disclosures of cash flow information for non-cash transactions:		
20.0001 Bonds - exchange	46,825,391	51,588,706
20.0002 Contract loans - interest capitalized	6,437	5,798
20.0003 Mortgage loans - refinances		1,247,437

ANALYSIS OF OPERATIONS BY LINES OF BUSINESS - SUMMARY

		ANAL I SIS OI	OFLINATION	13 DI LINES	OI DOSINE	30 - SUMMA	1 2 1			
		1	2	3	4	5	6	7	8 Other Lines of	9 YRT Mortality
		Total	Individual Life	Group Life	Individual Annuities	Group Annuities	Accident and Health	Fraternal	Business	Risk Only
1.	Premiums and annuity considerations for life and accident and health contracts	532,025,362	1, 115, 380	11, 179, 083	463,449,776		56,281,123			
2.	Considerations for supplementary contracts with life contingencies		XXX	XXX			XXX	XXX		XXX
3.	Net investment income	94,918,415	1, 103, 200	462,987	90,974,182	91,696	2,286,350			
4.	Amortization of Interest Maintenance Reserve (IMR)	(354,636)	(4,050)	(461)	(347,506)	(341)	(2,278)			
5.	Separate Accounts net gain from operations excluding unrealized gains or losses							XXX		
6.	Commissions and expense allowances on reinsurance ceded		26,245					XXX		
7.	Reserve adjustments on reinsurance ceded							XXX		
8.	Miscellaneous Income:									
	8.1 Income from fees associated with investment management, administration and contract guarantees from Separate Accounts	3,289			3,289			XXX		
	8.2 Charges and fees for deposit-type contracts						XXX	XXX		
	8.3 Aggregate write-ins for miscellaneous income	1,315,541	10		1,315,531					
9.	Totals (Lines 1 to 8.3)	627,934,216	2,240,785	11,641,609	555,395,272	91,355	58,565,195			
10.	Death benefits	16,302,396	2,146,883	14, 155, 513			xxx	XXX		
11.	Matured endowments (excluding guaranteed annual pure endowments)						xxx	XXX		
12.	Annuity benefits		XXX	XXX	4,357,227		XXX	XXX		XXX
13.	Disability benefits and benefits under accident and health contracts						48,653,608	XXX		
14.	Coupons, guaranteed annual pure endowments and similar benefits							XXX		
15.	Surrender benefits and withdrawals for life contracts		364,709		176,350,916	92,817	XXX	XXX		
16.	Group conversions							XXX		
17.	Interest and adjustments on contract or deposit-type contract funds	1,528,069	13,870	80,814	1,430,527		2,858	XXX		
18.	Payments on supplementary contracts with life contingencies						XXX	XXX		
19.	Increase in aggregate reserves for life and accident and health contracts	351,556,201	(598,615)	223,298	351,515,076	19,203	397,239	XXX		
20.	Totals (Lines 10 to 19)		1,926,847	14,459,625	533,653,746	112,020	49,053,705	XXX		
21.	Commissions on premiums, annuity considerations and deposit-type contract funds									
	(direct business only)	14,490,959	675,876	1,268,743	8,513,737		4,032,603			XXX
22.	Commissions and expense allowances on reinsurance assumed							XXX		
23.	General insurance expenses and fraternal expenses		599,326	1,020,920	4,658,693	1,693	3,514,735			
24.	Insurance taxes, licenses and fees, excluding federal income taxes		61,375		3,524,280	1,202	1,363,977			
25.	Increase in loading on deferred and uncollected premiums	(52,712)	(52,712)					XXX		
26.	Net transfers to or (from) Separate Accounts net of reinsurance	(2,268)			(2,268)			XXX		
27.	Aggregate write-ins for deductions		0.040.740	47.000.547	550 040 400		57.005.000			
28.	Totals (Lines 20 to 27)	628,725,352	3,210,712	17,086,517	550,348,188	114,915	57,965,020			
29.	Net gain from operations before dividends to policyholders, refunds to members and	(701 100)	(000, 007)	(F 444 000)	E 047 004	(00 ECO)	000 175			
00	federal income taxes (Line 9 minus Line 28)	(791, 136)	(969,927)	(5,444,908)	5,047,084	(23,560)	600 , 175			
30.	Dividends to policyholders and refunds to members							XXX		
31.	Net gain from operations after dividends to policyholders, refunds to members and before federal income taxes (Line 29 minus Line 30)	(791, 136)	(969,927)	(5,444,908)	5,047,084	(23,560)	600 , 175			
32	Federal income taxes incurred (excluding tax on capital gains)	3,835,291	(138,311)	(1,062,050)	5,220,233	(4,840)				
33	Net gain from operations after dividends to policyholders, refunds to members and	0,000,201	(100,011)	(1,002,000)	0,220,200	(4,040)	(110,141)			
33.	federal income taxes and before realized capital gains or (losses) (Line 31 minus									
	Line 32)	(4,626,427)	(831,616)	(4,382,858)	(173,149)	(18,720)	779,916			
34.	Policies/certificates in force end of year	143,633	745	35	20,554	48	122,251	XXX		
	DETAILS OF WRITE-INS									
08.301	Surrender, set-up, and adminstration fees	1,315,455	10		1,315,445					
	Other revenue				86					
08.303										
08.398	. Summary of remaining write-ins for Line 8.3 from overflow page									
08.399	, ,	1,315,541	10		1,315,531					
2701.		, .,.			, , , ,					
2702.										
2703.										
2798.	Summary of remaining write-ins for Line 27 from overflow page									
2799.										
	,									

ANALYSIS OF OPERATIONS BY LINES OF BUSINESS - INDIVIDUAL LIFE INSURANCE (b)

	ANA	L I DID UF			INES OF BU	JOINE 39		AL FILE II				_	
		1	2	3	4	5	6	7 Universal Life With Secondary	8	9 Variable	10 Credit Life	11 Other Individual	12 YRT Mortality
	D : () ()	Total	Industrial Life	Whole Life	Term Life	Indexed Life	Universal Life	Guarantees	Variable Life	Universal Life	(c)	Life	Risk Only
1.	Premiums for life contracts (a)	1, 115,380			1,115,380								
2.	Considerations for supplementary contracts with life contingencies	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX
3.	Net investment income	1, 103, 200			69,730			1,033,470					
4. 5.	Amortization of Interest Maintenance Reserve (IMR)				(257)			(3,793)					
6. 7.	Commissions and expense allowances on reinsurance ceded	26,245			26,245								
8.	Miscellaneous Income: 8.1 Income from fees associated with investment management, administration and contract guarantees from Separate Accounts												
	8.2 Charges and fees for deposit-type contracts												
	8.3 Aggregate write-ins for miscellaneous income	10						10					
9.	Totals (Lines 1 to 8.3)	2,240,785			1,211,098			1,029,687					
10.	Death benefits	2, 146, 883			192,491			1,954,392					
11.	Matured endowments (excluding guaranteed annual pure endowments)												
	Annuity benefits	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX
13.	Disability benefits and benefits under accident and health contracts												
14.	Coupons, guaranteed annual pure endowments and similar benefits												
15.	Surrender benefits and withdrawals for life contracts	.364,709						364,709					
16.	Group conversions												
	Interest and adjustments on contract or deposit-type contract funds	13.870			1.370			12.500					
18.	Payments on supplementary contracts with life contingencies												
19.	Increase in aggregate reserves for life and accident and health contracts	(598,615)			1,007,679			(1,606,294)		***************************************			
-		1,926,847			1,201,540			725.307				+	
20.	Totals (Lines 10 to 19)	1,920,847			1,201,340			123,307					
21.	Commissions on premiums, annuity considerations and deposit-type contract funds (direct business only)	675,876			675,876								XXX
22.	Commissions and expense allowances on reinsurance assumed	.599,326			248,227			351,099					
23.	General insurance expenses												
24.	Insurance taxes, licenses and fees, excluding federal income taxes	61,375			43,717			17,008					
25.	Increase in loading on deferred and uncollected premiums	(52,712)			(52,712)								
26.	Net transfers to or (from) Separate Accounts net of reinsurance												
27.	Aggregate write-ins for deductions												
28.	Totals (Lines 20 to 27)	3,210,712			2,116,648			1,094,064					
29.	Net gain from operations before dividends to policyholders, refunds to members and federal income taxes (Line 9 minus Line 28)	(969,927)			(905,550)			(64,377)					
30.	Dividends to policyholders and refunds to members												
31.	Net gain from operations after dividends to policyholders, refunds to	/aaa a==:			/005 555			/2. 2==					
	members and before federal income taxes (Line 29 minus Line 30)	(969,927)			(905,550)			(64,377)					
32.	Federal income taxes incurred (excluding tax on capital gains)	(138,311)			(163,309)			24,998					
33.	Net gain from operations after dividends to policyholders, refunds to members and federal income taxes and before realized capital gains or	(831,616)			(742,241)			(89,375)					
0.4	(losses) (Line 31 minus Line 32) Policies/certificates in force end of year	(831,616) 745		 	347			398					
34.		740			347			398				1	
	DETAILS OF WRITE-INS												
	Surrender, set-up, and adminstration fees	10						10					
08.302.													
08.303.													
	Summary of remaining write-ins for Line 8.3 from overflow page												
08.399.	Totals (Lines 08.301 thru 08.303 plus 08.398) (Line 8.3 above)	10						10				<u> </u>	
2701.												1	
2702.													
2703.													
2798.	Summary of remaining write-ins for Line 27 from overflow page												
	Totals (Lines 2701 thru 2703 plus 2798) (Line 27 above)												
	(1	1		1	1		1	I.	L	

⁽a) Include premium amounts for preneed plans included in Line 1

⁽b) Indicate if blocks of business in run-off that comprise less than 5% of premiums and less than 5% of reserve and loans liability are aggregated with material blocks of business and which columns are affected.

⁽c) Individual and Group Credit Life are combined and included on page. (Indicate whether included with Individual or Group.)

ANALYSIS OF OPERATIONS BY LINES OF BUSINESS - GROUP LIFE INSURANCE (C)

	ANALISIS OI	1	2	3	4	5	6 Variable Universal	7 Credit Life	8 Other Group Life	9 YRT Mortality
		Total	Whole Life	Term Life	Universal Life	Variable Life	Life	(d)	(a)	Risk Only
1.	Premiums for life contracts (b)	11, 179, 083		11, 175, 525					3,558	
2. 3.	Considerations for supplementary contracts with life contingencies Net investment income	XXX 462,987	XXX	XXX 462.987	XXX	xxx	xxx	XXX	xxx	XXX
4.	Amortization of Interest Maintenance Reserve (IMR)	(461)	• • • • • • • • • • • • • • • • • • • •	(461)	•					
5.	Separate Accounts net gain from operations excluding unrealized gains or losses	(401)		(401)						
6.	Commissions and expense allowances on reinsurance ceded									
7.	Reserve adjustments on reinsurance ceded									
8.	Miscellaneous Income:									
0.	8.1 Income from fees associated with investment management, administration and contract guarantees from Separate Accounts									
	8.2 Charges and fees for deposit-type contracts									
	8.3 Aggregate write-ins for miscellaneous income									
9	Totals (Lines 1 to 8.3)	11,641,609		11,638,051					3,558	
10.	Death benefits	14, 155, 513		14.155.513					3,330	
11.	Matured endowments (excluding guaranteed annual pure endowments)	14, 100,010		14, 100,010						
12.	Annuity benefits	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX
13.	Disability benefits and benefits under accident and health contracts									
14.	Coupons, guaranteed annual pure endowments and similar benefits									
	Surrender benefits and withdrawals for life contracts									
15.										
16.	Group conversions									
17.	Interest and adjustments on contract or deposit-type contract funds	80,814		80,814						
	Payments on supplementary contracts with life contingencies									
19.	Increase in aggregate reserves for life and accident and health contracts	223,298		223,298						
20.	Totals (Lines 10 to 19)	14,459,625		14,459,625						
21.	Commissions on premiums, annuity considerations and deposit-type contract funds (direct business only)	1,268,743		1,268,451					292	xxx
22.	Commissions and expense allowances on reinsurance assumed									
23.	General insurance expenses	1,020,920		1,020,920						
24.	Insurance taxes, licenses and fees, excluding federal income taxes	337,229		337 , 152					77	
25.	Increase in loading on deferred and uncollected premiums									
26.	Net transfers to or (from) Separate Accounts net of reinsurance.									
27.	Aggregate write-ins for deductions									
28.	Totals (Lines 20 to 27)	17,086,517		17,086,148					369	
	Net gain from operations before dividends to policyholders, refunds to members and federal income taxes (Line 9 minus Line 28)	(5,444,908)		(5,448,097)					3, 189	
30.	Dividends to policyholders and refunds to members									
31.	Net gain from operations after dividends to policyholders, refunds to members and before federal income taxes (Line 29 minus Line 30)	(5,444,908)		(5,448,097)					3,189	
32.	Federal income taxes incurred (excluding tax on capital gains)	(1,062,050)		(1,062,705)					655	
33.	Net gain from operations after dividends to policyholders, refunds to members and federal	(4 000 050)		(4 005 000)					0.504	
	income taxes and before realized capital gains or (losses) (Line 31 minus Line 32)	(4,382,858)		(4,385,392)					2,534	
34.	Policies/certificates in force end of year	35		35			_			
00.00:	DETAILS OF WRITE-INS									
	Other revenue									
	Surrender, set-up, and adminstration fees									
08.303.										
	Summary of remaining write-ins for Line 8.3 from overflow page									
	Totals (Lines 08.301 thru 08.303 plus 08.398) (Line 8.3 above)									
2701.	Other expenses - deductions									
2702.	-									
2703.										
	Summary of remaining write-ins for Line 27 from overflow page									
2799.	Totals (Lines 2701 thru 2703 plus 2798) (Line 27 above)									
	es the following amounts for FEGLI/SGLI: Line 1	Line		Line 23		Line 24	•			

⁽a) Includes the following amounts for FEGLI/SGLI: Line 1, Line 10, Line 16, Line 23, Line 24

⁽b) Include premium amounts for preneed plans included in Line 1

⁽c) Indicate if blocks of business in run-off that comprise less than 5% of premiums and less than 5% of reserve and loans liability are aggregated with material blocks of business and which columns are affected.

⁽d) Individual and Group Credit Life are combined and included on page. (Indicate whether included with Individual or Group.)

ANNUAL STATEMENT FOR THE YEAR 2020 OF THE First Symetra National Life Insurance Company of New York ANALYSIS OF OPERATIONS BY LINES OF BUSINESS - INDIVIDUAL ANNUITIES (a)

	ANALISIS OF OPERATIONS BY LIF	1	SINEOU - IND		erred	6	7
		1	2	3	Δ Δ	5 Life Contingen	
			2	3	Variable Annuities	Variable Annuities Payout (Immedia	
		Total	Fixed Annuities	Indexed Annuities	with Guarantees	Without Guarantees and Annuitization	
1.	Premiums for individual annuity contracts	463,449,776	458,864,425		7,567	4,577	
2.	Considerations for supplementary contracts with life contingencies		XXX	XXX	XXX	XXX	XXX
3.	Net investment income	90,974,182			3, 151	2,855	
4.	Amortization of Interest Maintenance Reserve (IMR)	(347,506)	(336,709)		(12)	(10	785)
5.	Separate Accounts net gain from operations excluding unrealized gains or losses						
6.	Commissions and expense allowances on reinsurance ceded						
7.	Reserve adjustments on reinsurance ceded						
8.	Miscellaneous Income:						
	8.1 Income from fees associated with investment management, administration and contract guarantees from Separate Accounts	3,289			3,289		
	8.2 Charges and fees for deposit-type contracts						
	8.3 Aggregate write-ins for miscellaneous income	1,315,531	1,315,355		176		
9.	Totals (Lines 1 to 8.3)	555,395,272	547,958,808		14, 171	7,422	293
10.	Death benefits						
11.	Matured endowments (excluding guaranteed annual pure endowments)						
12.	Annuity benefits	4,357,227				4,357	227
13.	Disability benefits and benefits under accident and health contracts						
14.	Coupons, guaranteed annual pure endowments and similar benefits						
15.	Surrender benefits and withdrawals for life contracts	176,350,916	176,738,799		21,549	(409	432)
16.	Group conversions						
17.	Interest and adjustments on contract or deposit-type contract funds	1,430,527	(738)			1,431	265
18.	Payments on supplementary contracts with life contingencies						
19.	Increase in aggregate reserves for life and accident and health contracts	351,515,076	348,385,745		(9,087)	3,138	418
20.	Totals (Lines 10 to 19)	533,653,746	525, 123,806		12,462	8,517	478
21.	Commissions on premiums, annuity considerations and deposit-type contract funds (direct business only)	8,513,737	8,141,069		418	372	250
22.	Commissions and expense allowances on reinsurance assumed						
23.	General insurance expenses	4,658,693	4,658,507		186		
24.	Insurance taxes, licenses and fees, excluding federal income taxes	3,524,280	3,524,076		204		
25.	Increase in loading on deferred and uncollected premiums						
26.	Net transfers to or (from) Separate Accounts net of reinsurance	(2,268)			(2,268)		
27.	Aggregate write-ins for deductions						
28.	Totals (Lines 20 to 27)	550,348,188	541,447,458		11,002	8,889	728
29.	Net gain from operations before dividends to policyholders, refunds to members and federal income taxes (Line 9 minus Line 28)	5,047,084	6,511,350		3, 169	(1,467	435)
30.	Dividends to policyholders and refunds to members						
31.	Net gain from operations after dividends to policyholders, refunds to members and before federal income taxes (Line 29 minus Line 30)	5,047,084	6,511,350		3, 169	(1,467	435)
32.	Federal income taxes incurred (excluding tax on capital gains)	5,220,233	5,426,678		651	(207	096)
33.	Net gain from operations after dividends to policyholders, refunds to members and federal income taxes and before realized capital						
	gains or (losses) (Line 31 minus Line 32)	(173, 149)	1,084,672		2,518	(1,260	*
34.	Policies/certificates in force end of year	20,554	19,964		5		585
	DETAILS OF WRITE-INS						
	Surrender, set-up, and adminstration fees	1,315,445	1,315,355		90		
	Other revenue	86			86		
08.303.							
	Summary of remaining write-ins for Line 8.3 from overflow page						
	Totals (Lines 08.301 thru 08.303 plus 08.398) (Line 8.3 above)	1,315,531	1,315,355		176		
2701.							
2702.							
2703.							
2798.	Summary of remaining write-ins for Line 27 from overflow page						
	Totals (Lines 2701 thru 2703 plus 2798) (Line 27 above)						
	to if blocks of business in your off that comprise less than 50/ of promiting and 10/				· · · · · · · · · · · · · · · · · · ·	· · · · · · · · · · · · · · · · · · ·	

(a) Indicate if blocks of business in run-off that comprise less than 5% of premiums and less than 5% of reserve and loans liability are aggregated with material blocks of business and which which columns are affected.

ANALYSIS OF OPERATIONS BY LINES OF BUSINESS - GROUP ANNUITIES (a)

	ANALYSIS OF OPERATIONS BY L	INLS OF B	OSINESS - G				7	
		1	•		erred		6	/
			2	3	4 Variable Annuities	5 Variable Annuities	Life Contingent Payout (Immediate	
		Total	Fixed Annuities	Indexed Annuities	with Guarantees	Without Guarantees	and Annuitizations)	Other Annuities
1.	Premiums for group annuity contracts							
	Considerations for supplementary contracts with life contingencies		XXX	XXX	XXX	XXX		XXX
3.	Net investment income	91,696	91,696					
4.	Amortization of Interest Maintenance Reserve (IMR)	(341)	(341)					
5.	Separate Accounts net gain from operations excluding unrealized gains or losses							
6.	Commissions and expense allowances on reinsurance ceded							
7.	Reserve adjustments on reinsurance ceded							
8.	Miscellaneous Income:							
	8.1 Income from fees associated with investment management, administration and contract guarantees from Separate Accounts							
	8.2 Charges and fees for deposit-type contracts							
	8.3 Aggregate write-ins for miscellaneous income							
	Totals (Lines 1 to 8.3)	91,355	91,355					
10.	Death benefits							
11.	Matured endowments (excluding guaranteed annual pure endowments)							
12.	Annuity benefits							
13.	Disability benefits and benefits under accident and health contracts							
14.	Coupons, guaranteed annual pure endowments and similar benefits							
15.	Surrender benefits and withdrawals for life contracts	92,817	92,817					
16.	Group conversions							
17.	Interest and adjustments on contract or deposit-type contract funds							
18.	Payments on supplementary contracts with life contingencies	40.000						
19.	Increase in aggregate reserves for life and accident and health contracts	19,203	19,203					
20.	Totals (Lines 10 to 19)	112,020	112,020					
21.	Commissions on premiums, annuity considerations and deposit-type contract funds (direct business only)							
22.	Commissions and expense allowances on reinsurance assumed		4 000					
23.	General insurance expenses	1,693	1,693					
24.	Insurance taxes, licenses and fees, excluding federal income taxes	1,202	1,202					
25.	Increase in loading on deferred and uncollected premiums							
26.	Net transfers to or (from) Separate Accounts net of reinsurance.							
27.	Aggregate write-ins for deductions	11/ 015	114,915					
28.	Totals (Lines 20 to 27)	114,915						
	Net gain from operations before dividends to policyholders, refunds to members and federal income taxes (Line 9 minus Line 28)	(23,560)	(23,560)					
	Dividends to policyholders and refunds to members	(00 500)	(00 500)					
	Net gain from operations after dividends to policyholders, refunds to members and before federal income taxes (Line 29 minus Line 30)	(23,560)	(23,560).					
	Federal income taxes incurred (excluding tax on capital gains)	(4,840)	(4,840)					
33.	Net gain from operations after dividends to policyholders, refunds to members and federal income taxes and before realized capital gains or (losses) (Line 31 minus Line 32)	(18,720)	(18,720)					
34	Policies/certificates in force end of year	48	48					
J -1 .	DETAILS OF WRITE-INS	40	40					
08 301	DETAILS OF WRITE-INS							
08.301.							***************************************	
08.303.								
	Summary of remaining write-ins for Line 8.3 from overflow page							
	Totals (Lines 08.301 thru 08.303 plus 08.398) (Line 8.3 above)							
	Totals (Elines 00:001 time 00:000 plas 00:000) (Eline 0:0 above)							
2701.								
2702.								
2798.	Summary of remaining write-ins for Line 27 from overflow page							
	Totals (Lines 2701 thru 2703 plus 2798) (Line 27 above)							
	Totals Lines 2701 will 2700 pine 27 solve 27 sol				1	1	1	

(a) Indicate if blocks of business in run-off that comprise less than 5% of premiums and less than 5% of reserve and loans liability are aggregated with material blocks of business and which columns are affected.

ANALYSIS OF OPERATIONS BY LINES OF BUSINESS - ACCIDENT AND HEALTH (a)

		1 1		ehensive	4	5	6	7 7	8	9	10	11	12	13
		' '	2	3	┥ ず			Federal	O	3	10		12	15
		Total	Individual	Group	Medicare Supplement	Vision Only	Dental Only	Employees Health Benefits Plan	Title XVIII Medicare	Title XIX Medicaid	Credit A&H	Disability Income	Long-Term Care	Other Health
1.	Premiums for accident and health contracts	56,281,123										5,772,906		50,508,217
2.	Considerations for supplementary contracts with life contingencies	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX
3.	Net investment income	2,286,350										464,033		1,822,317
4.	Amortization of Interest Maintenance Reserve (IMR)	(2,278)										(462)		(1,816)
5.	Separate Accounts net gain from operations excluding unrealized gains or losses													
6.	Commissions and expense allowances on reinsurance ceded	.,												
7.	Reserve adjustments on reinsurance ceded													
8.	Miscellaneous Income: 8.1 Income from fees associated with investment management,													
	administration and contract guarantees from Separate Accounts	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX
	8.3 Aggregate write-ins for miscellaneous income								XXX	XXX				
9.	Totals (Lines 1 to 8.3)	. 58,565,195										6,236,477		52,328,718
10.	Death benefits	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX
11.	Matured endowments (excluding guaranteed annual pure endowments)	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX
12.	Annuity benefits	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX
13. 14.	Disability benefits and benefits under accident and health contracts	48,653,608										3,438,593		45,215,015
15. 16.	Surrender benefits and withdrawals for life contracts	XXX	XXX	XXX	XXX	XXX	XXX		XXX	XXX	XXX	XXX	XXX	XXX
17.	Interest and adjustments on contract or deposit-type contract funds	2,858												2,858
18. 19.	Payments on supplementary contracts with life contingencies	XXX	XXX	XXX	XXX	XXX	XXX	xxx	XXX	XXX	XXX	XXX	XXX	XXX
20.	Totals (Lines 10 to 19)	49,053,705										3,749,683		45,304,022
21.	Commissions on premiums, annuity considerations and deposit-type contract funds (direct business only)	4,032,603										480,997		3,551,606
22.	Commissions and expense allowances on reinsurance assumed													
23.	General insurance expenses	3,514,735										192,522		3,322,213
24.	Insurance taxes, licenses and fees, excluding federal income taxes	1,363,977										131 , 158		1,232,819
25.	Increase in loading on deferred and uncollected premiums													
26. 27.	Net transfers to or (from) Separate Accounts net of reinsurance													
28.	Totals (Lines 20 to 27)	. 57,965,020										4,554,360		53,410,660
29.	Net gain from operations before dividends to policyholders, and refunds to members and federal income taxes (Line 9 minus Line 28)	600 , 175										1,682,117		(1,081,942)
30.	Dividends to policyholders and refunds to members.													
31.	Net gain from operations after dividends to policyholders, refunds to members and before federal income taxes (Line 29 minus Line 30)	600 , 175										1,682,117		(1,081,942)
32.	Federal income taxes incurred (excluding tax on capital gains)	(179,741)			-							349.565		(529,306)
33.	Net gain from operations after dividends to policyholders, refunds to members and federal income taxes and before realized capital gains or													, , , , ,
	(losses) (Line 31 minus Line 32)	779,916										1,332,552		(552,636)
34.	Policies/certificates in force end of year	122,251										27,220		95,031
08.301.	DETAILS OF WRITE-INS													
08.302.														
08.303.														
	Summary of remaining write-ins for Line 8.3 from overflow page													
2701.		<u> </u>												
2702.														
2703.														
2798.	Summary of remaining write-ins for Line 27 from overflow page													
2799.	Totals (Lines 2701 thru 2703 plus 2798) (Line 27 above)													

(a) Indicate if blocks of business in run-off that comprise less than 5% of premiums and less than 5% of reserve and loans liability are aggregated with material blocks of business and which columns are affected.

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ANNUAL STATEMENT FOR THE YEAR 2020 OF THE First Symetra National Life Insurance Company of New York

ANALYSIS OF INCREASE IN RESERVES DURING THE YEAR - INDIVIDUAL LIFE INSURANCE (a)

AIVALI	1		3	E3 DUKING	7 1116 16		7			10	11	12
	ı ı	2	3	4	5	0	Universal Life	0	9	10	11	YRT
							With Secondary		Variable	Credit Life (b)	Other Individual	Mortality
	Total	Industrial Life	Whole Life	Term Life	Indexed Life	Universal Life	Guarantees	Variable Life	Universal Life	(N/A Fratermal)	Life	Risk Only
Involving Life or Disability Contingencies (Reserves) (Net of Reinsurance Ceded)												
Reserve December 31 of prior year	30,847,854			1,421,026			29,426,828					
Tabular net premiums or considerations	1,320,767			1, 106, 025			214,742					
Present value of disability claims incurred												
Tabular interest	974,245			59,279			914,966					
Tabular less actual reserve released												
6. Increase in reserve on account of change in valuation basis												
Change in excess of VM-20 deterministic/stochastic reserve over net premium reserve	774,541	XXX		774,541						xxx		
7. Other increases (net)												
8. Totals (Lines 1 to 7)	33,917,407			3,360,871			30,556,536					
9. Tabular cost	1,632,954			839,861			793,093					
10. Reserves released by death	1,600,957			2,862			1,598,095					
11. Reserves released by other terminations (net)	434,256			89,443			344,813					
Annuity, supplementary contract and disability payments involving life contingencies												
13. Net transfers to or (from) Separate Accounts												
14. Total Deductions (Lines 9 to 13)	3,668,167			932,166			2,736,001					
15. Reserve December 31 of current year	30,249,240			2,428,705			27,820,535					
Cash Surrender Value and Policy Loans												
16. CSV Ending balance December 31, current year	20,334,492						20,334,492					
17. Amount Available for Policy Loans Based upon Line 16 CSV	20,334,492						20,334,492					

⁽a) Indicate if blocks of business in run-off that comprise less than 5% of premiums and less than 5% of reserve and loans liability are aggregated with material blocks of business and which columns are affected.

⁽b) Individual and Group Credit Life are combined and included on page. (Indicate whether included with Individual or Group.)

7.2

ANNUAL STATEMENT FOR THE YEAR 2020 OF THE First Symetra National Life Insurance Company of New York

ANALYSIS OF INCREASE IN RESERVES DURING THE YEAR - GROUP LIFE INSURANCE (a) (N/A Fraternal)

(IN/A Flaterial)												
	1	2	3	4	5	6	7	8	9			
						Variable	0 ""	Other	YRT			
	T.1.1	14/1-1-126	T 1.76	V4-2-1-1-126-	11.2 11.26	Universal	Credit	Group	Mortality			
	Total	Whole Life	Term Life	Variable Life	Universal Life	Life	Life (b)	Life	Risk Only			
Involving Life or Disability Contingencies (Reserves)												
(Net of Reinsurance Ceded)												
Reserve December 31 of prior year	1,089,680		1,089,680									
Tabular net premiums or considerations												
Present value of disability claims incurred			366,000									
Tabular interest	44,349		44,349									
Tabular less actual reserve released	(175,908)		(175,908)									
Increase in reserve on account of change in valuation basis												
7. Other increases (net)												
8. Totals (Lines 1 to 7)	1,324,121		1,324,121									
9. Tabular cost												
10. Reserves released by death												
11. Reserves released by other terminations (net)	11,143		11,143									
Annuity, supplementary contract and disability payments involving life contingencies												
13. Net transfers to or (from) Separate Accounts												
14. Total Deductions (Lines 9 to 13)	11,143		11,143									
15. Reserve December 31 of current year	1,312,978		1,312,978									
Cash Surrender Value and Policy Loans												
16. CSV Ending balance December 31, current year												
17. Amount Available for Policy Loans Based upon Line 16 CSV												

⁽a) Indicate if blocks of business in run-off that comprise less than 5% of premiums and less than 5% of reserve and loans liability are aggregated with material blocks of business and which columns are affected.
(b) Individual and Group Credit Life are combined and included on page. (Indicate whether included with Individual or Group.)

ANNUAL STATEMENT FOR THE YEAR 2020 OF THE First Symetra National Life Insurance Company of New York ANALYSIS OF INCREASE IN RESERVES DURING THE YEAR - INDIVIDUAL ANNUITIES (a)

	1		Def	erred		6	7
		2	3	4	5	Life Contingent	
	Total	Circal Association	Indexed Assocition	Variable Annuities	Variable Annuities	Payout (Immediate	Other Appuities
Involving Life or Disability Continuousias (Decourse)	Total	Fixed Annuities	Indexed Annuities	with Guarantees	without Guarantees	and Annuitizations)	Other Annuities
Involving Life or Disability Contingencies (Reserves) (Net of Reinsurance Ceded)							
	2.437.054.691	2,389,473,888		96.228		47.484.575	
	100 011 550			1.520		9.445.607	
Tabular net premiums or considerations		430,004,423	XXX	XXX	XXX	9,445,607	XXX
Present value of disability claims incurred	OF 477 474		XXX	3.332		1.934.592	XXX
4. Tabular interest				1.530		2.229.620	
5. Tabular less actual reserve released	, , , ,	(24,040,970)		1,330		2,229,020	
6. Increase in reserve on account of change in valuation basis							
7. Other increases (net)		0.047.504.500		400.040		04 004 004	
8. Totals (Lines 1 to 7)		2,917,531,588		102,610		61,094,394	
9. Tabular cost							
10. Reserves released by death	XXX	XXX	XXX	XXX	XXX	XXX	XXX
11. Reserves released by other terminations (net)		179,671,955		13,201			
12. Annuity, supplementary contract and disability payments involving life contingencies						10,471,401	
13. Net transfers to or (from) Separate Accounts	2,268			2,268			
14. Total Deductions (Lines 9 to 13)	190,158,825	179,671,955		15,469		10,471,401	
15. Reserve December 31 of current year	2,788,569,767	2,737,859,633		87,141		50,622,993	
Cash Surrender Value and Policy Loans			_				
16. CSV Ending balance December 31, current year	2,666,685,620	2,658,034,609		87,141		8,563,870	
17. Amount Available for Policy Loans Based upon Line 16 CSV	1,980,930	1,920,423		60,507			

⁽a) Indicate if blocks of business in run-off that comprise less than 5% of premiums and less than 5% of reserve and loans liability are aggregated with material blocks of business and which columns are affected.

ANALYSIS OF INCREASE IN RESERVES DURING THE YEAR - GROUP ANNUITIES (a) (N/A Fraternal)

	(IVA I latellia	••,					
	1	·	Defe	erred		6	7
		2	3	4	5	Life Contingent	
				Variable Annuities	Variable Annuities	Payout (Immediate	
	Total	Fixed Annuities	Indexed Annuities	with Guarantees	without Guarantees	and Annuitizations)	Other Annuities
Involving Life or Disability Contingencies (Reserves)							
(Net of Reinsurance Ceded)							
Reserve December 31 of prior year	2,587,404	2,587,404					
Tabular net premiums or considerations							
Present value of disability claims incurred		XXX	XXX	XXX	XXX	XXX	XXX
4. Tabular interest	152,959	152,959					
Tabular less actual reserve released	(40,939)	(40,939)					
Increase in reserve on account of change in valuation basis							
7. Other increases (net)							
8. Totals (Lines 1 to 7)	2,699,424	2,699,424					
9. Tabular cost							
10. Reserves released by death		XXX	XXX	XXX	XXX	XXX	XXX
11. Reserves released by other terminations (net)	92,817	92,817					
12. Annuity, supplementary contract and disability payments involving life contingencies							
13. Net transfers to or (from) Separate Accounts							
14. Total Deductions (Lines 9 to 13)		92,817					
15. Reserve December 31 of current year	2,606,607	2,606,607					
Cash Surrender Value and Policy Loans							
16. CSV Ending balance December 31, current year	2,600,320	2,600,320					
17. Amount Available for Policy Loans Based upon Line 16 CSV	793,352	793,352					

⁽a) Indicate if blocks of business in run-off that comprise less than 5% of premiums and less than 5% of reserve and loans liability are aggregated with material blocks of business and which columns are affected.

EXHIBIT OF NET INVESTMENT INCOME

		1	2
		Collected During Year	
1.	U.S. Government bonds	(a)84,057	83 , 189
1.1	Bonds exempt from U.S. tax	(a)	
1.2	Other bonds (unaffiliated)	(a)78,390,693	78 , 170 , 680
1.3	Bonds of affiliates	(a)	
2.1	Preferred stocks (unaffiliated)	(b)	
2.11	Preferred stocks of affiliates	(b)	
2.2	Common stocks (unaffiliated)		
2.21	Common stocks of affiliates		
3.	Mortgage loans	(c)19,473,070	19,735,100
4.	Real estate		
5	Contract loans	4,133	11,706
6	Cash, cash equivalents and short-term investments	(e)56,954	56,954
7	Derivative instruments	(f)	
8.	Other invested assets		
9.	Aggregate write-ins for investment income		
10.	Total gross investment income	98,008,907	98,057,629
11.	Investment expenses		(g)3, 139,214
12.	Investment taxes, licenses and fees, excluding federal income taxes		(g)
13.	Interest expense		
14.	Depreciation on real estate and other invested assets		* /
15.	Aggregate write-ins for deductions from investment income		* *
16.	Total deductions (Lines 11 through 15)		
17.	Net investment income (Line 10 minus Line 16)		94,918,415
	DETAILS OF WRITE-INS		, ,
0901.			
0902.			
0903.			
0998.	Summary of remaining write-ins for Line 9 from overflow page		
0999.	Totals (Lines 0901 thru 0903 plus 0998) (Line 9, above)		
1501.	Totalo (Eliico soo talia soo piao soo) (Eliico, asoto)		
1501.			
1502.			
1598.	Summary of remaining write-ins for Line 15 from overflow page		
1599.	Totals (Lines 1501 thru 1503 plus 1598) (Line 15, above)		
1333.	Totals (Lines 1501 tillu 1505 pius 1586) (Line 15, above)		
(a) Inclu	ides \$1,443,508 accrual of discount less \$6,902,128 amortization of premium and less \$1,071,9	44 paid for accrued interpretation	erest on purchases.
(b) Inclu	ides \$ accrual of discount less \$ amortization of premium and less \$	paid for accrued div	idends on purchases.
(c) Inclu	ides \$ accrual of discount less \$ amortization of premium and less \$	paid for accrued into	erest on purchases.
(d) Inclu	interest on er	cumbrances.	
(e) Inclu	ides \$ accrual of discount less \$ amortization of premium and less \$	paid for accrued into	erest on purchases.
(f) Inclu	des \$ accrual of discount less \$ amortization of premium.		
(g) Inclu	· · · · · · · · · · · · · · · · · · ·	ederal income taxes, att	ributable to
segr	regated and Separate Accounts.		

EXHIBIT OF CAPITAL GAINS (LOSSES)

(h) Includes \$ interest on surplus notes and \$ interest on capital notes.

(i) Includes \$ _____ depreciation on real estate and \$ _____ depreciation on other invested assets.

	LAIIIDII	OI OAI I	IAL OAIII	O (LOGGE	. O J	
		1	2	3	4	5
				Total Realized	Change in	Change in Unrealized
		Realized Gain (Loss)	Other Realized	Capital Gain (Loss)	Unrealized	Foreign Exchange
		On Sales or Maturity	Adjustments	(Columns 1 + 2)	Capital Gain (Loss)	Capital Gain (Loss)
1.	U.S. Government bonds					
1.1	Bonds exempt from U.S. tax					
1.2	Other bonds (unaffiliated)			(375, 162)		
1.3	Bonds of affiliates					
2.1	Preferred stocks (unaffiliated)					
2.11	Preferred stocks of affiliates					
2.2	Common stocks (unaffiliated)					
2.21	Common stocks of affiliates					
3.	Mortgage loans					
4.	Real estate					
5.	Contract loans					
6.	Cash, cash equivalents and short-term investments					
7.	Derivative instruments					
8.	Other invested assets					
9.	Aggregate write-ins for capital gains (losses)					
10.	Total capital gains (losses)	(375, 162)		(375, 162)		
	DETAILS OF WRITE-INS					
0901.						
0902.						
0903.						
0998.	Summary of remaining write-ins for Line 9 from overflow page					
0999.	Totals (Lines 0901 thru 0903 plus 0998) (Line 9, above)					

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ANNUAL STATEMENT FOR THE YEAR 2020 OF THE First Symetra National Life Insurance Company of New York

EXHIBIT - 1 PART 1 - PREMIUMS AND ANNUITY CONSIDERATIONS FOR LIFE AND ACCIDENT AND HEALTH CONTRACTS

							Insura	ance					
		1	2	Ordir	nary	5	Gro			Accident and Health		11	12
				3	4 Individual	Credit Life (Group	6	7	8	9 Credit (Group and	10	Aggregate of All Other Lines of	Fraternal (Fraternal Benefi
		Total	Industrial Life	Life Insurance	Annuities	and Individual)	Life Insurance	Annuities	Group	Individual)	Other	Business	Societies Only)
	FIRST YEAR (other than single)	0 000 400					00.404		0.000.050				
	Uncollected	2,333,436					23,484 14.523		2,309,952				
		(37,312)					14,523		(51,83	0)			
3.	Deferred , accrued and uncollected: 3.1 Direct	2,307,602					28,841		2,278,76	1			
	3.2 Reinsurance assumed												
	3.3 Reinsurance ceded	11,478					(9, 166)		20,644				
	3.4 Net (Line 1 + Line 2)	2,296,124					38,007		2,258,117				
4.	Advance	88							88				
5.	Line 3.4 - Line 4	2,296,036					38,007		2,258,029	9			
6.	Collected during year:												
	6.1 Direct	19, 127, 228		661,532	45,334		856,044		17,564,318	8			
	6.2 Reinsurance assumed												
	6.3 Reinsurance ceded	261,034					40,750		220,284				
	6.4 Net	18,866,194		661,532	45,334		815,294		17,344,034				
7.	Line 5 + Line 6.4	21, 162, 230		661,532	45,334		853,301		19,602,063				
8.	Prior year (uncollected + deferred and accrued - advance)	3,049,686		56,306			63,923		2,929,457	7			
9.	First year premiums and considerations:												
	9.1 Direct	18,350,589		605,226	45,334		829,841		16,870,188	8			
	9.2 Reinsurance assumed												
	9.3 Reinsurance ceded	238,046					40,463		197 , 583	3			
	9.4 Net (Line 7 - Line 8)	18, 112, 543		605,226	45,334		789,378		16,672,605	5			
	SINGLE			·									
10.	Single premiums and considerations:												
	10.1 Direct	463.264.462			463.264.462								
	10.2 Reinsurance assumed												
	10.3 Reinsurance ceded												
	10.4 Net				463,264,462								
	RENEWAL	, ,											
11.	Uncollected	3, 110, 587		42.956	(5)		979.329		2,088,307	7			
12.	Deferred and accrued			105,719			184,343		(201,766				
	Deferred, accrued and uncollected:	·					,		,				
	13.1 Direct	3,494,871		166,419			1,343,372		1,985,080	0			
	13.2 Reinsurance assumed								, , , , , , , , , , , , , , , , , ,	-			
	13.3 Reinsurance ceded	295,988		17,744	5		179,700		98,539	9			
	13.4 Net (Line 11 + Line 12)	3, 198, 883		148,675	(5)		1,163,672		1,886,54				
14	Advance	45,543					1,321		44,222				
15.	Line 13.4 - Line 14	3,153,340		148,675	(5)		1,162,351		1,842,319				
	Collected during year:			,5,570	(0)		, .02,001		.,,,,,,,,,				
	16.1 Direct	52,313,730		655,763	140,013		12,506,609		39,011,345	5		1	
	16.2 Reinsurance assumed									-			
	16.3 Reinsurance ceded	3,352,496		199.132	33		2,584,072		569,259	9			
	16.4 Net	48.961.234		456.631	139.980		9,922,537		38,442,086				
17	Line 15 + Line 16.4	52,114,574		605,306	139,975	•	11,084,888		40,284,405			·	
18.	Prior year (uncollected + deferred and accrued - advance)	1,466,217		95, 153	(5)		695, 183		675,886				
	Renewal premiums and considerations:	, 700, 217			(3)					·			
10.	19.1 Direct	53,903,291		714.128	140.013		12.849.484		40.199.665	5		1	
	19.2 Reinsurance assumed									· · · · · · · · · · · · · · · · · · ·			
	19.3 Reinsurance ceded	3,254,933		203,975	33		2,459,779		591,146	6			
	19.4 Net (Line 17 - Line 18)	50.648.357		510.153	139.980		10,389,706		39.608.519				
	TOTAL	50,040,557		010, 100	100,300		10,000,100		00,000,018			+	
20													
20.	Total premiums and annuity considerations: 20.1 Direct	535,518,342		1 210 254	463 440 000		13,679,325		57,069,853	,			
	20.1 Direct 20.2 Reinsurance assumed			1,319,354	463,449,809		13,0/9,325						
	20.3 Reinsurance ceded	3,492,979		203,975	33		2,500,242						
					463,449,776								
	20.4 Net (Lines 9.4 + 10.4 + 19.4)	532,025,362		1,115,379	403,449,776		11, 179, 084		56,281,124	+		I	1

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ANNUAL STATEMENT FOR THE YEAR 2020 OF THE First Symetra National Life Insurance Company of New York

EXHIBIT - 1 PART 2 - POLICYHOLDERS' DIVIDENDS, REFUNDS TO MEMBERS AND COUPONS APPLIED, REINSURANCE COMMISSIONS AND EXPENSE ALLOWANCES AND COMMISSIONS INCURRED (Direct Business Only)

			10L ALLO	MANULUA	IND COMM	II SAIOIS II	Insur			Y /			
		1	2	Ordin	ary	5	Gro			Accident and Health		11	12
				3	4 Individual	Credit Life (Group	6	7	8	9 Credit (Group and	10	Aggregate of All Other Lines of	Fraternal (Fraternal Benefit
		Total	Industrial Life	Life Insurance	Annuities	and Individual)	Life Insurance	Annuities	Group	Individual)	Other	Business	Societies Only)
	POLICYHOLDERS' DIVIDENDS, REFUNDS TO MEMBERS AND COUPONS APPLIED (included in Part 1)												
21	. To pay renewal premiums	•											
22	. All other												
	REINSURANCE COMMISSIONS AND EXPENSE ALLOWANCES INCURRED												
23	. First year (other than single):												
	23.1 Reinsurance ceded	(150)		(150)									
	23.2 Reinsurance assumed												
	23.3 Net ceded less assumed	(150)		(150)									
24	. Single:												
	24.1 Reinsurance ceded	26,395		26,395									
	24.2 Reinsurance assumed												
	24.3 Net ceded less assumed	26,395		26,395									
25	. Renewal:												
	25.1 Reinsurance ceded												
	25.2 Reinsurance assumed												
	25.3 Net ceded less assumed												
26	. Totals:												
	26.1 Reinsurance ceded (Page 6, Line 6)	26,245		26,245									
	26.2 Reinsurance assumed (Page 6, Line 22)												
	26.3 Net ceded less assumed	26,245		26,245									
	COMMISSIONS INCURRED (direct business only)												
27	. First year (other than single)	2,580,381		596, 107			474,886		1,509,388				
28	. Single	8,265,986			8,265,986								
	. Renewal	3,487,360		79,768	90,519		793,858		2,523,215				
30	. Deposit-type contract funds	157,232			157,232								
	. Totals (to agree with Page 6, Line 21)	14,490,959		675,875	8,513,737		1,268,744		4,032,603				

EXHIBIT 2 - GENERAL EXPENSES

						_		_
			Insur			5	6	7
		1	Accident a		4			
		Life	2 Cost Containment	3 All Other	All Other Lines of Business	Investment	Fraternal	Total
1.	Rent	372,567		209,659				582,226
2.		4.168.503		2.345.785				6.514.288
3 11	Contributions for benefit plans for employees			337,558				937,404

	Payments to employees under non-funded benefit							
5.21	plans							
3.22	Payments to agents under non-funded benefit plans		***************************************			***************************************	***************************************	
3 31	Other employee welfare			5.307				14.738
	Other agent welfare							14,700
4.1								
	Medical examination fees			13,424				37.278
								,
	Inspection report fees			4,324				12,008
4.4	Fees of public accountants and consulting actuaries	149, 136		84.207				233,343
4.5	Expense of investigation and settlement of policy	,		,				200,040
E 4	claims			14 000				20.070
	Traveling expenses			14,033	····			38,970
	Advertising			23 , 137				64,252
	Postage, express, telegraph and telephone			39,694				110,231
5.4	Printing and stationery	27 , 192		15,302				42,494
5.5	Cost or depreciation of furniture and equipment	20,296		11,421				31,717
5.6	Rental of equipment							
	Cost or depreciation of EDP equipment and software							214, 113
6.1	Books and periodicals	36 370		20.467				56.837
		12,405		6,981				19,386
	Insurance, except on real estate							
	Miscellaneous losses							
	Collection and bank service charges							20,071
	Sundry general expenses							
	Group service and administration fees			229				229
6.8	Reimbursements by uninsured plans			(22,026)				(22,026
7.1	Agency expense allowance							
7.2	Agents' balances charged off (less \$							
	\$ recovered)			4.320				12.002
7.3				,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,				, , , , , , , , , , , , , , , , , , , ,
	Official publication (Fraternal Benefit Societies	XXX		YYY	XXX	XXX	•	
8.2								
0.2	Benefit Societies Only)	XXX	XXX	XXX	XXX	XXX		
9.1								
						3,139,214		3,139,214
	Aggregate write-ins for expenses	560.430		315,376				875,806
10.		6,280,632		3.514.735		0 400 044	<i>a</i> >	
				3,314,733		3, 139, 214	(b)	(a)12,934,581
11.						66, 170		66, 170
12. 13.	General expenses unpaid Dec. 31, current year Amounts receivable relating to uninsured plans,							
14.	prior year Amounts receivable relating to uninsured plans,							
45	current year				ļ			
15.	General expenses paid during year (Lines 10+11-12-13+14)	6,280,632		3,514,735		3,205,384		13,000,751
	DETAILS OF WRITE-INS				<u> </u>			
9.301.	Consulting Fees	560,426		315,374				
9.302.	Miscellaneous	4		2	1			6
9.303.	m1000114110040							[
	Summary of remaining write-ins for Line 9.3 from overflow page.							
9.399.	Totals (Lines 09.301 thru 09.303 plus 09.398)		•					
	(Line 9.3 above)	560,430		315,376	<u> </u>			875,806
Include	es management fees of \$	to affiliates	and \$	to n	on-affiliates.			

(a) Includes management fees of \$ to athilates and \$ to nor (b) Show the distribution of this amount in the following categories (Fraternal Benefit Societies Only):

1. Charitable \$\frac{1}{2}\$ 2. Institutional \$\frac{1}{2}\$ 3. Recreational and Health \$\frac{1}{2}\$ 5. Religious \$\frac{1}{2}\$ 6. Membership \$\frac{1}{2}\$ 7. Other \$\frac{1}{2}\$

.....; 8. Total ..

			Insurance		4	5	6
		1 Life	2 Accident and Health	3 All Other Lines of Business	Investment	Fraternal	Total
1.	Real estate taxes						
2.	State insurance department licenses and fees	3, 159, 216	162,503				3,321,719
3.	State taxes on premiums	320,667	1, 178, 625				1,499,29
4.	Other state taxes, including \$ 20,591						
	for employee benefits	19,584	1,007				20,59
5.	U.S. Social Security taxes	420,556	21,633				442, 189
6.	All other taxes	4,063	209				4,272
7.	Taxes, licenses and fees incurred	3.924.086	1.363.977				5.288.06
8.	Taxes, licenses and fees unpaid Dec. 31, prior year		, , , , ,				
9.	Taxes, licenses and fees unpaid Dec. 31, current year	289,812					289,812
10.	Taxes, licenses and fees paid during year (Lines 7 + 8 - 9)	4.499.769	1.363.977				5.863.746

EXHIBIT 4 - DIVIDENDS OR REFUNDS

	EXHIBIT 4 - DIVIDENDS OR REFUNDS		
		1	2
1	Applied to pay renewal premiums	Life	Accident and Health
2	Applied to shorten the endowment or premium-paying period		
3.	Applied to provide paid-up additions		
4.	Applied to provide paid-up annuities		
5.	Total Lines 1 through 4		
6.	Paid in cash		
7.	Left on deposit		
8.	Aggregate write-ins for dividend or refund options		
9.	Total Lines 5 through 8		
10.	Amount due and unpaid		
11.	Amount due and unpaid Provision for dividends or refunds payable in the following the lendar of the lenda		
12.	Terminal dividends		
13.	Provision for deferred dividend contracts		
14.	Amount provisionally held for deferred dividend contract of the day in Lie 13		
15.	Total Lines 10 through 14		
16.	Total from prior year		
17.	Total dividends or refunds (Lines 9 + 15 - 16)		
	DETAILS OF WRITE-INS		
0801.			
0802.			
0803.			
0898.	Summary of remaining write-ins for Line 8 from overflow page		
0899.	Totals (Lines 0801 thru 0803 plus 0898) (Line 8 above)		

EXHIBIT 5 - AGGREGATE RESERVE FOR LIFE CONTRACTS

	1	2	3	4	5	6
	ı		3	4	Credit (Group and	0
	Valuation Standard	Total (a)	Industrial	Ordinary	Individual)	Group
	TERM 1980 CSO - CRVM ALB CNF 4.5% (2005)	220 , 106		220 , 106		
	TERM 1980 CSO - CRVM ALB CRF 4.5% (2005)			21		
0100003.	TERM 1980 CSO - CRVM ALB CNF 4% (2006)	70,052		70,052		
	TERM 1980 CSO - CRVM ALB CRF 4% (2006)			20		
	TERM 2001 CSO - CRVM ALB CNF 4% (2006-2012)			406,882		
	TERM 2001 CSO - CRVM ALB CRF 4% (2006-2011)	208		208		
0100007.	TERM 2001 CSO - CRVM ALB CNF 3.5% (2013-2018)					
				945,358		
	TERM 2001 CSO - CRVM ALB CRF 3.5% (2017)			58		
	TERM 2017 CSO - CRVM ALB CNF 3.5% (2019)			537,583		
0100010.	TERM 2017 CSO - VM-20 4.5% NPR (2020)			88,270		
0100011.	TERM VM-20 DET/STO (2020)	774,541		774,541		
0100012.	UL 2001 CSO - CRVM ALB CRF 4% (2008-2012)	15,968,032		15,968,032		
0100013.	UL 2001 CSO - CRVM ALB CRF 3.5% (2013-2019)	6,331,968		6,331,968		
0199997. T	Totals (Gross)	25,343,099		25,343,099		
0199998. F	Reinsurance ceded	1,022,597		1,022,597		
0199999. L	ife Insurance: Totals (Net)	24,320,502		24,320,502		
0200001.	DEF NFI - 83 GAM Projected 20 Years W/H Fund					
l l	ANB (1990-1995, 1997)	2,606,607	XXX		XXX	2,606,607
0200002.	DEF NFI - 83a Projected 20 Years W/G Fund ANB					
	(1992, 1994)	184,446	XXX	184 , 446	XXX	
0200003.	DEF NFI - 83a Projected 20 Years W/G 5.75%					
(CARVM ANB (1993, 1995)	196.896	XXX	196,896	XXX	
0200004.	DEF NFI - 83a Projected 20 Years W/G 5.50%					
(CARVM ANB (1994, 1996)	459 658	XXX	459,658	XXX	
0200005.	DEF NFI - 83a Projected 20 Years W/G 6.00%					
(CARVM ANB (1995)	383 694	xxx		xxx	
0200006.	DEF NFI - 83a Projected 20 Years W/G 5.25%			,		
(CARVM ANB (1996–1998)	74 607	xxx	74,607	XXX	<u> </u>
0200007.	DEF NFI - 83a Projected 20 Years W/G 5.00%					
	CARVM ANB (1998)	1 832	xxx	1,832	XXX	
0200008.	DEF NFI - a-2000 5.50% CARVM ANB (2002)	6 110 286	XXX	6,110,286	XXX	
	DEF NFI - a-2000 5.00% CARVM ANB (2003, 2009)					
	DEI 141	46,627,662	XXX	46,627,662	XXX	
	DEF NFI - a-2000 4.75% CARVM ANB (2004. 2007-					
	2008)	26,811,415	XXX	26,811,415	XXX	
0200011.	DEF NFI - a-2000 4.50% CARVM ANB (2005-2008,					
	2010)	14,942,948	XXX	14,942,948	XXX	
	DEF NFI - a-2000 4.25% CARVM ANB (2010-2011)					
	DE 14 1 4 2000 1.20% O/H/W 74D (2010 2011)	22.277.786	XXX	22,277,786	xxx	
	DEF NFI - a-2000 3.75% CARVM ANB (2012-2013)					
	DEI 141 1 - 4-2000 3.75% CATIVIII AND (2012-2013)	96 992 203	XXX	96,992,203	XXX	
	DEF NFI - a-2000 4.00% CARVM ANB (2014)		XXX	150,496,513	XXX	
	DEF NFI - 2012 IAR 3.75% CARVM ANB (2015-					
	,	1, 113, 259, 973	YYY	1,113,259,973	XXX	
	DEF NFI - 2012 IAR 3.50% CARVM ANB (2017-					
	2018)	804 511 670	YYY	804,511,679	XXX	
	DEF NFI - 2012 IAR 3.25% CARVM ANB (2020)		XXX	451,106,217	XXX	
	IMM FI - a-2000 6.5% CARVM ANB (2002)			103,808	XXX	
	IMM F1 - a-2000 6% CARVM ANB (2003, 2009)	3,913,253	XXX	3,913,253	XXX	
	IMM F1 - a-2000 5.5% CARVM ANB (2004, 2007-					
	2008)	2 288 856	YYY	2,288,856	xxx	
	IMM FI - a-2000 5.25% CARVM ANB (2005-2006,			2,200,000		
	2010)	4.743.729	YYY	4,743,729	XXX	
	IMM FI - a-2000 5% CARVM ANB (2011)				XXX	
	IMM FI - a-2000 3.25% CARVM ANB (2012-2014)	6,703,556	XXX	6,703,556	XXX	
	IMM FI - 2012 IAR 3.5% CARVM ANB (2015-2017)			,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,		
	1000 F1 - 2012 IAN 3.3% CANVO AND (2013-2017)	7,358,758	YYY	7,358,758	XXX	
	IMM FI - 2012 IAR 3.75% CARVM ANB (2018)	1,854,001	XXX		XXX	
	IMM FI - 2012 IAR 3.75% CARVW AND (2018)	1,004,001		1,004,001		
	Jumbo (2020)	107,550	vvv	107,550	XXX	
	IMM FI - 2012 IAR 2.00-2.49% VM-22 ANB Non-			107,330		
	Jumbo (2020)	2,703,848	YYY	2,703,848	XXX	
	IMM FI - 2012 IAR 2.50-2.99% VM-22 ANB Non-					
	Jumbo (2019–2020)	623 030	YYY	623,939	XXX	
0200029	IMM FI - 2012 IAR 3.00-3.49% VM-22 ANB Non-	520,000				
		2,049,551	XXX	2,049,551	XXX	
0200030.	IMM F1 - 2012 IAR 3.50-3.99% VM-22 ANB Non-					
	Jumbo (2019)	582 523	XXX	582,523	XXX	
0200031	IMM FI - 2012 IAR 4.00-4.49% VM-22 ANB Non-					
	Jumbo (2019)	487 204	YYY	487,294	XXX	
0200032	DEF FI - a-2000 4.5% CARVM ANB (2011)	138 708	XXX	138,708	XXX	
	DEF FI - a-2000 4.75% CARVM ANB (2011)		XXX		XXX	
	DEF FI - a-2000 5% CARVM ANB (2011)				XXX	
	DEF FI - a-2000 3.25% CARVM ANB (2013-2014)	984,000	XXX		XXX	
	DEF FI - 2012 IAR 3.5% ANB Non-Jumbo (2015-					
	2017)	798 886	XXX	798,886	XXX	
	DEF FI - 2012 IAR 3.75% ANB Non-Jumbo (2018)		XXX	1,501,247	XXX	
	DEF FI - 2012 IAR 2.00-2.49% VM-22 ANB Non-					
		499,795	XXX	499,795	XXX	
	DEF FI - 2012 IAR 3.00-3.49% VM-22 ANB Non-					
	Jumbo (2019–2020)	1 177 185	XXX	1, 177, 185	XXX	
0200040	DEF EL - 2012 LAR 3 50-3 99% VM-22 ANB Non-					
	Jumbo (2019)	565,476	xxx	565,476	XXX	
	DEF FI - 2012 IAR 4.00-4.49% VM-22 ANB Non-					
	Jumbo (2019)	989 392	XXX	989,392	XXX	
0200042.	SS FI - 83a 4.5% CARVM ANB (2011)	620 . 174	XXX		XXX	
	SS FI - 83a 5% CARVM ANB (2011)	2,377,852	XXX	2,377,852	XXX	
	SS FI - 83a 3.25% CARVM ANB (2012)		XXX	5,630,167	XXX	
	Fotals (Gross)	2,787,667,413	XXX	2,785,060,806	XXX	2,606,607
	Reinsurance ceded	_,. 5.,551,110	XXX	_,.20,030,000	XXX	_,550,557
U299990. F			XXX	2,785,060,806	XXX	
	Annuities: Totals (Net)	2,787,667,413				2,606,607

EXHIBIT 5 - AGGREGATE RESERVE FOR LIFE CONTRACTS

1	2	3	4	5 Credit	6
				(Group and	
Valuation Standard	Total (a)	Industrial	Ordinary	Individual)	Group
0399998. Reinsurance ceded					
0399999. SCWLC: Totals (Net)					
0400001. Unearned Premium	267		267		
0499997. Totals (Gross)	267		267		
0499998. Reinsurance ceded					
0499999. Accidental Death Benefits: Totals (Net)	267		267		
0500001. Unearned Premium	1,920		1,920		
0599997. Totals (Gross)	1,920		1,920		
0599998. Reinsurance ceded					
0599999. Disability-Active Lives: Totals (Net)	1,920		1,920		
0600001. 2005 GTL PW 3.50% (2013-2020)	654,001				654,001
0600002. Unreported Claims Estimate	665,534				665,534
0699997. Totals (Gross)	1,319,535				1,319,535
0699998. Reinsurance ceded	6,557				6,557
0699999. Disability-Disabled Lives: Totals (Net)	1,312,978				1,312,978
0700001. For excess of valuation net premiums over					
corresponding gross premiums on respective					
policies, computed according to the standard					
of valuation required by this state	380,753				
0700002. For non-deduction of deferred fractional					
premiums or return of premiums at the death of					
the insured.	6,226		6,226		
0700003. Interest on Suspense and Holding Account	8,960		8,960		
0700004. AG XXXII Reserve for Immediate Payment of					
Claims	20,538				
0700005. Cash Flow Testing Reserve	9,000,000		9,000,000		
0700006. Extra Mortality on Substandard Policies			19,941		
0799997. Totals (Gross)	9,436,418		9,436,418		
0799998. Reinsurance ceded	908		908		
0799999. Miscellaneous Reserves: Totals (Net)	9,435,510		9,435,510		
9999999. Totals (Net) - Page 3, Line 1	2,822,738,590		2,818,819,005		3,919,585

Wiscellaneous Reserves \$

EXHIBIT 5 - INTERROGATORIES

1.1	Has the reporting entity ever issued both participating and non-participating contracts?	У	es []	No [X]
1.2	If not, state which kind is issued.				
	Non-Participating				
2.1	Does the reporting entity at present issue both participating and non-participating contracts?	Y	es []	No [X]
2.2	If not, state which kind is issued.				
	Non-Participating				
3.	Does the reporting entity at present issue or have in force contracts that contain non-guaranteed elements?	Y	es [X]	No []
	If so, attach a statement that contains the determination procedures, answers to the interrogatories and an actuarial opinion as described in the instructions.				
4.	Has the reporting entity any assessment or stipulated premium contracts in force?	Υ	es []	No [X]
	If so, state:				
	4.1 Amount of insurance?	\$			
	4.2 Amount of reserve?	\$			
	4.3 Basis of reserve:				
	4.4 Basis of regular assessments:				
	4.5 Basis of special assessments:				
	4.6 Assessments collected during the year				
5.	If the contract loan interest rate guaranteed in any one or more of its currently issued contracts is less than 5%, not in advance, state the contract loan rate guarantees on any such contracts.				
6.	N/A	٠.	/oc [V	1	No []
0.	6.1 If so, state the amount of reserve on such contracts on the basis actually held:				
	6.2 That would have been held (on an exact or approximate basis) using the actual ages of the annuitants; the interest rate(s) used in 6.1; and				0, 132,047
	the same mortality basis used by the reporting entity for the valuation of comparable annuity benefits issued to standard lives. If the	1			
	reporting entity has no comparable annuity benefits for standard lives to be valued, the mortality basis shall be the table most recently				
	approved by the state of domicile for valuing individual annuity benefits:	\$			11,559,065
_	Attach statement of methods employed in their valuation.			_	
7.	Does the reporting entity have any Synthetic GIC contracts or agreements in effect as of December 31 of the current year?				
	7.1 If yes, state the total dollar amount of assets covered by these contracts or agreements	Ď			
	7.2 Specify the basis (fair value, amortized cost, etc.) for determining the amount:				
	7.3 State the amount of reserves established for this business:				
	7.4 Identify where the reserves are reported in the blank:				
8.	Does the reporting entity have any Contingent Deferred Annuity contracts or agreements in effect as of December 31 of the current year?	Ү	es []	No [X]
	8.1 If yes, state the total dollar amount of account value covered by these contracts or agreements:	\$			
	8.2 State the amount of reserves established for this business:	\$			
	8.3 Identify where the reserves are reported in the blank:				
9.	Does the reporting entity have any Guaranteed Lifetime Income Benefit contracts, agreements or riders in effect as of December 31 of the		, ,	,	N FV 1
	current year?	Y	es []	NO [X]
	9.1 If yes, state the total dollar amount of any account value associated with these contracts, agreements or riders:				
	9.2 State the amount of reserves established for this business:	Þ			
	9.3 Identify where the reserves are reported in the blank:				

EXHIBIT 5A - CHANGES IN BASES OF VALUATION DURING THE YEAR

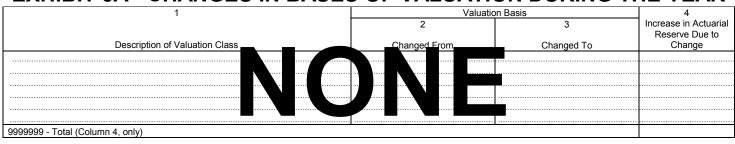


EXHIBIT 6 - AGGREGATE RESERVES FOR ACCIDENT AND HEALTH CONTRACTS (a)

	LAIIIDII 0 -				1	I .		1						1
		1	Comprel		4	5	6	7	8	9	10	11	12	13
			2	3				Federal						
					Medicare			Employees Health Benefits	Title XVIII	Title XIX		Disability	Long-Term	
		Total	Individual	Group	Supplement	Vision Only	Dental Only	Plan	Medicare	Medicaid	Credit A&H	Income	Care	Other Health
	ACTIVE LIFE RESERVE	Total	individual	Огоар	Саррістоп	Violen Ciny	Bernar Omy	1 1011	Modicare	Modicaid	Ordan / tarr	moonie	ouro	Other Health
1	Unearned premium reserves													
2.	Additional contract reserves (b)	224.459												224.459
3	Additional actuarial reserves-Asset/Liability analysis													
4	Reserve for future contingent benefits													
5.	Reserve for rate credits	260.683												260,683
6.	Aggregate write-ins for reserves													
7	Totals (Gross)	485,142												485 , 142
8	Reinsurance ceded				-									
9	Totals (Net)	485,142												485,142
<u> </u>	CLAIM RESERVE	,												190,111
10	Present value of amounts not yet due on claims	3,600,531										3.600.531		
	Additional actuarial reserves-Asset/Liability analysis													
12.	Reserve for future contingent benefits													
	Aggregate write-ins for reserves													
	Totals (Gross)	3.600.531										3,600,531		
	Reinsurance ceded	20,650										20.650		
	Totals (Net)	3,579,881										3,579,881		
	TOTAL (Net)	4,065,023										3,579,881		485,142
	TABULAR FUND INTEREST	56,142										56,142		190,111
	DETAILS OF WRITE-INS											,		
0601	DETAILED OF WHATE INC													
	Summary of remaining write-ins for Line 6 from overflow page													
	TOTALS (Lines 0601 thru 0603 plus 0698) (Line 6 above)													
1302.														
1303.														
	Summary of remaining write-ins for Line 13 from overflow page													
	TOTALS (Lines 1301 thru 1303 plus 1398) (Line 13 above)													

⁽a) Indicate if blocks of business in run-off that comprise less than 5% of premiums and less than 5% of reserve and loans liability are aggregated with material blocks of business and which columns are affected.

⁽b) Attach statement as to valuation standard used in calculating this reserve, specifying reserve bases, interest rates and methods.

EXHIBIT 7 - DEPOSIT TYPE CONTRACTS

EXIIIDI I D		10.0				
	1	2 Guaranteed	3	4 Supplemental	5 Dividend Accumulations or	6 Premium and Other
	Total	Interest Contracts	Annuities Certain	Contracts	Refunds	Deposit Funds
Balance at the beginning of the year before reinsurance			33,041,592			155,444
Deposits received during the year	4,953,656		4,458,391			495,265
Investment earnings credited to the account	1,037,928		1,028,915			9,013
4. Other net change in reserves						
Fees and other charges assessed						
6. Surrender charges						
7. Net surrender or withdrawal payments	6,215,421		6, 114, 174			101,247
Other net transfers to or (from) Separate Accounts						
9. Balance at the end of current year before reinsurance (Lines 1+2+3+4-5-6-7-8)	32,973,199		32,414,724			558,475
10. Reinsurance balance at the beginning of the year						
11. Net change in reinsurance assumed						
12. Net change in reinsurance ceded						
13. Reinsurance balance at the end of the year (Lines 10+11-12)						
14. Net balance at the end of current year after reinsurance (Lines 9 + 13)	32,973,199		32,414,724			558,475

EXHIBIT 8 - CLAIMS FOR LIFE AND ACCIDENT AND HEALTH CONTRACTS

PART 1 - Liability End of Current Year

		1	2		Ordinary		6	Gro	oup		Accident and Health	
				3	4	5		7	8	9	10	11
		Total	Industrial Life	Life Insurance	Individual Annuities	Supplementary Contracts	Credit Life (Group and Individual)	Life Insurance	Annuities	Group	Credit (Group and Individual)	Other
Due and unpaid:							,					
	1.1 Direct											
	1.2 Reinsurance assumed											
	1.3 Reinsurance ceded											
	1.4 Net											
2. In course of settlement:												
2.1 Resisted	2.11 Direct											
	2.12 Reinsurance assumed											
	2.13 Reinsurance ceded											
	2.14 Net			(b)	(b)		(b)	(b)				
2.2 Other	2.21 Direct	4,384,844		425,605				1,333,515		2,625,724		
	2.22 Reinsurance assumed											
	2.23 Reinsurance ceded	133,238		120,000				13,238				
	2.24 Net	4,251,606		(b)305,605	(b)		(b)	(b)1,320,277		(b)2,625,724	(b)	(b)
3. Incurred but unreported:												
	3.1 Direct	12,379,098		22,530				2,046,718		10,309,850		
	3.2 Reinsurance assumed											
	3.3 Reinsurance ceded	427,276						226,512		200,764		
	3.4 Net	11,951,822		(b)22,530	(b)		(b)	(b)1,820,206		(b)10,109,086	(b)	(b)
4. TOTALS	4.1 Direct	16,763,942		448 , 135				3,380,233		12,935,574		
	4.2 Reinsurance assumed			,								
	4.3 Reinsurance ceded	560,514		120,000				239,750		200,764		
	4.4 Net	16,203,428	(a)	(a) 328,135				(a) 3,140,483		12,734,810		

(a) Including matured endowments (but not guaranteed annual pure endove	wments) unpaid amounting to \$	in Column 2, \$	in Column 3 and \$	in Column 7.	
(b) Include only portion of disability and accident and health claim liabilities	applicable to assumed "accrued" benefits. Reserves	(including reinsurance assumed and net of	f reinsurance ceded) for unaccrued benefits	for Ordinary Life Insurance \$	
Individual Annuities \$, Credit Life (Group and	Individual) \$, and Group Life	\$\$, are included in	Page 3, Line 1, (See Exhibit 5, Section on 9	Disability Disabled Lives); and for Group Accident and Health \$	
Cradit (Croup and Individual) Accident and Health \$	and Other Accident and Health \$	are included in Page 3. Line 1	(Soo Evhibit 6 Claim Poson(o)		

EXHIBIT 8 - CLAIMS FOR LIFE AND ACCIDENT AND HEALTH CONTRACTS

PART 2 - Incurred During the Year

		1	2		Ordinary	2 - Incurred During	f lite real	Gro	nun		Accident and Health	
		•	-	3	4	5	1	7	8	9	10	11
		Total	Industrial Life (a)	Life Insurance (b)	Individual Annuities	Supplementary Contracts	Credit Life (Group and Individual)	Life Insurance (c)	Annuities	Group	Credit (Group and Individual)	Other
1.	Settlements During the Year:			(3)			,	(-/			,	
	1.1 Direct	71,823,080		2,019,315	4,357,227			14,637,876		50,808,663		
	1.2 Reinsurance assumed			, ,	, , , , , , , , , , , , , , , , , , ,					, , , , , , , , , , , , , , , , , , ,		
	1.3 Reinsurance ceded	2,570,421						2,458,802		111,619		
	1.4 Net	(d)69,252,660		2,019,315	4,357,227			12,179,074		50,697,044		
2.	Liability December 31, current year from Part 1:											
	2.1 Direct	16,763,942		448 , 135				3,380,233		12,935,574		
	2.2 Reinsurance assumed											
	2.3 Reinsurance ceded	560,514		120,000				239,750		200,764		
	2.4 Net	16,203,428		328 , 135				3,140,483		12,734,810		
3.	Amounts recoverable from reinsurers December 31, current year	182,796						147.737		35.059		
4.	Liability December 31, prior year:									,		
	4.1 Direct	16,928,876		200,569				1,705,121		15,023,186		
	4.2 Reinsurance assumed	, , ,		, , ,				, ,		, ,		
	4.3 Reinsurance ceded	503,625						223,625		280,000		
	4.4 Net	16,425,251		200,569				1,481,496		14,743,186		
5.	Amounts recoverable from reinsurers December 31, prior year	465,191		·				465,191				
6.	Incurred Benefits	•						, -				
	6.1 Direct	71,658,146		2,266,881	4,357,227			16,312,988		48,721,050		
	6.2 Reinsurance assumed	, , , <u>, , , , , , , , , , , , , , , , </u>		, ,								
	6.3 Reinsurance ceded	2,344,915		120,000				2, 157, 473		67,442		
	6.4 Net	69,313,231		2,146,881	4,357,227			14, 155, 515		48,653,608		

(a) Including matured endowments (but not guaranteed annual pure endowments) amounting to \$		in Line 1.1, \$	in Line 1.4.
	\$	in Line 6.1, and \$	in Line 6.4.
(b) Including matured endowments (but not guaranteed annual pure endowments) amounting to \$		in Line 1.1, \$	in Line 1.4.
	\$	in Line 6.1, and \$	in Line 6.4.
(c) Including matured endowments (but not guaranteed annual pure endowments) amounting to \$		in Line 1.1, \$	in Line 1.4.
	\$	in Line 6.1, and \$	in Line 6.4.
(d) Includes \$ premiums waived under total and permanent disability benef	its.		

EXHIBIT OF NON-ADMITTED ASSETS

	EXHIBIT OF NON-ADMITTE	1 Current Year Total	2 Prior Year Total	3 Change in Total Nonadmitted Assets
		Nonadmitted Assets	Nonadmitted Assets	(Col. 2 - Col. 1)
1.	Bonds (Schedule D)			
2.	Stocks (Schedule D):			
	2.1 Preferred stocks			
	2.2 Common stocks			
3.	Mortgage loans on real estate (Schedule B):			
	3.1 First liens			
	3.2 Other than first liens			
4.	Real estate (Schedule A):			
	4.1 Properties occupied by the company			
	4.2 Properties held for the production of income			
	4.3 Properties held for sale			
5.	Cash (Schedule E - Part 1), cash equivalents (Schedule E - Part 2) and short-term investments (Schedule DA)			
6.	Contract loans			
7.	Derivatives (Schedule DB)			
8.	Other invested assets (Schedule BA)			
9.	Receivables for securities		22,624	22,624
10.	Securities lending reinvested collateral assets (Schedule DL)			
11.	Aggregate write-ins for invested assets			
12.	Subtotals, cash and invested assets (Lines 1 to 11)		22,624	22,624
13.	Title plants (for Title insurers only)			
14.	Investment income due and accrued			
15.	Premiums and considerations:			
	15.1 Uncollected premiums and agents' balances in the course of collection	930.813	424 . 155	(506.658)
	15.2 Deferred premiums, agents' balances and installments booked but deferred and not yet due			
	15.3 Accrued retrospective premiums and contracts subject to redetermination			
16.	Reinsurance:			
10.	16.1 Amounts recoverable from reinsurers			
	16.2 Funds held by or deposited with reinsured companies			
47	16.3 Other amounts receivable under reinsurance contracts			
	Amounts receivable relating to uninsured plans			
	Current federal and foreign income tax recoverable and interest thereon		40, 450, 050	FOF 444
	Net deferred tax asset		12,453,953	535,141
19.	Guaranty funds receivable or on deposit			
20.	Electronic data processing equipment and software			
21.	Furniture and equipment, including health care delivery assets			
22.	Net adjustment in assets and liabilities due to foreign exchange rates			
23.	Receivables from parent, subsidiaries and affiliates			
24.	Health care and other amounts receivable			
25.	Aggregate write-ins for other than invested assets	1,517,430	2,308,278	790,848
26.	Total assets excluding Separate Accounts, Segregated Accounts and Protected Cell Accounts (Lines 12 to 25)	14,367,055	15,223,928	856,873
27.	From Separate Accounts, Segregated Accounts and Protected Cell Accounts			
28.	Total (Lines 26 and 27)	14,367,055	15,223,928	856,873
	DETAILS OF WRITE-INS			
1101.				
1102.				
1103.				
1198.	Summary of remaining write-ins for Line 11 from overflow page			
1199.	Totals (Lines 1101 thru 1103 plus 1198)(Line 11 above)			
2501.	Interest maintenance reserve	1,475,413	1,533,672	58,259
2502.	Other accounts receivable and prepaid expenses		774,606	732,589
2503.			,	,
2598.	Summary of remaining write-ins for Line 25 from overflow page			
2599.	Totals (Lines 2501 thru 2503 plus 2598)(Line 25 above)	1,517,430	2,308,278	790,848
		.,011,100	_,000,210	100,040

Summary of Significant Accounting Policies

A. Accounting Practices

The accompanying financial statements of First Symetra National Life Insurance Company of New York (the Company) have been prepared on the basis of accounting practices prescribed or permitted by the New York State Department of Financial Services-Insurance Division (the Department).

Companies domiciled in New York prepare their statutory-basis financial statements in accordance with the National Association of Insurance Commissioners' (NAIC) *Accounting Practices and Procedures Manual* (NAIC SAP) subject to any deviations prescribed or permitted by the Department.

A reconciliation of the Company's net income and surplus between NAIC SAP and practices prescribed and permitted by the state of New York is shown below.

				F	or the Year Ended [December 31,
	SSAP #	F/S Page	F/S Line #		2020	2019
Net income (loss) - New York Basis (Page 4, Line 35, Columns 1 & 2)				\$	(4,655,505) \$	2,670,020
State Prescribed Practices - None	N/A	N/A	N/A		_	_
State Prescribed Practices - None	N/A	N/A	N/A		_	_
Net income (loss) - NAIC SAP				\$	(4,655,505) \$	2,670,020
					As of Decemb	per 31,
	SSAP #	F/S Page	F/S Line #		2020	2019
Statutory Surplus - New York Basis				\$	2020 138,456,724 \$	2019 142,265,847
Statutory Surplus - New York Basis State Prescribed Practices - None				\$		
· ·	#	Page	Line #	\$		
State Prescribed Practices - None	# N/A	Page N/A	Line #	\$		

The Company has received written approval from the Department to borrow funds from Symetra Life Insurance Company (the Parent).

B. Use of Estimates in the Preparation of the Financial Statements

The preparation of financial statements in conformity with NAIC SAP requires management to make estimates and assumptions that affect the amounts reported in the financial statements and accompanying notes. Such estimates and assumptions could change in the future as more information becomes available, which could impact the amounts reported and disclosed herein.

C. Accounting Policy

Premiums are recognized annually on the policy anniversary for individual traditional life policies, consistent with the statutory reserving process. Premiums for universal life policies and annuity considerations with mortality and morbidity risk are recognized when received. Amounts received under deposit-type contracts with no life contingencies, including certain group annuity contracts, are recorded as liabilities when received. Group life, health, and disability premiums are recognized when due. The costs of acquiring and renewing business and sales inducements are expensed when incurred.

In addition, the following provides a summary of the Company's key accounting policies:

- (1) Short-term investments are stated in the same manner as comparable longer-term investments described below.
- (2) Bonds, excluding loan-backed and structured securities are stated at amortized cost using the scientific method, except for those with an NAIC designation of 6, which are reported at lower of amortized cost or fair value.
- (3) The Company does not currently invest in common stocks.
- (4) The Company does not currently invest in preferred stocks.
- (5) Mortgage loans on real estate are carried at the outstanding principal balances less any impairment.

- (6) Loan-backed securities, including mortgage backed securities, are stated at amortized cost, and income is recognized using a constant effective yield based on anticipated prepayments and the estimated economic life of the securities. Prepayment assumptions are based on current interest rates and the economic environment. When actual prepayments differ significantly from anticipated prepayments, the effective yield is recalculated to reflect actual payments to date and estimated future payments. The net investment in the security is adjusted to the amount that would have existed had the new effective yield been applied since the acquisition of the security (i.e. the retrospective method). The Company includes any resulting adjustment in net investment income in the current period.
- (7) The Company has no investments in subsidiaries, controlled or affiliated companies.
- (8) The Company has no investments in joint ventures, partnerships or limited liability companies.
- (9) The Company does not currently invest in derivatives.
- (10) The Company does not use anticipated investment income as a factor in the premium deficiency calculation.
- (11) For group long term disability and group life premium waiver, the liabilities for losses and loss/claim adjustment expense on reported claims are classified as disabled life reserves (DLR); these reserves are calculated on a seriatim basis using tabular methods and discounted for interest, with assumptions reviewed on an annual basis. The remaining liabilities for losses and loss/claim adjustment expense on these and all other group benefit products are for unreported claims and are classified as incurred but not reported (IBNR); these reserves are calculated using a blend of completion factors and loss ratio picks. Assumptions and adequacy are reviewed periodically.
- (12) The Company has not materially modified its capitalization policy from prior year.
- (13) The Company has no pharmaceutical rebate receivables.

D. Going Concern

After evaluating the entity's ability to continue as a going concern, management was not aware of any conditions or events which raised substantial doubts concerning the entity's ability to continue as a going concern as of the date of the filing of this statement.

2. Accounting Changes and Correction of Errors

Accounting Changes

There were no accounting changes for the years ended December 31, 2020 or 2019.

Correction of Errors

There were no errors discovered for the years ended December 31, 2020 or 2019.

3. Business Combinations and Goodwill

Not applicable

4. Discontinued Operations

Not applicable

5. Investments

A. Mortgage Loans

- (1) The maximum and minimum lending rates for commercial mortgage loans made during 2020 were 4.15% and 2.60%, respectively. The maximum and minimum lending rates for commercial mortgage loans made during 2019 were 5.04% and 3.54%, respectively.
- (2) The maximum percentage of any one loan to value at origination was 65.7% and 67.7% for loans funded during the years ended December 31, 2020 and 2019, respectively.
- (3) As of December 31, 2020 and 2019, there were no taxes, assessments or amounts advanced not included in the mortgage loan total.

(4) Age Analysis of Mortgage Loans and Identification of Mortgage Loans in Which the Insurer is Participant or Co-Lender in a Mortgage Loan Agreement:

a. Current Year 1. Recorded Investment (AII) (a) Current (a) Sups Past Due (b) 30-59 Days Past Due (c) 60-89 Days Past Due (d) 90-179 Days Past Due (e) 180+ Days Past Due (e) 180+ Days Past Due (a) Recorded Investment (b) Interest 90-179 Days Past Due (a) Recorded Investment (b) Interest Accrued 3. Accruing Interest 180+ Days Past Due (a) Recorded Investment (b) Interest Accrued 3. Accruing Interest 180+ Days Past Due (a) Recorded Investment (b) Interest Accrued 3. Accruing Interest 180+ Days Past Due (a) Recorded Investment (b) Interest Accrued 3. Accruing Interest 180+ Days Past Due (a) Recorded Investment (b) Number of Loans (c) Percent Reduced (a) Recorded Investment (b) Number of Loans (c) Percent Reduced (c) 60-89 Days Past Due (c) 60-89 Days Past Due (c) 60-89 Days Past Due (d) 90-179 Days Past Due (e) 180+ Days Past Due (e) 180+ Days Past Due (a) Recorded Investment (b) Interest Accrued 3. Accruing Interest 90-179 Days Past Due (a) Recorded Investment (b) Interest Accrued 4. Recorded Investment (b) Interest Accrued 3. Accruing Interest 180+ Days Past Due (a) Recorded Investment (b) Interest Accrued 3. Accruing Interest 180+ Days Past Due (a) Recorded Investment (b) Interest Accrued 4. Recorded Investment (c) Interest Accrued 5. Participant or Co-Lender in a Mortgage Loan Loan Loan Loan Loan Loan Loan Loan			Comr	mercial	
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	(c) Percent Reduced		— %	— %	— %
(a) Recorded Investment \$ — \$ — —	5. Participant or Co-Lender in a Mortgage Loan A	Agreement			
	(a) Recorded Investment	\$	_	\$ -	\$ <u> </u>

- (5) The Company had no investments in impaired loans with or without a related allowance for credit losses as of December 31, 2020 or 2019.
- (6) The Company had no investment in impaired loans as of December 31, 2020 or 2019.
- (7) The Company had no allowance for credit losses as of December 31, 2020 or 2019.
- (8) The Company had no loans derecognized as a result of foreclosure as of December 31, 2020 or 2019.
- (9) The Company accrues interest income on impaired loans to the extent it is deemed collectible (delinquent less than 90 days) and the loan continues to perform under its original or restructured contractual terms. Interest income on non-performing loans is recognized upon receipt.

B. Debt Restructuring

- (1) The Company had no investments in restructured loans as of December 31, 2020 or 2019.
- (2) (3) Not applicable
- (4) See Note 5.A. (9)

C. Reverse Mortgages

The Company has no investments in reverse mortgages.

D. Loan-Backed Securities

- (1) Prepayment assumptions for single and multi-class mortgage-backed securities are obtained primarily from broker dealer survey values or internal estimates when survey values are not available.
- (2) The Company did not recognize other-than-temporary impairments (OTTI) for loan backed and structured securities due to the intent to sell or inability to hold for the year ended December 31, 2020.
- (3) As of December 31, 2020 the Company did not hold any investments in loan-backed and structured securities for which OTTI has been recognized where the present value of cash flows expected to be collected is less than the amortized cost of the security.
- (4) All impaired securities (fair value is less than cost or amortized cost) for which an OTTI has not been recognized in earnings as a realized loss (including securities with a recognized OTTI for non-interest related declines when a non- recognized interest related impairment remains):
 - a. The aggregate related amount of unrealized losses

1. Less than 12 months \$ 1,321,821 2. 12 months or longer —

b. The aggregate related fair value of securities with unrealized losses

1. Less than 12 months \$ 72,109,696 2. 12 months or longer —

(5) The Company's review of available-for-sale investment securities for OTTI includes both quantitative and qualitative criteria. Quantitative criteria include the length of time and amount that each security is in an unrealized loss position (i.e., is underwater) and, for bonds, whether expected future cash flows indicate that a credit loss exists.

While all securities are monitored for impairment, the Company's experience indicates that under normal market conditions, securities for which the cost or amortized cost exceeds fair value by less than 20% do not typically represent a significant risk of impairment and, often, fair values recover over time as the factors that caused the declines improve. If the estimated fair value has declined and remained below cost or amortized cost by 20% or more for at least six months, the Company further analyzes the decrease in fair value to determine whether it is an other-than-temporary decline. To make this determination for each security, the Company considers, among other factors:

- Extent and duration of the decline in fair value below cost or amortized cost;
- Financial condition and near-term prospects of the issuer of the security, including any specific events that may affect its operations, earnings potential or compliance with terms and covenants of the security;
- · Changes in the financial condition of the security's underlying collateral;
- Any downgrades of the security by a rating agency;
- Nonpayment of scheduled interest;
- · Other indications that a credit loss has occurred; and
- For bonds, the Company's intent to sell or whether it is more likely than not the Company will be required to sell the bond prior to recovery of its amortized cost, considering any regulatory developments, prepayments or call modifications and the Company's liquidity needs.

E.- K. Not applicable

L. Restricted Assets

(1) Restricted Assets (Including Pledged)

()		`	/		=						
			s (Admitted Current Yea	and Nonadm	itted) Rest	ricted I			Curre	ent Year	
									Ount	Percen	tage
	1	2	3	4	5	6	7	8	9	10	11
Restricted Asset Category	Total General Account (G/A)	G/A Supporting S/A Activity (a)	Total Separate Account (S/A) Restricted Assets	S/A Assets Supporting G/A Activity (b)	Total (1 plus 3)	Total From Prior Year	Increase/ (Decrease) (5 minus 6)	Total Nonadmitt ed Restricted	Total Admitted Restricted (5 minus 8)	Gross (Admitted & Nonadmitted) Restricted to Total Assets (c)	Admitted Restricted to Total Admitted Assets (d)
a. Subject to											
contractual obligation for which liability is not shown	\$ —	\$ —	\$ —	\$ —	\$ —	\$ —	\$ —	\$ —	\$ —	— %	— %
b. Collateral held under security lending agreements	_	_	_	_	_	_	_	_	_	_	_
c. Subject to repurchase											
agreements d. Subject	_	_	_	_	_	_	_	_	_	_	_
to reverse repurchase agreements	_	_	_	_	_	_	_	_	_	_	_
e. Subject to dollar repurchase agreements	_	_	_	_	_	_	_	_	_	_	_
f. Subject to dollar reverse repurchase											
agreements g. Placed under	_	_	_	_	_	_	_	_	_	_	_
option contract h. Letter	_	_	_	_	_	_	_	_	_	_	_
stock or securities restricted as to sale - excluding FHLB capital stock	_	_	_	_	_	_	_	_	_	_	_
i. FHLB capital											
stock j. On	_	_	_	_	_	_	_	_	_	_	_
deposit with states k. On	456,486	_	_	_	456,486	458,722	(2,236)	_	456,486	0.01 %	0.01 %
deposit with other regulatory bodies	_	_	_	_	_	_	_	_	_	_	_
I. Pledged collateral to FHLB (including assets backing funding											
agreements) m. Pledged as collateral not	_	_	_	_	_	_	_	_	_	_	_
captured in other categories n. Other	_	_	_	_	_	_	_	_	_	_	_
restricted assets		_	_	_			_	_	_	_	
o. Total Restricted Assets	\$456,486	\$ <u> </u>	<u> </u>	\$ —	\$456,486	\$458,722	\$ (2,236)	\$ <u> </u>	\$ 456,486	0.01 %	0.01 %

- (2) Detail of Assets Pledged as Collateral Not Captured in Other Categories None
- (3) Detail of Other Restricted Assets None
- (4) Collateral Received and Reflected as Assets None

Q. Prepayment Penalty and Acceleration Fees

		For the Year Ended December 31, 2020		
		General Account	Separate Account	
(1) Number of CUSIPs		23	<u> </u>	
(2) Aggregate Amount of Investme	ent Income \$	3,177,415	\$ —	

6. Joint Ventures, Partnerships and Limited Liability Companies

- A. The Company has no investments in joint ventures, partnerships or limited liability companies that exceed 10% of its admitted assets.
- B. The Company did not recognize any impairment write-down for investments in joint ventures, partnership and limited liability companies for the years ended December 31, 2020 or 2019.

7. Investment Income

A. Due and accrued income is excluded from surplus on the following basis:

All investment income due and accrued on bonds in or near default, and other amounts that are over 90 days past due, with the exception of mortgage loans in default. If a mortgage loan has any investment income due and accrued that is 180 days past due and collectible, the investment income will continue to accrue, but all interest related to the loan will be reported as a nonadmitted asset.

B. Investment income due and accrued excluded from surplus was \$0 and \$14,918 as of December 31, 2020 and 2019.

8. Derivative Instruments

Not applicable

9. Income Taxes

1.

A. The components of the net deferred tax asset(DTA)/deferred tax liability (DTL) as of December 31 are as follows:

- (a) Gross DTAs
- (b) Statutory valuation allowance adjustments
- (c) Adjusted gross DTAs (1a 1b)
- (d) DTAs nonadmitted
- (e) Subtotal net admitted DTAs (1c 1d)
- (f) DTLs
- (g) Net admitted DTA (1e 1f)

	(1)	(2)		(3) (Col 1 + 2)
	Ordinary	Capital		Total
\$	26,849,666	\$ -	- \$	26,849,666
	_	-	_	_
	26,849,666	-	_	26,849,666
	11,918,812	-	_	11,918,812
	14,930,854	-	_	14,930,854
	3,944,662	-	_	3,944,662
\$	10,986,192	\$ -	- \$	10,986,192

(a)	Gross	DTA
(~)	0.000	

- (b) Statutory valuation allowance adjustments
- (c) Adjusted gross DTAs (1a 1b)
- (d) DTAs nonadmitted
- (e) Subtotal net admitted DTAs (1c 1d)
- (f) DTLs
- (g) Net admitted DTA (1e 1f)

	12/31/2019		
(4)	(5)		(6) (Col 4 + 5)
Ordinary	Capital		Total
\$ 24,049,445	\$	_	\$ 24,049,445
 _		_	_
24,049,445		_	24,049,445
12,453,953		_	12,453,953
 11,595,492	_	_	11,595,492
 4,641,261		_	4,641,261
\$ 6,954,231	\$		\$ 6,954,231

(a) Gross DTAs
(b) Statutory valuation allowance adjustments
(c) Adjusted gross DTAs (1a - 1b)
(d) DTAs nonadmitted
(e) Subtotal net admitted DTAs (1c – 1d)
(f) DTLs
(g) Net admitted DTA (1e - 1f)

	Change				
	(7) (Col 1-4) Ordinary	(8) (Col 2-5 Capita	•		(9) (Col 7 + 8) Total
\$	2,800,221	\$	_	\$	2,800,221
	_		_		_
	2,800,221		_		2,800,221
	(535,141)				(535,141)
-	3,335,362		_		3,335,362
	(696,599)		_		(696,599)
\$	4,031,961	\$		\$	4,031,961

2. The SSAP No. 101 admission calculation components as of December 31 are as follows:

(a)	Federal income taxes paid in prior years recoverable through loss carrybacks
(b)	Adjusted gross DTAs expected to be realized after application of the threshold limitation (lesser of 2(b)1 and 2(b)2 below)
	Adjusted gross DTAs expected to be realized following the balance sheet date
	2. Adjusted gross DTAs allowed per limitation threshold
(c)	Adjusted gross DTAs offset by gross DTLs
(d)	DTAs admitted as the result of applications of SSAP No. 101 $(2(a) + 2(b)+2(c))$

12/31/2020				
(1)	(2)	(3)		
		(Col 1 + 2)		
Ordinary	Capital	Total		
\$ _	\$ —	\$ —		
10,986,192	_	10,986,192		
10,986,192	_	10,986,192		
XXXXX	xxxx	21,918,333		
3,944,662		3,944,662		
\$ 14,930,854	\$ <u> </u>	\$ 14,930,854		

(a)	Federal income taxes paid in prior years recoverable
	through loss carrybacks

- (b) Adjusted gross DTAs expected to be realized after application of the threshold limitation (lesser of 2(b)1 and 2(b)2 below)
 - 1. Adjusted gross DTAs expected to be realized following the balance sheet date
 - 2. Adjusted gross DTAs allowed per limitation threshold
- (c) Adjusted gross DTAs offset by gross DTLs
- (d) DTAs admitted as the result of applications of SSAP No. 101 (2(a) + 2(b)+2(c))

(a) Federal income taxes paid in prior years recoverable
through loss carrybacks

- (b) Adjusted gross DTAs expected to be realized after application of the threshold limitation (lesser of 2(b)1 and 2(b)2 below)
 - Adjusted gross DTAs expected to be realized following the balance sheet date
 - 2. Adjusted gross DTAs allowed per limitation threshold
- (c) Adjusted gross DTAs offset by gross DTLs
- (d) DTAs admitted as the result of applications of SSAP No. 101 (2(a) + 2(b)+2(c))

1	12/31/2019				
	(4)	(5)		(6)	
				(Col 4 + 5)	
	rdinary	Capital		Total	
\$	_	\$ -	_ \$		
	6,954,231	-	_	6,954,231	
	0.054.004			0.054.004	
	6,954,231	-		6,954,231	
	XXXXX	XXX	XX	22,568,399	
	4,641,261		_	4,641,261	
\$	11,595,492	\$ -	<u> \$ </u>	11,595,492	
		Change			
	(7)	(8)		(9)	
(0	Col 1-4)	(Col 2-5)		(Col 7 + 8)	
0	rdinary	Capital		Total	
		L			
¢		¢ .	¢		

12/31/2019

	•	
\$ - \$	— \$	_
4,031,961	_	4,031,961
4,031,961 XXXXX	_ xxxxx	4,031,961 (650,066)
(696,599)	_	(696,599)
\$ 3,335,362 \$	— \$	3,335,362

3.			

- (a) Ratio percentage used to determine recovery period and threshold limitation amount
- (b) Amount of adjusted capital and surplus used to determine recovery period and threshold limitation in 2(b)2 above

12/31/2020	12/31/2019			
470 %	520 %			

\$146,122,220 \$ 150,455,993

4. Impact of Tax Planning Strategies

- (a) Determination of adjusted gross DTAs and net admitted DTAs by tax character as a percentage
 - (1) Adjusted gross DTAs amount from note 9.A.1.(c)
 - (2) Percentage of adjusted gross DTAs by tax character attributable to the impact of tax planning strategies
 - (3) Net admitted adjusted gross DTAs amount from note 9.A.1.(e)
 - (4) Percentage of net admitted adjusted gross DTAs by tax character attributable to the impact of tax planning strategies

(a)	Determination of a character as a percentage	djusted gross [entage	OTAs and n	et admitted	DTAs b	y tax
-----	--	---------------------------	------------	-------------	--------	-------

- (1) Adjusted gross DTAs amount from note 9.A.1.(c)
- (2) Percentage of adjusted gross DTAs by tax character attributable to the impact of tax planning strategies
- (3) Net admitted adjusted gross DTAs amount from note 9.A.1.(e)
- (4) Percentage of net admitted adjusted gross DTAs by tax character attributable to the impact of tax planning strategies

_					
	12/31/2020				
	(1)		(2)		
	Ordinary		Capital		
\$	26,849,666	\$	_		
	0.00%		0.00%		
\$	14,930,854	\$	_		
	0.00%		0.00%		

	12/31/2019					
(3)			(4)			
l	Ordinary		Capital			
\$	24,049,445	\$	_			
	0.00%		0.00%			
\$	11,595,492	\$	_			
	0.00%		0.00%			

Change				
(5)		(6)		
(Col 1-3)			(Col 2-4)	
	Ordinary		Capital	
\$	2,800,221	\$	_	
	0.00 %		0.00 %	
\$	3,335,362	\$		
	0.00 %		0.00 %	

- (a) Determination of adjusted gross DTAs and net admitted DTAs by tax character as a percentage
 - (1) Adjusted gross DTAs amount from note 9.A.1.(c)
 - (2) Percentage of adjusted gross DTAs by tax character attributable to the impact of tax planning strategies
 - (3) Net admitted adjusted gross DTAs amount from note 9.A.1.(e)
 - (4) Percentage of net admitted adjusted gross DTAs by tax character attributable to the impact of tax planning strategies

- (b) Does the Company's tax-planning strategies include the use of reinsurance? Yes ___ No X_
- B. Regarding deferred tax liabilities that are not recognized:

The Company has no DTLs which have not been recognized.

C. Current and deferred income taxes consist of the following major components

			(1) 12/31/2020	(2) 12/31/2019	(3) (Col 1 - 2)
1 Curren	nt Income Tax	<u> </u>			(
(a) F	ederal oreign	\$	3,835,291 —	\$ 9,247,130 —	\$ (5,411,839) —
	ubtotal		3,835,291	9,247,130	(5,411,839)
(d) F	ederal income tax on net capital gains		(49,706)	(175,184)	125,478
	tilization of capital loss carryforwards		_	_	_
` '	other	_		_	
(g) F	ederal and foreign income taxes incurred	<u>\$</u>	3,785,585	\$ 9,071,946	\$ (5,286,361)
2 DTAs					
(a)	Ordinary				
	(1) Discounting of unpaid losses	\$	190,787	\$ 302,493	\$ (111,706)
	(2) Unearned premium reserve		_		
	(3) Policyholder reserves		19,430,363	17,456,719	1,973,644
	(4) Investments		320	536	(216)
	(5) Deferred acquisition costs(6) Policyholder dividends accrual		7,014,319	6,011,535	1,002,784
	(7) Fixed assets		_	_	<u>—</u>
	(8) Compensation and benefits accrual		_	_	_
	(9) Pension accrual		<u>—</u>	<u>—</u>	
	(10) Receivables - nonadmitted		204,294	259,624	(55,330)
	(11) Net operating loss carryforward				
	(12) Tax credit carryforward		_	<u> </u>	_
	(13) Other		9,583	18,538	(8,955)
	(99) Subtotal		26,849,666	24,049,445	2,800,221
(b)	Statutory valuation allowance adjustment		_	_	_
(c)	Nonadmitted		11,918,812	12,453,953	(535,141)
(d)	Admitted ordinary DTAs (2a99 – 2b – 2c)		14,930,854	11,595,492	3,335,362
			11,000,001	11,000,102	0,000,002
(e)	Capital (1) Investments				
	(2) Net capital loss carryforward		_	<u> </u>	_
	(3) Real Estate		<u> </u>	_	_
	(4) Other		<u>—</u>		
	(99) Subtotal	_		_	
(f)	Statutory valuation allowance adjustment			<u></u>	
(I) (g)	Nonadmitted		_	_	_
(h)	Admitted capital DTAs (2e99 -2f -2g)	_			
(i)	Admitted DTAs (2d + 2h)	\$	14,930,854	\$ 11,595,492	\$ 3,335,362
	,		<u> </u>	· · · · · · · · · · · · · · · · · · ·	
3 DTLs	Onding and				
(a)	Ordinary (1) Investments	\$	944,342	\$ 1,101,949	\$ (157,607)
	(2) Fixed assets	φ	944,342	φ 1,101,949 —	φ (157,007)
	(3) Deferred and uncollected premium		31,818	31,805	13
	(4) Policyholder reserves		2,968,502	3,507,507	(539,005)
	(5) Other			——————————————————————————————————————	(000,000)
	(99) Subtotal		3,944,662	4,641,261	(696,599)
(b)	Capital		• •	. ,	, ,
(5)	(1) Investments		_	_	_
	(2) Real estate		_	_	_
	(3) Other		_	_	_
	(99) Subtotal		-	_	_
(c)	DTLs (3a99 + 3b99)	\$	3,944,662	\$ 4,641,261	\$ (696,599)
	·				
	FAs/DTLs (2i - 3c)	\$	10,986,192		
Net ch	ange in DTAs/(DTLs) (2a99+2e99-3c)			=	\$ 3,496,820

D. Reconciliation of Federal Income Tax Rate to Actual Effective Rate

Among the more significant book to tax adjustments were the following:

	'	12/31/2020	12/31/2019
Significant statutory to tax adjustments on current taxes:			
Ordinary income tax at federal statutory rate (21%)	\$	(166,138) \$	2,498,533
Capital income tax (benefit) at federal statutory rate (21%)		(78,784)	(155,809)
Total income tax		(244,922)	2,342,724
Other permanent items		3,531	10,060
Change in IMR		74,474	64,840
Prior year adjustment		400,352	916
Change in nonadmitted assets		55,330	(62,917)
Federal income tax expense (benefit)	\$	288,765 \$	2,355,623
Federal and foreign taxes incurred		3,785,585	9,071,946
Change in net deferred income taxes		(3,496,820)	(6,716,323)
Total statutory income taxes	\$	288,765 \$	2,355,623
	-		

- E. Operating Loss and Tax Credit Carryforwards and Protective Tax Deposits
 - 1. As of December 31, 2020 the Company had no net operating loss, tax credit and capital loss carryforwards available to offset against future taxable income.
 - The amount of federal income taxes incurred that are available for recovery in the event of a carryback of future net capital losses is as follows:

	 2020	2019		2018	
Capital gain	\$ 617,552 \$	_	- \$		

- 3. The Company does not have any deposits admitted under Section 6603 of the Internal Revenue Code.
- F. Consolidated Federal Tax Return
 - The Company's federal income tax return is consolidated with the following: Symetra Life Insurance Company Symetra National Life Insurance Company Symetra Reinsurance Corporation
 - 2. The method of allocation between the companies is subject to written agreement, approved by each respective company's board of directors. Allocation is based upon separate return calculations, except that current credit for tax credits and net operating loss carryforwards are determined on the basis of the consolidated group. Intercompany tax balances are settled quarterly.
- G. Federal or Foreign Federal Tax Loss Contingencies

The Company has no tax loss contingency for which it is reasonably possible that the total liability will significantly increase within twelve months of the reporting date.

H. Repatriation Transition Tax (RTT)

Not applicable

I. Alternative Minimum Tax (AMT) Credit

Not applicable

10. Information Concerning Parent, Subsidiaries, Affiliates and Other Related Parties

A.- C. The following transactions were entered into by the Company with affiliates. Non-insurance transactions involving less than 0.5% of the Company's admitted assets are omitted, with the exception of cost allocation transactions which are discussed separately.

There was no material related party transactions for the year ended December 31, 2020, other than those reflected on Schedule Y - Part 2 of this statement.

D. As of December 31, 2020 and 2019, the Company reported the following amounts due (to)/from an affiliated company, which are generally settled within 30 days:

	As of December 31,				
	 2020	2019			
Symetra Life Insurance Company	\$ (343,990) \$	(14,403,842)			
Total	\$ (343,990) \$	(14,403,842)			

E. Symetra Life Insurance Company has agreed to perform administrative and special services for the Company as stated in the Administrative Services Agreement, dated November 1, 1998, filed with the New York Department of Financial Services - Insurance Division. These expenses include charges for rent, corporate overhead, data processing systems, payroll, benefits, and other miscellaneous charges and are included in investment and general insurance expenses in the summary of operations.

Effective January 1, 2020, the Company entered into an Investment Management Agreement with its affiliate, Symetra Investment Management Company, a subsidiary of Symetra Financial Corporation. The agreement provides for investment advisory services related to the Company's invested assets.

- F. The Company has not agreed to any guarantees for affiliates.
- G. All outstanding shares of the Company are owned by Symetra Life Insurance Company. All outstanding shares of Symetra Life Insurance Company are owned by Symetra Financial Corporation, an insurance holding company domiciled in the state of Delaware. This control does not significantly change the operating results or financial position of the Company compared to results that would have been obtained without the control. Symetra Financial Corporation is a wholly owned subsidiary of Sumitomo Life Insurance Company, a mutual company (sougo kaisha) organized under the laws of Japan.
- H. The Company owns no shares, either directly or indirectly, in the Parent or Symetra Financial Corporation.
- I. O. Not applicable.

11. Debt

Not applicable

12. Retirement Plans, Deferred Compensation, Post Employment Benefits and Compensated Absences and Other Postretirement Benefit Plans

- A.- D. The Company does not sponsor a defined benefit plan.
- E. The Company does not administer the defined contribution plan. See Note 12G.
- F. The Company does not participate in a multi-employer plan.
- G. Consolidated Holding Company Plans

Retirement Plans

The Company participates in a defined contribution 401(k) plan sponsored by Symetra Life Insurance Company, its parent, for all eligible employees that includes matching a participant's contributions up to 6% of eligible compensation. The Company's share of expenses for the plan was not material for the years ended December 31, 2020 or 2019.

- H. The Company does not participate in a cash balance, post employment benefit or deferred compensation plan.
- I. There is no impact from the Medicare Modernization Act since the Company does not participate in postretirement benefit plans.

13. Capital and Surplus, Dividend Restrictions and Quasi-Reorganizations

- A. The Company's capital is common stock, 20,000 shares authorized, issued and outstanding, \$100 per share par value. There are no other classes of capital stock.
- B. The Company has no preferred stock.
- C. The Company is restricted as to the amount of dividends that can be paid to its shareholder without prior approval of the Department. This restriction is the lesser of statutory net gain from operations for the previous year or 10% of total capital and surplus at the close of the previous year, subject to a maximum limit equal to statutory unassigned funds. Based on this restriction, in 2021 the Company is unable to pay a dividend without prior approval.
- D. The Company has paid no dividends since inception.
- E. Within the limitations of (3) above, there are no restrictions placed on the portion of Company profits that may be paid as ordinary dividends to its shareholder.
- F. The Company has no restrictions on surplus.
- G. Advances to surplus not repaid

Not applicable

- H. The Company holds no stock for special purposes.
- I. There was no change in aggregate write-ins for special surplus funds as of December 31, 2020.
- J. There was no change of unassigned funds (surplus) due to cumulative unrealized gains or losses as of December 31, 2020 or 2019.
- K. The Company has no surplus notes.
- L M. Not applicable

14. Contingencies

A. (1) The Company has made no commitments or contingent commitments to an SCA entity.

As of December 31, 2020 and 2019, unfunded mortgage loan commitments were \$5,265,000 and \$14,500,213, respectively.

- (2) The Company has made no guarantees as of December 31, 2020 or 2019.
- (3) Not applicable
- B. (1) The Company had no liability established under SSAP No. 35R, *Guaranty Fund and Other Assessments* relating to estimated retrospective premium based guaranty fund assessments for the years December 31, 2020 and 2019.
 - (2) Under SSAP No. 35R, the Company has related premium tax offsets as follows:

Assets as a subject of feet as a side and a second annual transfer of feet as a d

a.	policy surcharges as of December 31, 2019	\$ 3,200
b.	Decreases during current year:	
	Premium tax offset applied	(1,600)
c.	Increases during year:	
	Premium tax offset applied	 1,600
d.	Assets recognized from paid and accrued premium tax offsets and policy surcharges as of December 31, 2020	\$ 3,200

- (3) The Company has no guaranty fund liabilities or assets related to long-term care.
- C. The Company has no gain contingencies to report.
- D. The Company does not expect any claims related to extra contractual obligations and bad faith losses stemming from lawsuits, as of December 31, 2020, will have a material adverse effect on its financial condition, future operating results or liquidity.

- E. Because of the nature of its business, the Company is subject to legal actions filed or threatened in the ordinary course of its business operations. The Company does not expect that any such litigation, pending or threatened, as of December 31, 2020, will have a material adverse effect on its financial condition, future operating results or liquidity.
- F. The Company has no other contingencies.

15. Leases

- A. Lessee Leasing Arrangements
 - (1) The Company did not have any material lease obligations as of December 31, 2020 or 2019.
 - (2) Not applicable
 - (3) The Company is not involved in any sale-leaseback transactions.
- B. Lessor Leases

Not applicable

16. Information about Financial Instruments with Off-Balance Sheet Risk and Financial Instruments with Concentrations of Credit Risk

Not applicable

17. Sale, Transfer and Servicing of Financial Assets and Extinguishments of Liabilities

Not applicable

18. Gain or Loss to the Reporting Entity from Uninsured A & H Plans and the Uninsured Portion of Partially Insured Plan

A. ASO Plans

The gain from operations from Administrative Services Only (ASO) uninsured plans and the uninsured portion of partially insured plans was as follows during 2020:

		U	ASO ninsured Plans	Uninsured Portion of Partially Insured Plans	Т	otal ASO
a.	Net reimbursement for administrative expenses (including administrative fees) in excess of actual expenses	\$	13,497	\$ _	\$	13,497
b.	Total net other income or expenses (including interest paid to or received from plans)			_		
C.	Net gain or (loss) from operations	\$	13,497	\$ _	\$	13,497
d.	Total claim payment volume	\$	120	\$ _	\$	120

B - C. Not applicable

19. Direct Premium Written/Produced by Managing General Agents/Third Party Administrators

Not applicable

20. Fair Value Measurements

A. Assets Measured at Fair Value

Included in various investment-related line items in the financial statements are certain financial instruments that are measured and reported at fair value.

The Company determines the fair value of its financial instruments based on the fair value hierarchy, which favors the use of observable inputs over the use of unobservable inputs when measuring fair value.

The Company has categorized its financial instruments into the three-level hierarchy, which gives the highest priority to quoted prices in active markets for identical assets or liabilities (Level 1) and the lowest priority to unobservable inputs (Level 3). The level assigned to a fair value measurement falls is based on the lowest-level input that is significant to the measurement. The fair value measurements for the Company's financial instruments are categorized as follows:

- Level 1 Unadjusted quoted prices in active markets for identical instruments.
- Level 2 Quoted prices for similar instruments in active markets and model-derived valuations whose inputs are observable. This category includes those financial instruments that are valued using industry-standard pricing methodologies or models. All significant inputs are observable or derived from observable information in the marketplace.
- Level 3 Fair value estimates whose significant inputs are unobservable. This includes financial instruments for which fair value is estimated based on industry-standard pricing methodologies and internally developed models utilizing significant inputs not based on or corroborated by readily available market information. In limited circumstances, this may also utilize estimates based on nonbinding broker quotes.
- (1) Financial assets and liabilities measured and reported at fair value as of December 31, 2020:

	Level 1	Level 2	Lev	vel 3	Total
Assets at fair value					
Variable separate accounts assets	\$ 290,618	\$ _	\$	— \$	290,618
Total assets at fair value	\$ 290,618	\$ _	\$	— \$	290,618

Financial assets and liabilities measured and reported at fair value as of December 31, 2019:

	Level 1	Level 2	Leve	el 3	Total
Assets at fair value					
Variable separate accounts assets	\$ 234,464	\$ _	\$	— \$	234,464
Total assets at fair value	\$ 234,464	\$ _	\$	- \$	234,464

(2) - (5) Not applicable

B. Other Fair Value Disclosures

Not applicable

C. Fair Values for All Financial Instruments by Levels 1, 2 and 3

The tables below reflect the fair values and admitted values of all admitted assets and liabilities that are financial instruments, subject to fair value disclosure requirements. The fair values are also categorized by the valuation hierarchy as described in Note 20A.

As of December 31, 2020

Type of Financial Instrument	Aggregate Fair Value	Admitted Values	(Level 1)	(Level 2)	(Level 3)	Net Asset Value (NAV)	Not Practicable (Carrying Value)
Financial instruments-assets							
Bonds	\$2,664,368,547	\$2,501,826,587 \$	_	\$2,606,234,702 \$	58,133,845	\$ <u> </u>	\$ —
Mortgage loans	513,813,939	494,643,128	_	_	513,813,939	_	_
Cash and short-term investments	15,486,863	15,486,863	15,486,863	_	_	_	_
Contract loans	_	195,138	_	_	_	_	195,138
Variable separate account assets	290,618	290,618	290,618	_	_	_	_
Total assets	\$3,193,959,967	\$3,012,442,334 \$	15,777,481	\$2,606,234,702 \$	571,947,784	\$ —	\$ 195,138
Financial instruments-liabilities							
Deposit type annuity contracts	\$ 36,056,910	\$ 32,414,724 \$	_	\$ - \$	36,056,910	\$ <u> </u>	\$ —
Total liabilities	\$ 36,056,910	\$ 32,414,724 \$	_	\$ - \$	36,056,910	\$ —	\$ —
		-					

	As of December 31, 2019										
Type of Financial Instrument	Aggregate Fair Value	- Admitted Values	(Level 1)	(Level 2)	(Level 3)	Net Asset Value (NAV)	Not Practicable (Carrying Value)				
Financial instruments-assets							_				
Bonds	\$2,399,327,713	\$2,322,123,058 \$	_	\$2,399,327,713 \$	_	\$ -	\$ <u> </u>				
Mortgage loans	357,478,708	346,778,992	_	_	357,478,708	_	_				
Cash and short-term investments	5,385,164	5,385,164	5,385,164	_	_	_	_				
Contract loans	_	230,281	_	_	_	_	230,281				
Variable separate account assets	234,464	234,464	234,464	_	_	_	_				
Total assets	\$2,762,426,049	\$2,674,751,959 \$	5,619,628	\$2,399,327,713 \$	357,478,708	\$ <u> </u>	\$ 230,281				
Financial instruments-liabilities Deposit type annuity contracts	\$ 34,697,041	\$ 33.041.591 \$	_	\$ — \$	34,697,041	\$ —	\$ 				
Total liabilities	\$ 34,697,041	\$ 33,041,591 \$	_	\$ — \$	34,697,041		<u>\$</u>				

D. Not Practical to Estimate Fair Value:

Type of Financial Instrument			Effective Interest Rate	Maturity Date	Explanation	
Contract loans						
December 31, 2020	\$	195,138	4.8% to 8.0%	N/A	See below	
December 31, 2019	\$	230,281	4.8% to 8.0%	N/A	See below	

The Company's contract loans have varying interest rates ranging from 4.8% to 8.0% and do not have stated maturity dates or payment terms. Cash flow projections are not available and would require significant amounts of judgment and estimation and would not be practical given the immateriality of these assets.

E. Asset Measured Using the NAV Practical Expedient:

Not applicable

21. Other Items

Not applicable

22. Events Subsequent

Type I: Recognized Subsequent Events:

The Company has not experienced any events that provide additional evidence with respect to conditions that existed at the date of the balance sheet and affect the estimates inherent in the process of preparing the financial statements.

Type II: Nonrecognized Subsequent Events:

The Company has not experienced any events that provide additional evidence with respect to conditions that did not exist at the date of the balance sheet but arose subsequent to that date.

Subsequent events have been considered through February 24, 2021 for the statutory statement issued on February 24, 2021.

23. Reinsurance

A. Ceded Reinsurance Report

Section 1 – General Interrogatories

1. Are any of the reinsurers, listed in Schedule S as non-affiliated, owned in excess of 10% or controlled, either directly or indirectly, by the Company or by any representative, officer, trustee, or director of the Company?

Yes () No (X)

2. Have any policies issued by the Company been reinsured with a company chartered in a country other than the United States (excluding U.S. Branches of such companies) which is owned in excess of 10 % or controlled directly or indirectly by an insured, a beneficiary, a creditor or an insured or any other person not primarily engaged in the insurance business? Yes () No (X)

Section 2 – Ceded Reinsurance Report – Part A

- Does the Company have any reinsurance agreements in effect under which the reinsurer may unilaterally cancel any reinsurance for reasons other than for nonpayment of premium or other similar credits?
 Yes () No (X)
- 2. Does the reporting entity have any reinsurance agreements in effect such that the amount of losses paid or accrued through the statement date may result in a payment to the reinsurer of amounts which, in aggregate and allowing for offset of mutual credits from other reinsurance agreements with the same reinsurer, exceed the total direct premium collected under the reinsured policies? Yes () No (X)

Section 3 – Ceded Reinsurance Report – Part B

- 1. What is the estimated amount of the aggregate reduction in surplus, (for agreements other than those under which the reinsurer may unilaterally cancel for reasons other than for nonpayment of premium or other similar credits that are reflected in Section 2 above) of termination of all reinsurance agreements, by either party, as of the date of this statement? Where necessary, the Company may consider the current or anticipated experience of the business reinsured in making this estimate. \$0
- 2. Have any new agreements been executed or existing agreements amended, since January 1 of the year of this statement, to include policies or contracts which were in force or which had existing reserves established by the Company as of the effective date of the agreement?

 Yes () No (X)

The amount of reinsurance credits taken for new agreements or amendments: \$0

B. Uncollectible Reinsurance

The Company had no uncollectible reinsurance as of December 31, 2020 or 2019.

C.- H. Not applicable

24. Retrospectively Rated Contracts & Contracts Subject to Redetermination

- A. The Company estimates accrued retrospective premium adjustments for its group health insurance policies in accordance with the terms of the contract if claim experience does not exceed a stated loss ratio.
- B. The Company records accrued retrospective premium as an adjustment to earned premium.
- C. For the years ended December 31, 2020 and 2019, the amount of net premiums written by the Company subject to retrospective rating features were \$15,428,363 or 27.4%, and \$12,725,311 or 22.0%, respectively, of the total net premiums written on the Company's group health business. No other net premiums written by the Company are subject to retrospective rating features.
- D E. Not applicable.

25. Change in Incurred Losses and Loss Adjustment Expenses

Reserves as of December 31, 2019 were \$18,011,978. As of December 31, 2020, \$14,580,686 has been paid for incurred claims and loss adjustment expenses attributable to insured events of prior years. There are \$2,663,149 reserves remaining for prior years for unpaid claims and claim adjustment expenses on group accident and health claims. Therefore, there has been \$768,143 favorable reserve development since December 31, 2019. The decrease is generally the result of ongoing analysis of recent loss development trends. Original estimates are continually monitored and are updated as additional information about the expected versus actual timing of claims becomes known.

26. Intercompany Pooling Arrangements

Not applicable

27. Structured Settlements

Not applicable

28. Health Care Receivables

Not applicable

29. Participating Policies

Not applicable

30. Premium Deficiency reserves

Not applicable

31. Reserves for Life Contracts and Annuity Contracts

- (1) The Company waives deduction of deferred fractional premium upon death of life and annuity policy insureds and returns any premium beyond the date of death. Surrender values on policies do not exceed the corresponding benefit reserves.
- (2) For substandard lives, either extra premium is charged, or the gross premium for a rated age is charged. Mean reserves are determined by computing the regular mean reserve for the plan at any rated age and, in addition, holding one-half of any extra premium charge for the year.
- (3) As of December 31, 2020 and 2019, the Company had \$69,085,000 and \$65,735,000, respectively, of insurance in force for which the gross premiums are less than the net premiums according to the standard valuation set by the Department. Reserves to cover the difference as reported in Exhibit 5, miscellaneous reserves section, were \$380,753 and \$446,949 as of December 31, 2020 and 2019, respectively, and were included in aggregate reserves.
- (4) The tabular interest has been determined by formula as described in the instructions.
- (5) In the determination of tabular interest on funds not involving life contingencies, for each valuation rate of interest, the tabular interest is calculated as the change in reserves minus premium plus benefits.
- (6) The company had no other reserve changes for the year ended December 31, 2020.

32. Analysis of Annuity Actuarial Reserves and Deposit-Type Contracts Liabilities by Withdrawal Characteristics

The Company's annuity reserves and deposit-fund liabilities, including those held in separate account liabilities as of December 31, 2020, are summarized as follows:

	DIVIDUAL ANNUITIES		General Account		Separate Account with Guarantees		Separate Account Guaranteed		Total	Percent of Total
	Subject to discretionary withdrawal:	•		•		•		•		0.4
	With fair value adjustment At book value less surrender charge	\$		\$		\$	_	\$	_	— %
ь.	of 5% or more	2	,218,541,796				_	2,	218,541,796	79.65 %
c.	At fair value		_		_		290,618		290,618	0.01 %
	Total with market value adjustment or at fair value (total of a through c)	2	,218,541,796				290,618	2,	218,832,414	79.66 %
e.	At book value without adjustment (minimal or no charge or adjustment)		439,650,316		_		_		439,650,316	15.78 %
(2)	Not subject to discretionary									
(0)	withdrawal		126,868,694					_	126,868,694	4.55 %
	Total (gross: direct + assumed) Reinsurance ceded	2	,785,060,806				290,618	2,	785,351,424	100.00 %
	Total (net) (3) - (4)	\$2		_		\$	290,618	\$2	785,351,424	
	Amount included in A(1)b above	Ψ2	,700,000,000	_		Ψ	230,010	ΨΖ,	7 00,001,424	
(-)	that will move to A(1)e in the year after the statement date:	\$	64,554,998	\$	_	\$	_	\$	64,554,998	
B GF	ROUP ANNUITIES		General Account	-	Separate Account with Guarantees		Separate Account Guaranteed		Total	Percent of Total
	Subject to discretionary withdrawal :		7.0000111	_	<u>Gaarantees</u>	11011	Guaranteea		Total	
. ,	With fair value adjustment	\$		\$	_	\$	_	\$	_	— %
b.	At book value less surrender charge									
	of 5% or more		_		_		_		_	- %
	At fair value Total with market value adjustment									<u> </u>
	or at fair value (total of a through c)		_		_		_		_	— %
e.	At book value without adjustment (minimal or no charge or adjustment)		2,606,607		_		_		2,606,607	100.00 %
(2)	Not subject to discretionary withdrawal									0/
(3)	Total (gross: direct + assumed)		2,606,607	_	<u>_</u>				2,606,607	— <u>— %</u> 100.00 %
• ,	Reinsurance ceded				_		_			100100 70
. ,	Total (net) (3) - (4)	\$	2,606,607	\$		\$	_	\$	2,606,607	
(6)	Amount included in A(1)b above that will move to A(1)e in the year after the statement date:	\$	_	\$	_	\$	_	\$	_	
					Separate		Conorato			
			General		Account with		Separate Account			Percent
	EPOSIT-TYPE CONTRACTS		Account	_	Guarantees	Non	Guaranteed		Total	of Total
	Subject to discretionary withdrawal : With fair value adjustment	\$		Φ		\$		¢		0/
	At book value less surrender charge	Ф	_	Ф	<u> </u>	Ф	<u>—</u>	\$		— %
	of 5% or more				_		_		_	- %
	At fair value									<u> </u>
a.	Total with market value adjustment or at fair value (total of a through c)		_				_		_	— %
e.	At book value without adjustment (minimal or no charge or adjustment)		_		_		_		_	— %
(2)	Not subject to discretionary withdrawal		22 072 400						22 072 400	
(3)	Total (gross: direct + assumed)		32,973,199	_					32,973,199 32,973,199	100.00 %
	Reinsurance ceded		<u> </u>		_		_		<u> </u>	100.00 /0
(5)	Total (net) (3) - (4)	\$	32,973,199	_		\$		\$	32,973,199	
` '	Amount included in A(1)b above	<u> </u>	. ,	_						
	that will move to A(1)è in the year after the statement date:	\$	_	\$	_	\$	_	\$	_	

D. Life & accident & health annual statement:

(1) Exhibit 5, annuities section, total (net)	\$ 2,787,667,413
(2) Exhibit 5, supplementary contracts with life contingencies section, total (net)	_
(3) Exhibit 7 deposit-type contracts, line 14, Column 1	32,973,199
(4) Subtotal	\$ 2,820,640,612
Separate accounts annual statement:	
(5) Exhibit 3 line 0299999, Column 2	\$ 290,618
(6) Exhibit 3, line 0399999, Column 2	_
(7) Policyholder dividend and coupon accumulation	_
(8) Policyholder premiums	_
(9) Guaranteed interest contracts	_
(10) Other contract deposit funds	_
(11) Subtotal	290,618
(12) Combined total	\$ 2,820,931,230

33. Analysis of Life Reserves by Withdrawal Characteristics

The Company's life reserves by withdrawal characteristics, including those held in separate account liabilities as of December 31, 2020, are summarized as follows:

A. General Account

	Account Value	Cash Value	Reserve	
(1) Subject to discretionary withdrawal, surrender values, or policy loans	•			
 a. Term policies with cash value 	\$ <u> </u>	\$ <u> </u>	\$ —	
b. Universal life	_	_	_	
c. Universal life with secondary guarantees	20,782,631	20,399,538	22,300,000	
d. Indexed universal life	_	_	_	
 e. Indexed universal life with secondary guarantees 	_	_	_	
f. Indexed life	_	_	_	
9. Other permanent cash value life insurance	_	_	_	
h. Variable life	_	_	_	
i. Variable universal life	_	_	_	
j. Misc. reserves	_	_	_	
(2) Not subject to discretionary withdrawal or no cash value	_	_	_	
a. Term policies without cash value	XXX	XXX	3,043,096	
b. Accidental death benefits	XXX	XXX	267	
c. Disability - active lives	XXX	XXX	1,920	
d. Disability - disabled lives	XXX	XXX	1,319,535	
e. Misc. reserves	XXX	XXX	5,927,461	
(3) Total (gross direct + assumed)	20,782,631	20,399,538	32,592,279	
(4) Reinsurance ceded			1,030,062	
(5) Total (net) (3) - (4)	\$ 20,782,631	\$ 20,399,538	\$ 31,562,217	

B. Separate Account with Guarantees

·		Account Value	Cash Value	Reserve
(1)	Subject to discretionary withdrawal, surrender values, or policy loans			
a.	Term policies with cash value	\$ <u> </u>	\$ —	\$ <u> </u>
b.	Universal life	_		_
C.	Universal life with secondary guarantees	_	_	_
d.	Indexed universal life	_	_	_
e.	Indexed universal life with secondary guarantees	_	_	_
f.	Indexed life	_	_	_
g.	Other permanent cash value life insurance	_	_	_
h.	Variable life	_	_	_
i.	Variable universal life	_		_
j.	Misc. reserves	_		_
(2)	Not subject to discretionary withdrawal or no cash value	_	_	_
a.	Term policies without cash value	XXX	XXX	_
b.	Accidental death benefits	XXX	XXX	_
C.	Disability - active lives	XXX	XXX	_
d.	Disability - disabled lives	XXX	XXX	_
e.	Misc. reserves	XXX	XXX	_
(3)	Total (gross direct + assumed)	_	_	_
(4)	Reinsurance ceded	<u> </u>	<u> </u>	<u>_</u> _
(5)	Total (net) (3) - (4)	\$	\$	\$

C. Separate Account Nonguaranteed

•	<u> </u>	Account	Value	Cash	Value	F	Reserve
(1)	Subject to discretionary withdrawal, surrender values, or policy loans						
a.	Term policies with cash value	\$	_	\$	_	\$	_
b.	Universal life				_		_
C.	Universal life with secondary guarantees				_		_
d.	Indexed universal life				_		_
e.	Indexed universal life with secondary guarantees		_		_		_
f.	Indexed life				_		_
g.	Other permanent cash value life insurance				_		_
h.	Variable life				_		_
i.	Variable universal life				_		_
j.	Misc. reserves				_		_
(2)	Not subject to discretionary withdrawal or no cash value		_		_		_
a.	Term policies without cash value		XXX		XXX		_
b.	Accidental death benefits		XXX		XXX		_
C.	Disability - active lives		XXX		XXX		_
d.	Disability - disabled lives		XXX		XXX		_
e.	Misc. reserves		XXX		XXX		
(3)	Total (gross direct + assumed)						
(4)	Reinsurance ceded						_
(5)	Total (net) (3) - (4)	\$		\$		\$	

D. Life & Accident & Health Annual Statement:

(1) Exhibit 5 Life Insurance Section Total (net)	\$ 24,320,502
(2) Exhibit 5 Accidental Death Benefits Section, Total (net)	267
(3) Exhibit 5 Disability - Active Lives Section, Total (net)	1,920
(4) Exhibit 5 Disability - Disabled Lives Section, Total (net)	1,312,978
(5) Exhibit 5 Misc Reserves, Total (net)	5,926,550
(6) Subtotal	\$ 31,562,217

Separate Account Annual Statement:

(7) Exhibit 3 line 0199999, Column 2	\$ _
(8) Exhibit 3, line 0499999, Column 2	
(9) Exhibit 3, line 0599999, Column 2	 <u> </u>
(10) Subtotal (Lines (7) to (9))	_
(11) Combined Total (6) and (10)	\$ 31,562,217

34. Premium and Annuity Considerations Deferred and Uncollected

The deferred and uncollected life insurance premiums and annuity considerations as of December 31, 2020 are as follows:

(1) Industrial \$ — \$	
(1) Industrial ψ — ψ	
(2) Ordinary life – new business —	_
(3) Ordinary life – renewal 148,670 15	,517
(4) Credit life —	_
(5) Group life 1,201,679 1,20 ²	,679
(6) Group annuity	
(7) Total \$ 1,350,349 \$ 1,353	,196

The deferred and uncollected life insurance premiums and annuity considerations as of December 31, 2019 are as follows:

Type	 Gross	Net of Loading		
(1) Industrial	\$ _	\$	_	
(2) Ordinary life – new business	56,306		10,174	
(3) Ordinary life – renewal	95,148		91,415	
(4) Credit life			_	
(5) Group life	759,143		759,143	
(6) Group annuity			_	
(7) Total	\$ 910,597	\$	860,732	

35. Separate Accounts

A. Separate Account Activity

- 1. The Company utilizes separate accounts to record and account for assets and liabilities for the Company's individual variable annuity products. In accordance with New York State procedures for approving items within the separate account, the separate account classification of the individual variable annuities are supported by New York Article 42, Section 4240.
- In accordance with the contract provisions relating to the Company's separate account products, 100% of the individual variable annuity assets are legally insulated from the general account, preventing such assets from being generally available to satisfy claims resulting from the general account.

Separate account assets as of December 31, 2020 are attributed to the following products:

Product	Legally Insulated	No	ot Legally Insulated	Total
Variable annuities	\$ 290,618	\$	— :	\$ 290,618
Total	\$ 290,618	\$	— :	\$ 290,618

Separate account assets as of December 31, 2019 are attributed to the following products:

Product	Legally Insulated	No	ot Legally Insulated	Total	<u> </u>
Variable annuities	\$ 234,464	\$	— \$		234,464
Total	\$ 234,464	\$	— \$		234,464

3. In accordance with the contract provisions relating to the Company's products recorded within the separate accounts, some separate account liabilities are guaranteed by the general account. These guarantees are described below in 35.B.

As of December 31, 2020 and 2019, the Company had no maximum guarantees for separate account liabilities.

The total separate account guarantees paid by the general account for the past five (5) years:

Year ending	Sep (arate Account Guarantees
2020	\$	33
2019		29
2018		37
2017		33
2016		27

The separate account does not compensate the general account for the risk taken.

- 4. The Company does not engage in securities lending transactions within the separate accounts.
- B. General Nature and Characteristics of Separate Account Business

The Company administers segregated asset accounts (separate accounts) for variable annuity policyholders. The assets of these separate accounts consist of mutual funds and are reported at fair value. The liabilities of these separate accounts represent reserves established to meet withdrawal and future benefit payment provisions of contracts with these policyholders and are of a non-guaranteed return nature. The assets of the separate accounts are not subject to liabilities arising out of any other business the Company may conduct. Investment risks associated with fair value changes are borne by the policyholders.

For variable annuity contracts with guaranteed minimum death benefits (GMDB), the Company contractually guarantees total deposits made to the contract, less any partial withdrawals, in the event of death. The Company has issued, but no longer offers, one type of GMDB contract with a ratchet that is evaluated every eighth year. The ratchet reset benefit is equal to the immediately preceding GMDB or is "stepped up" to the account value on the evaluation date, if higher. The GMDB is held in Exhibit 5, miscellaneous reserves section, of the Company's general account statement. The Company reinsures nearly all of the GMDB risk on its individual variable annuity contracts. Therefore, the recorded liability is not material.

2020

2040

Information regarding the separate account of the Company as of December 31, 2020 and 2019 is as follows:

Non-guaranteed separate account

			2020		2019
1.	Premiums, consideration and other deposits for the year	_	0.000	Δ.	2.222
	ended December 31	\$	6,080	\$	6,080
2.	Reserves as of December 31				
	For accounts with assets at:				
	a. Fair value	\$	290,618	\$	234,464
	b. Amortized cost		_		<u> </u>
	c. Total reserves*	\$	290,618	\$	234,464
3.	By withdrawal characteristic:				
	a.Subject to discretionary withdrawal	\$	_	\$	
	1. With market value adjustment		_		
	2.At book value without market value adjustment and with current surrender charge of 5% or more		_		_
	3.At fair value		290,618		234,464
	4.At book value without market value adjustment and with current surrender charge less than 5%				_
	5.Subtotal		290,618		234,464
	b.Not subject to discretionary withdrawal		_		<u> </u>
	c.Total	\$	290,618	\$	234,464
	*Line 2(c) should equal line 3(h)				
4.	Reserves for asset default risk in lieu of AVR	\$		\$	
			·		

- C. Reconciliation of net transfers to or (from) separate accounts
 - 1. Transfers as reported in the Summary of Operations of the separate accounts statement

		2020	2019
a.	Transfers to separate accounts (page 4, line 1.4)	\$ 6,080 \$	6,080
b.	Transfers from separate accounts (page 4, line 10)	 8,348	6,895
c.	Net transfers to (from) separate accounts (a)-(b)	\$ (2,268) \$	(815)
Re	conciling adjustments	_	_
	ansfers as reported in the summary of operations of		

(2,268)\$

36. Loss/Claim Adjustment Expenses

line 26(1c) + (2)

3.

The balance in the liability for unpaid accident and health loss/claim adjustment expenses as of December 31, 2020 and December 31, 2019 was \$233,567 and \$222,887, respectively, and is included in accident and health reserves. The Company incurred \$357,209 and paid \$346,529 of loss/claim adjustment expense in the current year, of which \$114,959 of the paid amount was attributable to insured or covered events of prior years. For the year ended December 31, 2019, \$385,705 of loss/claim adjustment expense was incurred and \$340,420 was paid, of which \$155,562 was attributable to insured or covered events of prior years. The Company did not take into account estimated subrogation in its determination of the liability for unpaid claims or losses.

PART 1 - COMMON INTERROGATORIES GENERAL

1.1	Is the reporting entity a member of an Insurance Holding Company Syst is an insurer?			es [X	.] N	lo []
1.2	If yes, did the reporting entity register and file with its domiciliary State In such regulatory official of the state of domicile of the principal insurer in providing disclosure substantially similar to the standards adopted by the its Model Insurance Holding Company System Regulatory Act and mod subject to standards and disclosure requirements substantially similar to	the Holding Company System, a registration statement ne National Association of Insurance Commissioners (NAIC) in lel regulations pertaining thereto, or is the reporting entity	Yes [X]	No []	N/A []
1.3	State Regulating?			New Y	ork	
1.4	Is the reporting entity publicly traded or a member of a publicly traded gr	roup?	Ү	es [] N	lo [X]
1.5	If the response to 1.4 is yes, provide the CIK (Central Index Key) code is	ssued by the SEC for the entity/group				
2.1	Has any change been made during the year of this statement in the chareporting entity?			'es [] N	lo [X]
2.2	If yes, date of change:					
3.1	State as of what date the latest financial examination of the reporting er	ntity was made or is being made		12/31/	2015	
3.2	State the as of date that the latest financial examination report became entity. This date should be the date of the examined balance sheet and			12/31/	2015	
3.3	State as of what date the latest financial examination report became available or the reporting entity. This is the release date or completion de examination (balance sheet date).	ate of the examination report and not the date of the		01/05/	′2018	
3.4	By what department or departments? New York					
3.5	Have all financial statement adjustments within the latest financial examstatement filed with Departments?		/es []	No []	N/A [X]
3.6	Have all of the recommendations within the latest financial examination	report been complied with?	/es [X]	No []	N/A []
4.1		rees of the reporting entity), receive credit or commissions for or conneasured on direct premiums) of: s of new business?	Ү			lo [X] lo [X]
	premiums) of: 4.21 sales	s of new business?	Ү	es [] N	lo [X]
	4.22 renev	wals?	Ү	es [] N	lo [X]
5.1	Has the reporting entity been a party to a merger or consolidation during If yes, complete and file the merger history data file with the NAIC.	g the period covered by this statement?	Ү	es [] N	ю [Х]
5.2	If yes, provide the name of the entity, NAIC Company Code, and state of ceased to exist as a result of the merger or consolidation.	of domicile (use two letter state abbreviation) for any entity that has	i			
	1 Name of Entity	2 3 NAIC Company Code State of Domicile				
6.1	Has the reporting entity had any Certificates of Authority, licenses or regrevoked by any governmental entity during the reporting period?			es [] N	lo [X]
6.2	If yes, give full information:					
7.1	Does any foreign (non-United States) person or entity directly or indirect	tly control 10% or more of the reporting entity?	Ү	es [X	.] N	lo []
7.2	If yes, 7.21 State the percentage of foreign control;			10	0.00	%
	7.22 State the nationality(s) of the foreign person(s) or entity(s) or if the attorney-in-fact; and identify the type of entity(s) (e.g., individual, continuous)					
	1 Nationality	2 Type of Entity				
	Japan	Mutual Company (Sougo Kaisha)				

GENERAL INTERROGATORIES

8.2	If response to 8.1 is yes, please identify the name of the bank holding	g company.				Yes [J	NO [ΧJ
8.3 8.4	Is the company affiliated with one or more banks, thrifts or securities If response to 8.3 is yes, please provide below the names and location regulatory services agency (i.e. the Federal Reserve Board (FRB), the Insurance Corporation (FDIC) and the Securities Exchange Commission	firms? on (city and state of the main office) of any affiliate the Office of the Comptroller of the Currency (OCC	es regulate), the Fede	d by a fe	deral	Yes [X	(]	No []
	1	2	3	4	5	6	1		
	Affiliate Name Symetra Securities, Inc.	Location (City, State) Bellevue, WA	FRB N0	OCC NO	FDIC NO	SEC	_		
							.]		
9.	What is the name and address of the independent certified public act KPMG LLP Suite 2900 1918 Eighth Avenue Seattle, WA 98101-1259	countant or accounting firm retained to conduct the	ne annual a	udit?					
	Has the insurer been granted any exemptions to the prohibited non-a requirements as allowed in Section 7H of the Annual Financial Repolaw or regulation? If the response to 10.1 is yes, provide information related to this exer	rting Model Regulation (Model Audit Rule), or sub-	stantially s	imilar sta	ate	Yes []	No [Х]
10.3	Has the insurer been granted any exemptions related to the other recallowed for in Section 18A of the Model Regulation, or substantially s If the response to 10.3 is yes, provide information related to this exer	imilar state law or regulation?nption:				Yes []	No [Х]
10.5 10.6	Has the reporting entity established an Audit Committee in compliance of the response to 10.5 is no or n/a, please explain				Yes [X] No []	N/A	[]
11.	What is the name, address and affiliation (officer/employee of the repfirm) of the individual providing the statement of actuarial opinion/cer Jacob Anderson, FSA, CERA, MAAA VP and Corporate Actuary First Symetra National Life Insurance Company of New York 777 108th Ave NE, Suite 1200 MS: SC15-133 Bellevue, WA 98004	oorting entity or actuary/consultant associated wit tification?	h an actuar	ial consu	ulting				
12.1	Does the reporting entity own any securities of a real estate holding of					Yes []	No [Х]
		l estate holding company							
		arcels involveddjusted carrying value							
12.2	If, yes provide explanation:	ojustou carrying value				,			
13.	FOR UNITED STATES BRANCHES OF ALIEN REPORTING ENTI	TIES ONLY:							
13.1	What changes have been made during the year in the United States	manager or the United States trustees of the rep	orting entity	?					
	Does this statement contain all business transacted for the reporting	, ,				Yes []	No []
	Have there been any changes made to any of the trust indentures du					Yes [-	-	.]
	If answer to (13.3) is yes, has the domiciliary or entry state approved Are the senior officers (principal executive officer, principal financial of similar functions) of the reporting entity subject to a code of ethics, war. Honest and ethical conduct, including the ethical handling of actual relationships;	officer, principal accounting officer or controller, on hich includes the following standards?	r persons p	erformin	g] No [Yes [X			
	 b. Full, fair, accurate, timely and understandable disclosure in the per c. Compliance with applicable governmental laws, rules and regulation d. The prompt internal reporting of violations to an appropriate person 	ons;	entity;						
14.11	e. Accountability for adherence to the code. If the response to 14.1 is No, please explain:								
	Has the code of ethics for senior managers been amended? If the response to 14.2 is yes, provide information related to amendm In March 2020, the Board of Directors approved changes to Symetral lobbying section. These changes were made to modernize the gover political contributions.	nent(s). 's Code of Business Conduct (the Code) under the nance process for reviewing and approving corporate to the code of t	ne Political o	Contribut	tions;	Yes [X	(]	No []
	political contributions. Have any provisions of the code of ethics been waived for any of the	specified officers?				Yes []	No [Χ]
14.31	If the response to 14.3 is yes, provide the nature of any waiver(s).								

GENERAL INTERROGATORIES

	Is the reporting entity the beneficiary of a Letter of Credit that is unrelated to reinsurance where the issuing or confirming bank is not on the SVO Bank List?					Yes	[] No	[X]
15.2	If the response to 15.1 is yes, indicate the American Bankers Association (ABA) Routing Number and the name of the issuing or confirming bank of the Letter of Credit and describe the circumstances in which the Letter of Credit is triggered.								
	1 American Bankers Association	2		3			4	•	
	(ABA) Routing Number	Issuing or Confirming Bank Name		That Can Trigger the Letter of Credit			Amo		
					<u></u>				
			F DIRECTOR						
16.	thereof?	or sale of all investments of the reporting entity passed upon				Yes	[X] No	[]
17.		ng entity keep a complete permanent record of the proceedin				Yes	[X] No	[]
18.		g entity an established procedure for disclosure to its board o officers, directors, trustees or responsible employees that is i				Yes	[X] No	[]
		FIN	ANCIAL						
19.		ent been prepared using a basis of accounting other than Statciples)?				Yes	ſ] No	[X]
20.1	Total amount loa	aned during the year (inclusive of Separate Accounts, exclusive	e of policy loans):	20.11 To directors or other officers					0
				20.12 To stockholders not officers 20.13 Trustees, supreme or grand (Fraternal Only)					
20.2	Total amount of policy loans):	loans outstanding at the end of year (inclusive of Separate Ad	counts, exclusive of						
	policy louriey.			20.22 To stockholders not officers					
				20.23 Trustees, supreme or grand (Fraternal Only)		.\$			
21.1	Were any assets obligation being	s reported in this statement subject to a contractual obligation reported in the statement?	to transfer to anothe	er party without the liability for such					
21.2		amount thereof at December 31 of the current year:		21.21 Rented from others		\$			
				21.22 Borrowed from others21.23 Leased from others					
				21.24 Other					
22.1	Does this statem guaranty associa	nent include payments for assessments as described in the A ation assessments?	nnual Statement Ins	tructions other than guaranty fund or		Yes	[] No	[X]
22.2	If answer is yes:			2.21 Amount paid as losses or risk adj 2.22 Amount paid as expenses					
				2.23 Other amounts paid					
23.1	Does the reporti	ng entity report any amounts due from parent, subsidiaries or ny amounts receivable from parent included in the Page 2 an	affiliates on Page 2	of this statement?		Yes	[] No	[X]
23.2	ir yes, indicate a	ny amounts receivable from parent included in the Page 2 an	iount:			4			
		INVE	STMENT						
24.01		cks, bonds and other securities owned December 31 of curre ession of the reporting entity on said date? (other than securiti				Yes	[Х] No	[]
24.02	, 0	nd complete information relating thereto							
24.03	whether collater	nding programs, provide a description of the program includir al is carried on or off-balance sheet. (an alternative is to refer	ence Note 17 where	this information is also provided)					
24.04		g entity's securities lending program, report amount of collater				\$			
24.05	For the reporting	g entity's securities lending program, report amount of collater	al for other programs	s		\$			
24.06		rities lending program require 102% (domestic securities) and ntract?			Yes [] N	lo [] N/	A [X]
24.07	Does the reporti	ng entity non-admit when the collateral received from the cou	nterparty falls below	100%?	Yes [] N	lo [] N/	A [X]
24.08		ng entity or the reporting entity 's securities lending agent utilies lending?			Yes [] N	io [] N/	A [X]

GENERAL INTERROGATORIES

24.09	24.09 For the reporting entity's securities lending program state the amount of the following as of December 31 of the current year:						
	24.091 Total fair value of reinvested colla	on Schedule DL, Parts 1 and 2.	\$				
			eral assets reported on Schedule DL, Parts 1 and 2				
	24.093 Total payable for securities lending	g reported on the lial	pility page	\$			
25.1	Were any of the stocks, bonds or other assets of the reportation of the reporting entity, or has the reporting entity softenes? (Exclude securities subject to Interrogatory 21.1 at	old or transferred an	December 31 of the current year not exclusively under the y assets subject to a put option contract that is currently in	Yes [)	(]	No []
25.2	If yes, state the amount thereof at December 31 of the cur	rent vear:	25.21 Subject to repurchase agreements	\$			C
	,,	,	25.22 Subject to reverse repurchase agreements	\$			0
			25.23 Subject to dollar repurchase agreements	\$			0
			25.24 Subject to reverse dollar repurchase agreements				
			25.25 Placed under option agreements				
			excluding FHLB Capital Stock	\$			0
			25.27 FHLB Capital Stock	\$			0
			25.28 On deposit with states	\$		456	,486 1
			25.39 Off deposit with other regulatory bodies	ło.			
			25.31 Pledged as collateral to FHLB - including assets backing funding agreements	\$			0
			25.32 Other	\$			0
25.3	For category (25.26) provide the following:		2		3		7
	Nature of Restriction		Description		ount		
						<u></u>	_
26.1	Does the reporting entity have any hedging transactions re	ported on Schedule	DB?	Yes []	No [X	(]
26.2	If yes, has a comprehensive description of the hedging pr If no, attach a description with this statement.	ogram been made av	vailable to the domiciliary state?] No []	N/A [[X]
LINES 2	6.3 through 26.5: FOR LIFE/FRATERNAL REPORTING E	NTITIES ONLY:					
	-			v .	,	N FV	, 1
26.3	Does the reporting entity utilize derivatives to neage varia	ble annuity guarantee	es subject to fluctuations as a result of interest rate sensitivity?	Yes [J	NO [X	.]
26.4	If the response to 26.3 is YES, does the reporting entity u						
			counting provision of SSAP No. 108accounting practice]
			ounting guidance				J 1
				103 [, ,	10 [1
26.5	By responding YES to 26.41 regarding utilizing the special following:			Yes [1	No [1
	The reporting entity has obtained explicit approva		y state.	169 [1 '	NO [1
	 Hedging strategy subject to the special accounting Actuarial certification has been obtained which in reserves and provides the impact of the hedging Financial Officer Certification has been obtained 	g provisions is considicates that the hedgestrategy within the Adwhich indicates that					
27.1			year mandatorily convertible into equity, or, at the option of the	Yes []	No [X	(]
27.2	If yes, state the amount thereof at December 31 of the cur	rent year		\$			
28.	offices, vaults or safety deposit boxes, were all stocks, bo custodial agreement with a qualified bank or trust compar	nds and other security in accordance with	ge loans and investments held physically in the reporting entity's lies, owned throughout the current year held pursuant to a Section 1, III - General Examination Considerations, F. NAIC Financial Condition Examiners Handbook?	Yes [)	(]	No []
28.01	For agreements that comply with the requirements of the	NAIC Financial Cond	ition Examiners Handbook, complete the following:				
	1		2				
	Name of Custodian(s)	4 New York Plaza	Custodian's Address		—	\dashv	
	JP Morgan Chase	Mail Code NY1-E29 New York, NY 1000					

28.02	For all agreements that do not comply with the requirements of the NAIC Financial Condition Examiners Handbook, provide the name, location	
	and a complete explanation:	

1	2	3
Name(s)	Location(s)	Complete Explanation(s)

28.03 Have there been any changes, including name changes, in the custodian(s) identified in 28.01 during the current year?..... Yes [] No [X]

28.04 If yes, give full and complete information relating thereto:

	1	2	3	4
	Old Custodian	New Custodian	Date of Change	Reason
ĺ				

28.05 Investment management – Identify all investment advisors, investment managers, broker/dealers, including individuals that have the authority to make investment decisions on behalf of the reporting entity. For assets that are managed internally by employees of the reporting entity, note as such. ["...that have access to the investment accounts"; "...handle securities"]

1	2
Name of Firm or Individual	Affiliation
Symetra Investment Management Company	A
MetLife Investment Management, LLC	U
Principal Global Investors, LLC	U
Wellington Management Company, LLP	U

28.0597 For those firms/individuals listed in the table for Question 28.05, do any firms/individuals unaffiliated with the reporting entity (i.e. designated with a "U") manage more than 10% of the reporting entity's invested assets?.....

Yes [] No [X]

28.0598 For firms/individuals unaffiliated with the reporting entity (i.e. designated with a "U") listed in the table for Question 28.05, does the total assets under management aggregate to more than 50% of the reporting entity's invested assets?.....

Yes [] No [X]

28.06 For those firms or individuals listed in the table for 28.05 with an affiliation code of "A" (affiliated) or "U" (unaffiliated), provide the information for the table below.

1	2	3	4	5
				Investment
				Management
Central Registration				Agreement
Depository Number	Name of Firm or Individual	Legal Entity Identifier (LEI)	Registered With	(IMA) Filed
N/A	Symetra Investment Management Company	549300GPUSUTJ741PG93	N/A	0S
	MetLife Investment Management, LLC	EAU072Q8FCR1S0XGYJ21	SEC	DS
109002	Principal Global Investors, LLC	549300BAB10ZPCNHMB89	SEC	DS
106595	Wellington Management Company, LLP	549300YHP12TEZNLCX41	SEC	DS
	• • •			

Does the reporting entity have any diversified mutual funds reported in Schedule D, Part 2 (diversified according to the Securities and Exchange Commission (SEC) in the Investment Company Act of 1940 [Section 5(b)(1)])?

Yes [] No [X]

If yes, complete the following schedule:

1	2	3
		Book/Adjusted
CUSIP#	Name of Mutual Fund	Carrying Value
29.2999 - Total		

29.3 For each mutual fund listed in the table above, complete the following schedule:

1	2	3	4
		Amount of Mutual	
		Fund's Book/Adjusted	
		Carrying Value	
	Name of Significant Holding of the	Attributable to the	Date of
Name of Mutual Fund (from above table)	Mutual Fund	Holding	Valuation

30. Provide the following information for all short-term and long-term bonds and all preferred stocks. Do not substitute amortized value or statement value for fair value.

	1	2	3
			Excess of Statement
			over Fair Value (-), or
	Statement (Admitted)		Fair Value over
	Value	Fair Value	Statement (+)
30.1 Bonds	2,501,826,587	2,664,368,547	162,541,960
30.2 Preferred stocks	0	0	0
30.3 Totals	2,501,826,587	2,664,368,547	162,541,960

2,001,020,001 E,001,000,011 102,011,000				
Describe the sources or methods utilized in determining the fair values: The Company has elected to use the same pricing methodology and sources as utilized for obtaining GAAP fair values in which the security would sell in an arm's length transaction between a willing buyer and seller in possession of the same information. The Company uses quoted market prices from independent third-party pricing services or public market information to determine the fair value of its investments when such information is available. When such information is not available for investments, as in the case of securities that are not publicly traded, we determine fair value using other valuation techniques. Such techniques include evaluating discounted cash flows, identifying comparable securities with quoted market prices, and using internally prepared valuations based on certain modeling and pricing methods.				
Was the rate used to calculate fair value determined by a broker or custodian for any of the securities in Schedule D?	Yes	[]	No [X]
If the answer to 31.1 is yes, does the reporting entity have a copy of the broker's or custodian's pricing policy (hard copy or electronic copy) for all brokers or custodians used as a pricing source?	Yes	[]	No [1
If the answer to 31.2 is no, describe the reporting entity's process for determining a reliable pricing source for purposes of disclosure of fair value for Schedule D:				
•	Yes	[X]	No []
By self-designating 5Gl securities, the reporting entity is certifying the following elements of each self-designated 5Gl security: a. Documentation necessary to permit a full credit analysis of the security does not exist or an NAIC CRP credit rating for an FE or PL security is not available. b. Issuer or obligor is current on all contracted interest and principal payments. c. The insurer has an actual expectation of ultimate payment of all contracted interest and principal. Has the reporting entity self-designated 5Gl securities?	Yes	[]	No [X]
By self-designating PLGI securities, the reporting entity is certifying the following elements of each self-designated PLGI security: a. The security was purchased prior to January 1, 2018. b. The reporting entity is holding capital commensurate with the NAIC Designation reported for the security. c. The NAIC Designation was derived from the credit rating assigned by an NAIC CRP in its legal capacity as a NRSRO which is shown on a current private letter rating held by the insurer and available for examination by state insurance regulators. d. The reporting entity is not permitted to share this credit rating of the PL security with the SVO. Has the reporting entity self-designated PLGI securities?	Yes	[]	No [X]
By assigning FE to a Schedule BA non-registered private fund, the reporting entity is certifying the following elements of each self-designated FE fund: a. The shares were purchased prior to January 1, 2019. b. The reporting entity is holding capital commensurate with the NAIC Designation reported for the security. c. The security had a public credit rating(s) with annual surveillance assigned by an NAIC CRP in its legal capacity as an NRSRO prior to January 1, 2019. d. The fund only or predominantly holds bonds in its portfolio. e. The current reported NAIC Designation was derived from the public credit rating(s) with annual surveillance assigned by an NAIC CRP in its legal capacity as an NRSRO. f. The public credit rating(s) with annual surveillance assigned by an NAIC CRP has not lapsed. Has the reporting entity assigned FE to Schedule BA non-registered private funds that complied with the above criteria?	Yes	[]	No [X]
By rolling/renewing short-term or cash equivalent investments with continued reporting on Schedule DA, Part 1 or Schedule E Part 2 (identified through a code (%) in those investment schedules), the reporting entity is certifying to the following: a. The investment is a liquid asset that can be terminated by the reporting entity on the current maturity date. b. If the investment is with a nonrelated party or nonaffiliate, then it reflects an arms-length transaction with renewal completed at the discretion of all involved parties. c. If the investment is with a related party or affiliate, then the reporting entity has completed robust re-underwriting of the transaction for which documentation is available for regulator review. d. Short-term and cash equivalent investments that have been renewed/rolled from the prior period that do not meet the criteria in 36.a - 36.c are reported as long-term investments. Has the reporting entity rolled/renewed short-term or cash equivalent investments in accordance with these criteria?] No	[]	N/A [[X]
	would sell in an arm's length transaction between a willing buyer and seller in possession of the same information. The Company uses quoted market prices from independent thirty-party pricing services or public market information to determine the fair value of its investments when such information is available. When such information is not available for investments, as in the case of securities that are not publicly traded, we determine fair value using other valuation techniques. Such techniques include evaluating discounted cash flows, dering comparable securities with quoted market prices, and using internally prepared valuations based on certain modeling and pricing methods. Was the rate used to calculate fair value determined by a broker or custodian's pricing policy (hard copy or electronic copy) for all brokers or custodians used as a pricing source? If the answer to 31.1 is yes, does the reporting entity is process for determining a reliable pricing source for purposes of disclosure of fair value for Schedule D: Have all the filing requirements of the Purposes and Procedures Manual of the NAIC Investment Analysis Office been followed? If no, list exceptions: By self-designating 5GI securities, the reporting entity is certifying the following elements of each self-designated 5GI security: a. Documentation necessary to permit a full credit analysis of the security does not exist or an NAIC CRP credit rating for an FE or PL security is not available. b. Issuer or obligor is current on all contracted interest and principal payments. c. The insurer has an actual expectation of ultimate payment of all contracted interest and principal. Has the reporting entity is holding capital commensurate with the NAIC Designation reported for the security. a. The security was purchased prior to January 1, 2018. By self-designating PLGI securities; the reporting entity is certifying the following elements of each self-designated PLGI securities? By assigning FE to a Schedule BA non-registered private fund, the	Describe the sources or methods utilized in determining the fair values: The Company has elected to use the same pricing methodology and sources as utilized for obtaining GAAP fair values in which the security would sell in an arm's length transaction between a willing buyer and seller in possession of the same information. The Company uses quoted market prices from independent third-party pricing services or public market information to determine the fair value of its investments when such information is available. When such information is not available for investments, as in the case of securities that are not publicly traded, we determine fair value using other valuation to Entrewise include evaluating discounted cash flows, identifying comparable securities with quoted market prices, and using internally prepared valuations based on certain modeling and pricing methods. Was the rate used to calculate fair value determined by a broker or custodian for any of the securities in Schedule D? Yes If the answer to 31.1 is yes, does the reporting entity have a copy of the broker's or custodian's pricing policy (hard copy or electronic copy) for all brokers or custodian's used as a pricing source? Yes If the answer to 31.2 is no, describe the reporting entity's process for determining a reliable pricing source for purposes of disclosure of fair value for Schedule D: Yes If the answer to 31.2 is no, describe the reporting entity's process for determining a reliable pricing source for purposes of disclosure of fair value for Schedule D: Yes By self-designating SGI securities, the reporting entity is certifying the following elements of each self-designated SGI security: a Documentation necessary to permit a full credit analysis of the security does not exist or an NAIC CRP credit rating for an FE or PL security is not available. Is severed that the self-designated SGI securities? Py self-designating FCI securities, the reporting entity is certifying the following elements of each self-designated PLGI secu	Describe the sources or methods utilized in determining the fair values: The Company has elected to use the same pricing methodology and sources as utilized for obtaining GAAP fair values in which the security would sell in an arm's length transaction between a willing buyer and seller in possession of the same information. The Company uses quoted market prices from independent mitty depty prioring services or public market information is available. When such information is not available for investments, as in the case of securities that are not publicly traced, such that the case of securities that are not publicly traced, such information is available. When such information is not available for investments, as in the case of securities that are not publicly traced, such that the case of the calculater fair value determined by a broken or custodian for any of the securities in Schedule D? Was the rate used to calculate fair value determined by a broken or custodian for any of the securities in Schedule D? Yes [] If the answer to 31.1 is yes, does the reporting entity have a copy of the broken's or custodian's pricing policy (hard copy or electronic copy) for all brokens or custodians used as a pricing source? Yes [] If the answer to 31.2 is no, describe the reporting entity's process for determining a reliable pricing source for purposes of disclosure of fair value for Schedule D: Have all the filling requirements of the Purposes and Procedures Manual of the NAIC Investment Analysis Office been followed? Yes [] By self-designating SGI securities, the reporting entity is certifying the following elements of each self-designated SGI security: a Documentation necessary to permit a full credit analysis of the security does not exist or an NAIC CRP credit rating for an FE or PL b Issuer or obligor in current on all contracted interest and principal. Has the reporting entity self-designated SGI securities? By self-designating PLGI securities the properting entity is certifying the following elements	Describe the sources or methods utilized in determining the fair values: The Company has elected to use the same pricing methodology and sources as utilized for obtaining GAAP fair values in which the security would sell in an arm's length transaction between a willing buyer and seller in possession of the same information. The Company uses quoted market prices from independent thirty-party pricing services or public market information is available. When such information is not available for investments, as in the case of securities that or not publicly traded, when such information is available. When such information is not available for investments, as in the case of securities that or not publicly traded, when the case of securities that or not publicly traded, which will be contained the case of the case include valuations decided on certain modeling and pricing methods. Was the rate used to calculate fair value determined by a broker or custodian for any of the securities in Schedule D? If the answer to 31.1 is yes, does the reporting entity have a copy of the broker's or custodian's pricing policy (hard copy or electronic copy) for all brokers or custodians used as a pricing source? If the answer to 31.2 is no, describe the reporting entity's process for determining a reliable pricing source for purposes of disclosure of fair values or Schedule D: If the answer to 31.2 is no, describe the reporting entity's process for determining a reliable pricing source for purposes of disclosure of fair values or schedule D: By self-designating SGI securities, the reporting entity is certifying the following elements of each self-designated 5GI security: a. Documentation necessary to permit a full credit analysis of the security does not exist or an NAIC CRP credit rating for an FE or PL security is not available. By self-designating PLGI securities, the reporting entity is certifying the following elements of each self-designated PLGI security. a. The security was purchased prior to January 1, 2018. By self

GENERAL INTERROGATORIES

OTHER

37.1	Amount of payments to trade associations, service organizations and statistical or rating bureaus, if any?		\$	52,000
37.2	List the name of the organization and the amount paid if any such payment represented 25% or more of the to service organizations and statistical or rating bureaus during the period covered by this statement.	otal payments to trade a	ssociations,	
	1 Name	2 Amount Paid		
	AM Best Rating Services, Inc.	52,000		
38.1	Amount of payments for legal expenses, if any?		\$	0
38.2	List the name of the firm and the amount paid if any such payment represented 25% or more of the total paymenting the period covered by this statement.	nents for legal expenses		
	1 Name	2 Amount Paid		
39.1	Amount of payments for expenditures in connection with matters before legislative bodies, officers or departm	ents of government, if a	ıny?\$	0
39.2	List the name of the firm and the amount paid if any such payment represented 25% or more of the total payment connection with matters before legislative bodies, officers or departments of government during the period co			
	1 Name	2 Amount Paid		

PART 2 - LIFE, ACCIDENT AND HEALTH COMPANIES/FRATERNAL BENEFIT SOCIETIES INTERROGATORIES

Life, A d	cident and Health Companies/Fraternal Benefit Societies: Does the reporting entity have any direct Medicare Supplement Insurance in force?			Yes [] No [X]
1.2	If yes, indicate premium earned on U.S. business only			\$
1.3	What portion of Item (1.2) is not reported on the Medicare Supplement Insurance Ex 1.31 Reason for excluding:			\$
1.4	Indicate amount of earned premium attributable to Canadian and/or Other Alien not			\$
1.5	Indicate total incurred claims on all Medicare Supplement insurance.			\$
1.6	Individual policies:	Mark assessed there are		
1.6	Individual policies:	Most current three y		\$
				\$
			st current three years	
				\$
				\$
		1.66 Number of cov	ered lives	
1.7	Group policies:	Most current three y	ears:	
		-		\$
				\$
			st current three years	
		1.74 Total premium	earned	\$
				\$
		1.76 Number of cov	ered lives	
	2.1 Premium Numerator 2.2 Premium Denominator	49,476,499		
	2.3 Premium Ratio (2.1/2.2)	0.093	0.086	
	2.4 Reserve Numerator	12,734,810	14,743,186	
	2.5 Reserve Denominator			
	2.6 Reserve Ratio (2.4/2.5)	0.004	0.006	
3.1	Does this reporting entity have Separate Accounts?			Yes [X] No []
3.2	If yes, has a Separate Accounts Statement been filed with this Department?		Yes [X] No [] N/A [
3.3	What portion of capital and surplus funds of the reporting entity covered by assets in distributable from the Separate Accounts to the general account for use by the general	n the Separate Accounts statement, eral account?	is not currently	\$(
3.4	State the authority under which Separate Accounts are maintained: Article 42, Section 4240 of the New York Code			
3.5	Was any of the reporting entity's Separate Accounts business reinsured as of Dece	mber 31?		Yes [] No [X]
3.6	Has the reporting entity assumed by reinsurance any Separate Accounts business a	as of December 31?		Yes [] No [X]
3.7	If the reporting entity has assumed Separate Accounts business, how much, if any, Accounts reserve expense allowances is included as a negative amount in the liabil (net)"?	ity for "Transfers to Separate Accou	nts due or accrued	
4.	For reporting entities having sold annuities to another insurer where the insurer purc claimant (payee) as the result of the purchase of an annuity from the reporting entity		a release of liability fron	n the
4.1 4.2	Amount of loss reserves established by these annuities during the current year: List the name and location of the insurance company purchasing the annuities and	-		\$
	1		2	
			Statement Va on Purchase I of Annuitie	Date
	P&C Insurance Company And Location		(i.e., Present V	
	1			I

PART 2 - LIFE, ACCIDENT AND HEALTH COMPANIES/FRATERNAL BENEFIT SOCIETIES INTERROGATORIES

	·								
5.1	Do you act as a custodian for health savings account	nts?					Yes [] No [[X]
5.2	If yes, please provide the amount of custodial funds	s held as of the re	eporting date				\$		
5.3	Do you act as an administrator for health savings ac	ccounts?					Yes [] No [[X]
5.4	If yes, please provide the balance of funds administ	tered as of the re	porting date				\$		
6.1 6.2	Are any of the captive affiliates reported on Schedu If the answer to 6.1 is yes, please provide the follow		norized reinsurers?			Yes [] No [] N/	/A [X]
	1	2	3	4	Assets	Supporting Reserv	re Credit		
	Company Name	NAIC Company Code	Domiciliary Jurisdiction	Reserve Credit	5 Letters of Credit	6 Trust Agreements	7 Othe	er	
7.	Provide the following for individual ordinary life insu ceded):	rance* policies (l	•	•		ance assumed or		<u> </u>	017 206
		*Ordii	nary Life Insurance	Includes					
	Term (whether full ur	nderwriting,limite	d underwriting,jet is	ssue,"short form a					
	Whole Life (whether Variable Life (with or			g,jet issue, snort to	orm app")				
	Universal Life (with o Variable Universal Li			antee)					
	variable Oniversal Li	ile (with or withou	at secondary garan	antee)					
8.	Is the reporting entity licensed or chartered, register	red, qualified, eliç	gible or writing bus	ness in at least tw	o states?		Yes [] No [[X]
8.1	If no, does the reporting entity assume reinsurance the reporting entity?						Yes [] No [[X]
fe, Ac	cident and Health Companies Only:								
9.1	Are personnel or facilities of this reporting entity use by this reporting entity (except for activities such as studies)?	administration of	f jointly underwritte	n group contracts	and joint mortality	or morbidity] No [.]
9.2	Net reimbursement of such expenses between repo	orting entities:							
		.		9.21 F	Paid		\$	8,2	294,487
				9.22 F	Received		\$		0
10.1	Does the reporting entity write any guaranteed inter-	est contracts?					Yes [] No [[X]
10.2	If yes, what amount pertaining to these lines is inclu	uded in:							
11.	For stock reporting entities only:			10.22	Page 4, Line 1		\$		
11.1	Total amount paid in by stockholders as surplus fun	nds since organiz	ation of the reporti	ng entity:			\$	85,(000,000
12.	Total dividends paid stockholders since organization	n of the reporting	a entity:						
12.	Total dividends paid stockholders since organization	in or the reporting	g criticy.						
				12.12	Stock		\$		0
13.1	Does the reporting entity reinsure any Workers' Cor Reinsurance (including retrocessional reinsurance) benefits of the occupational illness and accident ex- written as workers' compensation insurance.	assumed by life	and health insurers	s of medical, wage	loss and death		Yes [] No [[X]
13.2	If yes, has the reporting entity completed the Worke	ers' Compensatio	on Carve-Out Supp	lement to the Annu	ual Statement?		Yes [] No [1
13.3	If 13.1 is yes, the amounts of earned premiums and	d claims incurred	in this statement a	re:					
	•		1 Reinsurai		2 nsurance	3 Net			
			Assume	ed C	Ceded	Retained			
	13.31 Earned premium								
	13.33 Claim liability and reserve (beginning of year) 13.34 Claim liability and reserve (end of year) 13.35 Incurred claims)							

PART 2 - LIFE, ACCIDENT AND HEALTH COMPANIES/FRATERNAL BENEFIT SOCIETIES INTERROGATORIES

13.4	If reinsurance assumed included amounts with attachment points below \$1,000,000, the distribu 13.34 for Column (1) are:	ution of the amounts re	ported in Lines 13.31 and	i				
	Attachment	1 Earned	2 Claim Liability					
	Point 13.41 <\$25,000	Premium	and Reserve					
	13.42 \$25,000 - 99,999							
	13.43 \$100,000 - 249,999							
	40.44							
	1, 1, 1, 1, 1, 1, 1, 1, 1, 1, 1, 1, 1, 1							
13.5	What portion of earned premium reported in 13.31, Column 1 was assumed from pools?			\$				
raterna	al Benefit Societies Only:							
14.	Is the reporting entity organized and conducted on the lodge system, with ritualistic form of work	and representative for	rm of government?	Yes []	No []	
15.	How often are meetings of the subordinate branches required to be held?							
16.	How are the subordinate branches represented in the supreme or governing body?							
17.	What is the basis of representation in the governing body?							
18.1	How often are regular meetings of the governing body held?							
18.2	When was the last regular meeting of the governing body held?							
18.3	When and where will the next regular or special meeting of the governing body be held?							
18.4	How many members of the governing body attended the last regular meeting?			·				
18.5	How many of the same were delegates of the subordinate branches?			····· <u> </u>				
19.	How are the expenses of the governing body defrayed?							
20.	When and by whom are the officers and directors elected?							
21.	What are the qualifications for membership?							
22.	What are the limiting ages for admission?							
23.	What is the minimum and maximum insurance that may be issued on any one life?							
24.	Is a medical examination required before issuing a benefit certificate to applicants?			-	-	No []	
25.	Are applicants admitted to membership without filing an application with and becoming a member	=			-	No []	
26.1	Are notices of the payments required sent to the members?] No [J N/A	١,	J
26.2 27.	What proportion of first and subsequent year's payments may be used for management expense			res [1	NO [J	
21.	27.11 First Year							%
	27.12 Subsequent Years							%
28.1 28.2	Is any part of the mortuary, disability, emergency or reserve fund, or the accretions from or paym If so, what amount and for what purpose?			_	-	_]	
20.4	December reporting entity pay on old ago disability benefit?				1	Na r	,	
29.1 29.2	Does the reporting entity pay an old age disability benefit?			_]	INO []	
30.1	Has the constitution or have the laws of the reporting entity been amended during the year?				1	No I	1	_
30.2	If yes, when?				,	140 [,	
31.	Have you filed with this Department all forms of benefit certificates issued, a copy of the constitue in force at the present time?	ution and all of the laws	s, rules and regulations]	No []	
32.1	State whether all or a portion of the regular insurance contributions were waived during the curre account of meeting attained age or membership requirements?			Yes []	No []	
32.2	If so, was an additional reserve included in Exhibit 5?		Yes [] No [] N/A	[]
32.3	If yes, explain							
33.1	Has the reporting entity reinsured, amalgamated with, or absorbed any company, order, society,]	No []	
33.2	If yes, was there any contract agreement, or understanding, written or oral, expressed or implied director, trustee, or any other person, or firm, corporation, society or association, received or is to emolument, or compensation of any nature whatsoever in connection with, on an account of suc	to receive any fee, com	nmission,					
	absorption, or transfer of membership or funds?] No [] N/A	[]
34.	Has any present or former officer, director, trustee, incorporator, or any other persons, or any firr claims of any nature whatsoever against this reporting entity, which is not included in the liabilitie	m, corporation, society	or association, any	Yes [1	No [1	
35.1	Does the reporting entity have outstanding assessments in the form of liens against policy benef				-	No []	
35.2	If yes, what is the date of the original lien and the total outstanding balance of liens that remain in				•		•	

	Outstanding
Date	Lien Amount

FIVE-YEAR HISTORICAL DATA

Show amounts in whole dollars only, no cents; show percentages to one decimal place, i.e. 17.6.
\$000 omitted for amounts of life insurance

			mounts of life insu			
		1 2020	2 2019	3 2018	4 2017	5 2016
	Life Income in Faure	2020	2019	2010	2017	2010
	Life Insurance in Force					
	(Exhibit of Life Insurance)					
1.	Ordinary - whole life and endowment (Line 34, Col. 4)	30 526	33 014	34 810	36 321	37.717
2.	Ordinary - term (Line 21, Col. 4, less Line 34, Col.				00,021	
۷.	4)	532,722	222,301	107,903	91,678	56,698
3.	Credit life (Line 21, Col. 6)					
	Group, excluding FEGLI/SGLL(Line 21, Col. 9 less					
	Lines 43 & 44, Col. 4)	5,656,029	5,983,944	5,804,136	5,321,330	676,752
5.	Industrial (Line 21, Col. 2)					
	FEGLI/SGLI (Lines 43 & 44, Col. 4)					
	Total (Line 21, Col. 10)		6,239,259	5,946,848	5,449,328	771,166
	Total in force for which VM-20	0,210,277	0,200,200	0,010,010	0,110,020	771,100
7.1	deterministic/stochastic reserves are calculated	322,323				XXX
	New Business Issued					
	(Exhibit of Life Insurance)					
8.	Ordinary - whole life and endowment (Line 34, Col.					
0.	2)		269	796	412	1,661
9.	Ordinary - term (Line 2, Col. 4, less Line 34, Col. 2)	324,723	142,548		44,450	7,015
	Credit life (Line 2, Col. 6)					
	Group (Line 2, Col. 9)					
	Industrial (Line 2, Col. 2)					
	Total (Line 2, Col. 10)		E06 0F0	A04 E07	A 674 04F	AE4 774
13.			320,936	421,327	4,0/1,210	431,774
	Premium Income - Lines of Business					
	(Exhibit 1 - Part 1)					
14.	Industrial life (Line 20.4, Col. 2)					
	Ordinary-life insurance (Line 20.4, Col. 3)					
15.2	Ordinary-individual annuities (Line 20.4, Col. 4)	463,449,776	549,544,414	512,916,116	331,889,611	377,407,998
	Credit life (group and individual) (Line 20.4, Col. 5)					
17.1	Group life insurance (Line 20.4, Col. 6)	11, 179, 084	11,359,719	10,192,825	4,759,110	1, 172, 616
17.2	Group annuities (Line 20.4, Col. 7)					
18.1	A & H-group (Line 20.4, Col. 8)	56,281,124	57,763,880	29,689,734	18,792,338	16,458,726
	A & H-credit (group and individual) (Line 20.4					
	Col. 9)					
18.3	A & H-other (Line 20.4, Col. 10)					
19.	Aggregate of all other lines of business (Line					
	20.4,Col. 11)					
20.	Total	532,025,362	619,470,365	553,530,879	355,823,768	396,169,265
	Balance Sheet (Pages 2 & 3)					
21.	Total admitted assets excluding Separate Accounts					
	business (Page 2, Line 26, Col. 3)	3,048,337,831	2,707,334,068	2,246,491,639	1,802,723,905	1,571,872,863
22.	Total liabilities excluding Separate Accounts					=0 -00 -01
	business (Page 3, Line 26)				1,688,052,014	
	Aggregate life reserves (Page 3, Line 1)		2,471,579,628	2,035,555,979	1,632,091,050	1,400,751,814
23.1	Excess VM-20 deterministic/stochastic reserve over	774 541				VVV
	NPR related to Line 7.1		0.407.404			
	Aggregate A & H reserves (Page 3, Line 2)		3,407,101			1,055,090
25.	Deposit-type contract funds (Page 3, Line 3)		33, 197,036		31,582,473	
26.	Asset valuation reserve (Page 3, Line 24.01)		15, 144, 377			8,294,643
27.	Capital (Page 3, Lines 29 and 30)	2,000,000	2,000,000	2,000,000	2,000,000	2,000,000
28.	Surplus (Page 3, Line 37)	136,456,724	140,265,847	137, 168,271	112,671,891	110,588,972
	Cash Flow (Page 5)					
29.	Net Cash from Operations (Line 11)	351,509,060	445,886,140	410,063,774	241,456,519	302,226,159
	Risk-Based Capital Analysis					
30.	Total adjusted capital	157, 108, 412	157,410,224	151,406,006	124,889,666	120,883,615
	Authorized control level risk - based capital					
٠	Percentage Distribution of Cash, Cash				,,	, ,, ,
	Equivalents and Invested Assets					
	(Page 2, Col. 3) (Line No. /Page 2, Line 12, Col. 3)					
	x 100.0					
32.	Bonds (Line 1)	83.1	86.8	85.8	87.1	88.1
33.	Stocks (Lines 2.1 and 2.2)					
34.	Mortgage loans on real estate(Lines 3.1 and 3.2)	16.4	13.0	12.7	12.8	10.9
35.	Real estate (Lines 4.1, 4.2 and 4.3)					
	Cash cash equivalents and short-term investments					
50.	(Line 5)	0.5	0.2	1.5	0.1	1.0
37.	Contract loans (Line 6)	0.0	0.0	0.0	0.0	0.0
38.	Derivatives (Page 2, Line 7)					
	Other invested assets (Line 8)					
	Receivables for securities (Line 9)					0.0
41.	Securities lending reinvested collateral assets (Line 10)					
42.	Aggregate write-ins for invested assets (Line 11)					
	, ,					
43.	Cash, cash equivalents and invested assets (Line 12)	100.0	100.0	100.0	100.0	100.0
	\ (L)	100.0	100.0	100.0	100.0	100.0

FIVE-YEAR HISTORICAL DATA (Continued) 2020 2019 2018 2017 2016 Investments in Parent, Subsidiaries and **Affiliates** Affiliated bonds (Schedule D Summary, Line 12, 44. Col. 1) 45. Affiliated preferred stocks (Schedule D Summary, Affiliated common stocks (Schedule D Summary 46. Line 24, Col. 1), Affiliated short-term investments (subtotal included 47. in Schedule DA Verification, Col. 5, Line 10). 48. Affiliated mortgage loans on real estate 49. All other affiliated Total of above Lines 44 to 49 50. 51. Total Investment in Parent included in Lines 44 to 49 above. **Total Nonadmitted and Admitted Assets** 52 Total nonadmitted assets (Page 2, Line 28, Col. 2). 14 367 055 15 223 928 11 841 803 6 405 695 5 509 550 Total admitted assets (Page 2, Line 28, Col. 3). 3,048,628,449 2,707,568,532 2,246,677,680 .1,802,997,076 .1,572,131,807 Investment Data Net investment income (Exhibit of Net Investment 54. .94,918,415 .88,534,876 69,711,974 .61,326,660 51,201,908 55. Realized capital gains (losses) (Page 4, Line 34, (29,078) 19,375 4.688 (472,868)(629,307) 56. Unrealized capital gains (losses) (Page 4, Line 38, Column 1) 57 Total of above Lines 54, 55 and 56 94 889 337 88.554.251 69.716.662 60 853 792 50 572 601 Benefits and Reserve Increases (Page 6) Total contract/certificate benefits - life (Lines 10. 11. 58. 12, 13, 14 and 15, Col. 1 minus Lines 10, 11,12, 13, 14 and 15, Cols. 6, 7 and 8) 197,468,065 178,689,017 155,068,813 .133, 129, 745 105,041,660 59. Total contract/certificate benefits - A & H (Lines 13 & 14, Col. 6) 48,653,608 48.314.939 24,511,636 16.699.167 15,207,573 Increase in life reserves - other than group and 60. (598.615) 3.345.090 (786.179) 426.492 (824.005) annuities (Line 19, Col. 2), Increase in A & H reserves (Line 19, Col. 6) .397.239 .250.793 1,369,230 .731,988 662.389 61. Dividends to policyholders and refunds to members 62. (Line 30 Col. 1) **Operating Percentages** Insurance expense percent (Page 6, Col. 1, Lines 63. 21, 22 & 23, less Line 6)/(Page 6, Col. 1, Line 1 plus Exhibit 7, Col. 2, Line 2) x 100.0 4.6 4.4 4.3 4.7 4.3 Lapse percent (ordinary only) [(Exhibit of Life Insurance, Col. 4, Lines 14 & 15) / 1/2 (Exhibit of Life Insurance, Col. 4, Lines 1 & 21)] x 100.02.9 17.9 .5.1 9.7 7.0 A & H loss percent (Schedule H, Part 1, Lines 5 and 65. 6, Col. 2) 87 8 82 6 87 2 92 7 97 2 A & H cost containment percent (Schedule H, Pt. 1, 66. Line 4. Col. 2). 67. A & H expense percent excluding cost containment expenses (Schedule H, Pt. 1, Line 10, Col. 2). .16.0 .16.6 .15.0 17.8 .19.1 A & H Claim Reserve Adequacy Incurred losses on prior years' claims - group health (Schedule H, Part 3, Line 3.1 Col. 2) 68. 17.243.835 10,861,216 10,061,389 5,727,052 5.858.302 Prior years' claim liability and reserve - group health 69. 18.011.978 (Schedule H, Part 3, Line 3.2 Col. 2) 8.735.269 5.690.454 4.158.904 3.617.354 Incurred losses on prior years' claims-health other than group (Schedule H, Part 3, Line 3.1 Col. 1 less Col. 2). Prior years' claim liability and reserve-health other than group (Schedule H, Part 3, Line 3.2 Col. 1 Net Gains From Operations After Dividends to Policyholders/Members' Refunds and Federal Taxes by Lines of Business (Page 6.x, Line 33) 72 Industrial life (Page 6.1, Col. 2) 73. Ordinary - life (Page 6.1, Col. 1 less Cols. 2, 10 (831.616) (3.357.714) (44.076) 65.175 (258.272) and 12) (173, 149) 4.341.955 286.224 10.702.740 .941.279 74. Ordinary - individual annuities (Page 6, Col. 4). 75. Ordinary-supplementary contracts 76. Credit life (Page 6.1, Col. 10 plus Page 6.2, Col. 7) (4.382.858) 751.151 352.497 (189.252) (335.249) 77 Group life (Page 6.2, Col. 1 Less Cols. 7 and 9) .(17,079) .(18,720) 78. Group annuities (Page 6, Col. 5) (18,047) .(12,885) (13,829) A & H-group (Page 6.5, Col. 3). .409,005 . (764, 327) .(1,216,726) 79.

932.332

2,650,645

985.603

9,801,451

(882,797)

779.916

(4,626,427)

A & H-credit (Page 6.5, Col. 10)

Fraternal (Page 6, Col. 7)

Total (Page 6, Col. 1)

A & H-other (Page 6.5, Col. 1 less Cols. 3 and 10)

Aggregate of all other lines of business (Page 6, Col. 8)

80. 81.

82. 83.

84



ANNUAL STATEMENT FOR THE YEAR 2020 OF THE First Symetra National Life Insurance

,	THE THOUGHT HARBITAL ENGINE	aranoo company or non
DIRECT BUSINESS IN THE STATE OF Grand Total		DURING THE YEAR 2020
NAIC Group Code 1129	LIFE INSURANCE	NAIC Company Code 78417

	DIDECT DDEMILIAG	1	2	3	4	5
	DIRECT PREMIUMS	Ordinani	Credit Life (Group and Individual)	Croun	Industrial	Total
1.	AND ANNUITY CONSIDERATIONS	Ordinary 1,317,296		Group 13,362,653	Industrial	<u>Total</u> 14,679,948
2.						, ,
3.	Deposit-type contract funds	463,449,809				463,449,809
3. 4.		, ,	XXX		XXX	3,331,080
5.	Totals (Sum of Lines 1 to 4)	468,118,191		13.362.653		404 400 040
5.	DIRECT DIVIDENDS TO	400,110,191		13,302,003		481,480,843
	POLICYHOLDERS/REFUNDS TO MEMBERS					
Life in	surance:					
6.1	Paid in cash or left on deposit					
6.2	Applied to pay renewal premiums					
6.3	Applied to provide paid-up additions or shorten the endowment or premium-paying period					
6.4	Other					
6.5	Totals (Sum of Lines 6.1 to 6.4)					
Annui						
7.1	Paid in cash or left on deposit					
7.2	Applied to provide paid-up annuities					
7.3	Other					
7.4	Totals (Sum of Lines 7.1 to 7.3)					
8.	Grand Totals (Lines 6.5 plus 7.4)					
	DIRECT CLAIMS AND BENEFITS PAID					
9.	Death benefits	2,019,317		14,637,875		16,657,192
10.	Matured endowments					
11.	Annuity benefits	4,357,227				4,357,227
12.	Surrender values and withdrawals for life contracts	180,058,162		92,817		180, 150, 979
13.	Aggregate write-ins for miscellaneous direct claims and benefits paid					
14.	All other benefits, except accident and health					
15.	Totals	186,434,706		14,730,692		201,165,398
	DETAILS OF WRITE-INS					
1301.						
1302.						
1303.						
1398.	Summary of Line 13 from overflow page					
1399.	Totals (Lines 1301 thru 1303 plus 1398) (Line 13 above)					

		Ordinary		Credit Life Ordinary (Group and Individual)		Group		-	ndustrial	Total		
DIRECT DEATH	1	2	3	4	5	6 6	7	8	9	10		
BENEFITS AND		_	No. of	7		· ·	'	O		10		
MATURED	No. of		Ind.Pols.				No. of		No. of			
ENDOWMENTS	Pols. &		& Gr.		No. of		Pols. &		Pols. &			
INCURRED	Certifs.	Amount	Certifs.	Amount	Certifs.	Amount	Certifs.	Amount	Certifs.	Amount		
16. Unpaid December 31, prior												
year	3	190,356			29	729,871			32	920,22		
year17. Incurred during current year	3	2,254,566			669	15,241,518			672	17,496,08		
Settled during current year:												
18.1 By payment in full	3	2,019,317			673	14,637,875			676	16,657,19		
18.2 By payment on compromised claims												
18.3 Totals paid	3	2,019,317			673	14,637,875			676	16,657,19		
18.4 Reduction by compromise												
18.5 Amount rejected			L		L							
18.6 Total settlements		2.019.317			673	14.637.875			676	16.657.19		
19. Unpaid Dec. 31, current		, ,,,				, , ,				, , ,		
year (16+17-18.6)	3	425,605			25	1,333,514			28	1,759,11		
POLICY EXHIBIT					No. of Policies							
20. In force December 31, prior	711	OEE 014 EE4		(-)	21	E 000 044 071			740	6 000 050 00		
year	/11	200, 314, 554		(a)	31	5,983,944,371			142	6,239,258,92		
21. Issued during year												
22. Other changes to in force (Net)	(62)	(16,789,000)			(3)	(783,692,000)			(65)	(800,481,00		
23. In force December 31 of current year	745	563.248.554		(a)	35	5.656.029.371			780	6.219.277.9		

(a) Includes Individual Credit Life Insurance prior year \$... , current year \$ Includes Group Credit Life Insurance Loans less than or equal to 60 months at issue, prior year \$, current year \$ Loans greater than 60 months at issue BUT NOT GREATER THAN 120 MONTHS, prior year \$, current year \$

ACCIDENT AND HEALTH INSURANCE

	ACCIDENT AND REALTH INSURANCE										
		1	2	3	4	5					
				Policyholder Dividends							
				Paid, Refunds to							
			Direct Premiums	Members or Credited		Direct Losses					
		Direct Premiums	Earned	on Direct Business	Direct Losses Paid	Incurred					
24.	Group Policies (b)	56,575,663	57,069,853		50,808,663	49,034,969					
24.1	Federal Employees Health Benefits Plan premium (b)										
24.2	Credit (Group and Individual)										
24.3	Collectively renewable policies/certificates (b)										
24.4	Medicare Title XVIII exempt from state taxes or fees										
	Other Individual Policies:										
25.1	Non-cancelable (b)										
25.2	Guaranteed renewable (b)										
25.3	Non-renewable for stated reasons only (b)										
25.4	Other accident only										
25.5	All other (b)										
25.6											
	Totals (Lines 24 + 24.1 + 24.2 + 24.3 + 24.4 + 25.6)	56,575,663	57,069,853		50,808,663	49,034,969					

(b) For health business on indicated lines report: Number of persons insured under PPO managed care products0 and number of persons insured under indemnity only products .440 .

EXHIBIT OF LIFE INSURANCE

(\$000 Omitted for Amounts of Life Insurance)

					ted for Amounts of		<u> </u>					
	ļ.		ıstrial		linary		up and Individual)			Group		10
		1	2	3	4	5 Number of Individual Policies and Group	6	7	Number of	8	9	Total
		Number of Policies	Amount of Insurance	Number of Policies	Amount of Insurance	Certificates	Amount of Insurance	Policies		Certificates	Amount of Insurance	Amount of Insurance
1.	In force end of prior year			711	255,315				31	92,789	5,983,944	6,239,258
2.	Issued during year			96	324,723				7	5,569	455,777	780,500
3.	Reinsurance assumed											
4.	Revived during year											
5.	Increased during year (net)											
6.	Subtotals, Lines 2 to 5			96	324,723				7	5,569	455,777	780,500
7.	Additions by dividends during year	XXX		XXX		XXX		XXX		XXX		
8.	Aggregate write-ins for increases											
9.	Totals (Lines 1 and 6 to 8)			807	580,038				38	98 , 358	6,439,721	7,019,758
	Deductions during year:				, in the second of the second					,	, ,	, ,
10.	Death			34	2,265			XXX		582	13,798	16,063
11.	Maturity				,			XXX			,	, .
12.	Disability							XXX				
13.	Expiry											
14.	Surrender			11	5,627							5,627
15.	Lapse			17	6,311				3	4,237	176,719	183,030
16.	Conversion							XXX		XXX	XXX	
17.	Decreased (net)				2,586					5,228	593, 175	
18.	Reinsurance				£,000						000, 170	
19.	Aggregate write-ins for decreases											
	Totals (Lines 10 to 19)			62	16,789				3	10,047		800.481
				745	563.249				35	88.311	5,656,029	6.219.277
	In force end of year (b) (Line 9 minus Line 20)				249,475				35			1,154,225
	Reinsurance ceded end of year	XXX		XXX		XXX		XXX		XXX		
23.	Line 21 minus Line 22	XXX		XXX	313,774	XXX	(a)	XXX		XXX	4,751,279	5,065,052
	DETAILS OF WRITE-INS											
0801.					•							
0802.												•
0803.												
0898.	Summary of remaining write-ins for Line 8 from overflow											
0000	page TOTALS (Lines 0801 thru 0803 plus 0898) (Line 8											
0899.	above)											
1901.	above)											
1901.												
1902.							.					
1903.	Summary of remaining write-ins for Line 19 from overflow						†					
1000.	page.											
1999.	TOTALS (Lines 1901 thru 1903 plus 1998) (Line 19 above)											
Life, Ac	cident and Health Companies Only:											
	ıp \$; Individual \$											
` '	al Benefit Societies Only:											
	l-up insurance included in the final totals of Line 21 (including	g additions to certificate	es) number of certificate	s	, Amount \$							
	tional accidental death benefits included in life certificates w						ses of the society under f	ully naid-un cert	tificates?	Yes [] No [1	
	t, how are such expenses met?		, Doc.	, and decictly deficed arry	Sommon nom men	issis for general expens	ood of the dodlety under h	any para ap cert	inoutes:		,	
11 110	, now are audit expenses met!											

EXHIBIT OF LIFE INSURANCE

(\$000 Omitted for Amounts of Life Insurance) (Continued)

ADDITIONAL INFORMATION ON INSURANCE IN FORCE END OF YEAR							
		Industrial		Ordinary			
		1	2	3	4		
		Number of Policies	Amount of Insurance	Number of Policies	Amount of Insurance		
24. Additions by dividends		X		XXX			
25. Other paid-up insurance							
26. Debit ordinary insurance			(

ADDITIONAL INFORMATION ON ORDINARY INSURANCE

		Issued During Year (Included in Line 2)		In Force End of Year (Included in Line 21)	
		1	2	3	4
	Term Insurance Excluding Extended Term Insurance	Number of Policies	Amount of Insurance	Number of Policies	Amount of Insurance
27.	Term policies - decreasing				
28.	Term policies - other	96	324,723	347	532,723
29.	Other term insurance - decreasing			XXX	
30.	Other term insurance	XXX		XXX	
31.	Totals (Lines 27 to 30)	96	324,723	347	532,723
	Reconciliation to Lines 2 and 21:				
32.	Term additions	XXX		XXX	
33.	Totals, extended term insurance		XXX		
34.	Totals, whole life and endowment			398	30,526
35.	Totals (Lines 31 to 34)	96	324,723	745	563,249

CLASSIFICATION OF AMOUNT OF INSURANCE BY PARTICIPATING STATUS

		Issued During Year (Included in Line 2)		In Force End of Year (Included in Line 21)	
		1 Non-Participating	2 Participating	3 Non-Participating	4 Participating
36	Industrial	Non-Farticipating	Farticipating	Non-Farticipating	Farticipating
37.	Ordinary	324,723			
38.	Credit Life (Group and Individual)				
39.	Group	455,777		5,656,028	
40.	Totals (Lines 36 to 39)	780,500		6,219,277	

ADDITIONAL INFORMATION ON CREDIT LIFE AND GROUP INSURANCE

ADDITIONAL INTO CHAIN CHOIL DIT DI L'AUD CHOOL INCOLUTED								
		Credi	it Life	Group				
		1	2	3	4			
		Number of Individual						
		Policies and Group						
		Certificates	Amount of Insurance	Number of Certificates	Amount of Insurance			
41.	Amount of insurance included in Line 2 ceded to other companies	XXX		XXX	4, 102			
42.	Number in force end of year if the number under shared groups is counted							
	on a pro-rata basis	,	XXX	88,311	XXX			
43.	Federal Employees' Group Life Insurance included in Line 21							
44.	Servicemen's Group Life Insurance included in Line 21							
45.	Group Permanent Insurance included in Line 21							

ADDITIONAL ACCIDENTAL DEATH BENEFITS

46. Amount of additional accidental death benefits in force end of year under ordinary policies	250

BASIS OF CALCULATION OF ORDINARY TERM INSURANCE

47. State basis of calculation of (47.1) decreasing term insurance contained in Family Income, Mortgage Protection, etc., policies and riders and of (47.2) term insurance on
wife and children under Family, Parent and Children, etc., policies and riders included above.
47.1 N/A
47.2 Child riders: \$1000 per unit

POLICIES WITH DISABILITY PROVISIONS

POLICIES WITH DISABILITY PROVISIONS									
			Industrial		Ordinary		Credit	Group	
		1	2	3	4	5	6	7	8
								Number of	
		Number of		Number of		Number of		Certifi-	Amount of Ins
	Disability Provisions	Policies	Amount of Insurance	Policies	Amount of Insurance	Policies	Amount of Insurance	cates	rance
48.	Waiver of Premium			7	6,600			21,827	1,987,302
49.	Disability Income								
50.	Extended Benefits			XXX					
51.	Other								
52.	Total		(a)	7	(a) 6 600		(a)	21 827	(a) 1 987 302

⁽a) See the Annual Audited Financial Reports section of the annual statement instructions

EXHIBIT OF NUMBER OF POLICIES, CONTRACTS, CERTIFICATES, INCOME PAYABLE AND ACCOUNT VALUES IN FORCE FOR SUPPLEMENTARY CONTRACTS, ANNUITIES, ACCIDENT & HEALTH AND OTHER POLICIES SUPPLEMENTARY CONTRACTS

	SUFFLEMENTARI CONTRACTS								
		Ordi	nary	Gre	oup				
		1	2	3	4				
		Involving Life	Not Involving Life	Involving Life	Not Involving Life				
		Contingencies	Contingencies	Contingencies	Contingencies				
1.	In force end of prior year		2						
2.	Issued during year		9						
3.	Reinsurance assumed								
4.	Increased during year (net)								
5.	Total (Lines 1 to 4)		11						
	Deductions during year:								
6.	Decreased (net)		2						
7.	Reinsurance ceded	1							
8.	Totals (Lines 6 and 7)		2						
9.	In force end of year (line 5 minus line 8)		9						
10.	Amount on deposit		(a)538,756		(a)				
11.	Income now payable								
12.	Amount of income payable	(a)	(a)	(a)	(a)				

ANNUITIES

ANNOTTES									
		Ord	inary	Gr	Group				
		1	2	3	4				
		Immediate	Deferred	Contracts	Certificates				
1.	In force end of prior year	582	18,545	15	48				
2.	Issued during year	40	2,560						
3.	Reinsurance assumed								
4.	Increased during year (net)								
5.	Totals (Lines 1 to 4)	622	21,105	15	48				
	Deductions during year:								
6.	Decreased (net)	37	1,136						
7.	Reinsurance ceded								
8.	Totals (Lines 6 and 7)		1,136						
9.	In force end of year (line 5 minus line 8)	585	19,969	15	48				
	Income now payable:								
10.	Amount of income payable	(a) 9,950,567	XXX	XXX	(a)				
	Deferred fully paid:								
11.	Account balance	XXX	(a) 2,773,918,152	XXX	(a) 2,002,534				
	Deferred not fully paid:								
12.	Account balance	XXX	(a) 6,166,929	XXX	(a) 604,073				

ACCIDENT AND HEALTH INSURANCE

		Gro	oup		Credit	Other	
		1	2	3	4	5	6
		Certificates	Premiums in Force	Policies	Premiums in Force	Policies	Premiums in Force
1.	In force end of prior year	143,310	60,899,173				
2.	Issued during year	21,629	14,732,718				
3.	Reinsurance assumed						
4.	Increased during year (net)		XXX		XXX		XXX
5.	Totals (Lines 1 to 4)	164,939	XXX		XXX		XXX
	Deductions during year:						
6.	Conversions		XXX	XXX	XXX	XXX	XXX
7.	Decreased (net)	42,688	XXX		XXX		XXX
8.	Reinsurance ceded		XXX		xxx		XXX
9.	Totals (Lines 6 to 8)	42,688	XXX		XXX		XXX
10.	In force end of year (line 5	100.051	£0,000,400		()		
	minus line 9)	122,251	(a) 56,380,482		(a)		(a)

DEPOSIT FUNDS AND DIVIDEND ACCUMULATIONS

		1	2
			Dividend
		Deposit Funds	Accumulations
		Contracts	Contracts
1.	In force end of prior year		
2.	Issued during year		
3.	Reinsurance assumed		
4.	Increased during year (net)		
5.	Totals (Lines 1 to 4)		
	Deductions During Year:		
6.	Decreased (net)		
7.	Reinsurance ceded	-	
8.	Totals (Lines 6 and 7)		
9.	In force end of year (line 5 minus line 8)		
10.	Amount of account balance	(a)	(a)

⁽a) See the Annual Audited Financial Reports section of the annual statement instructions.

FORM FOR CALCULATING THE INTEREST MAINTENANCE RESERVE

INTEREST MAINTENANCE RESERVE

		1 Amount
1.	Reserve as of December 31, Prior Year	(1,533,672)
2.	Current year's realized pre-tax capital gains/(losses) of \$(375,162) transferred into the reserve net of taxes of \$(78,785)	(296,377)
3.	Adjustment for current year's liability gains/(losses) released from the reserve	
4.	Balance before reduction for amount transferred to Summary of Operations (Line 1 + Line 2 + Line 3)	(1,830,049)
5.	Current year's amortization released to Summary of Operations (Amortization, Line 1, Column 4)	(354,636)
6.	Reserve as of December 31, current year (Line 4 minus Line 5)	(1,475,413)

AMORTIZATION

	7 1111	1	_	· •	4
	Year of Amortization	Reserve as of December 31, Prior Year	2 Current Year's Realized Capital Gains/(Losses) Transferred into the Reserve Net of Taxes	3 Adjustment for Current Year's Liability Gains/(Losses) Released From the Reserve	
1.	2020	(237,424)	(117,212)		(354,636)
2.	2021	(194,265)	(135,834)		(330,099)
3.	2022	(190,712)	(18,810)		(209,522)
4.	2023	(189,647)	(13,696)		(203,343)
5.	2024	(208,214)	(8,281)		(216,495)
6.	2025	(191,514)	(2,716)		(194,230)
7.	2026	(136,361)	60		(136,301)
8.	2027	(92,210)	48		(92,162)
9.	2028	(56,583)	35		(56,548)
10.	2029	(20,572)	21		(20,550)
11.	2030	(4,401)	7		(4,394)
12.	2031	(4,369)			(4,369)
13.	2032	(3,400)			(3,400)
14.	2033	(2,391)			(2,391)
15.	2034	(1,347)			(1,347)
16.	2035	(400)			(400)
17.	2036	103			103
18.	2037	35			35
19.	2038				
20.	2039				
21.	2040				
22.	2041				
23.	2042				
24.	2043				
25.	2044				
26.	2045				
27.	2046				
28.	2047				
29.	2048				
30.	2049				
31.	2050 and Later				
32.	Total (Lines 1 to 31)	(1,533,672)	(296,377)		(1,830,050)
٥٧.	rotal (Lines 1 to 01)	(1,000,012)	(200,011)	l	(1,000,000)

ASSET VALUATION RESERVE

			Default Component			Equity Component		
		1	2	3	4	5	6	7
		Other Than Mortgage Loans	Mortgage Loans	Total (Cols. 1 + 2)	Common Stock	Real Estate and Other Invested Assets	Total (Cols. 4 + 5)	Total Amount (Cols. 3 + 6)
1. Re	serve as of December 31, prior year	13, 120, 184	2,024,193	15,144,377				15 , 144 , 377
2. Re	ealized capital gains/(losses) net of taxes - General Account							
3. Re	ealized capital gains/(losses) net of taxes - Separate Accounts	,						
4. Un	nrealized capital gains/(losses) net of deferred taxes - General Account							
5. Un	nrealized capital gains/(losses) net of deferred taxes - Separate Accounts							
6. Ca	pital gains credited/(losses charged) to contract benefits, payments or reserves							
7. Ba	sic contribution	3,891,734	628,408	4,520,143				4,520,143
8. Ac	cumulated balances (Lines 1 through 5 - 6 + 7)	17,011,918	2,652,601	19,664,519				19,664,520
9. Ma	aximum reserve	19,589,638	3,878,379	23,468,017				23,468,017
10. Re	eserve objective	11,615,201	2,985,161	14,600,361				14,600,361
11. 20	% of (Line 10 - Line 8)	(1,079,344)	66,512	(1,012,832)				(1,012,832)
12. Ba	lance before transfers (Lines 8 + 11)	15,932,575	2,719,113	18,651,688				18,651,688
13. Tra	ansfers							
14. Vo	luntary contribution							
15. Ad	ljustment down to maximum/up to zero							
16. Re	eserve as of December 31, current year (Lines 12 + 13 + 14 + 15)	15,932,575	2,719,113	18,651,688				18,651,688

ASSET VALUATION RESERVE BASIC CONTRIBUTION, RESERVE OBJECTIVE AND MAXIMUM RESERVE CALCULATIONS DEFAULT COMPONENT

		1	1	2	3			Contribution	Pasan	ve Objective	Maximu	m Reserve
			'	2	3	Balance for	5	6	7	8	9	10
Line	NAIC			Reclassify		AVR Reserve	-	-		-	-	
Num-	Desig-		Book/Adjusted	Related Party	Add Third Party	Calculations		Amount		Amount		Amount
ber	nation	Description	Carrying Value	Encumbrances	Encumbrances	(Cols. 1 + 2 + 3)	Factor	(Cols.4 x 5)	Factor	(Cols. 4 x 7)	Factor	(Cols. 4 x 9)
		LONG-TERM BONDS										
1.		Exempt Obligations	2,911,541	XXX	XXX	2,911,541	0.000		0.0000		0.0000	
2.1	1	NAIC Designation Category 1.A	343,664,064	XXX	XXX	343,664,064	0.005	171,832	0.0016	549,863	0.0033	1,134,091
2.2	1	NAIC Designation Category 1.B	31,996,752	XXX	XXX	31,996,752	0.005	15,998	0.0016	51,195	0.0033	105,589
2.3	1	NAIC Designation Category 1.C	80,913,016	XXX	XXX	80,913,016	0.005	40,457	0.0016	129,461	0.0033	267,013
2.4	1	NAIC Designation Category 1.D	111,368,253	XXX	XXX	111,368,253	0.005	55,684	0.0016	178 , 189	0.0033	367,515
2.5	1	NAIC Designation Category 1.E	124,088,608	XXX	XXX	124,088,608	0.005	62,044	0.0016	198,542	0.0033	409,492
2.6	1	NAIC Designation Category 1.F	276,662,462	XXX	XXX	276,662,462	0.0005	138,331	0.0016	442,660	0.0033	912,986
2.7	1	NAIC Designation Category 1.G	263,818,461	XXX	XXX	263,818,461	0.005	131,909	0.0016	422,110	0.0033	870,601
2.8		Subtotal NAIC 1 (2.1+2.2+2.3+2.4+2.5+2.6+2.7)	1,232,511,616	XXX	XXX	1,232,511,616	XXX	616,256	XXX	1,972,019	XXX	4,067,288
3.1	2	NAIC Designation Category 2.A	435,731,803	XXX	XXX	435,731,803	0.0021	915,037	0.0064	2,788,684	0.0106	4,618,757
3.2	2	NAIC Designation Category 2.B	526,312,209	XXX	XXX	526,312,209	0.0021	1,105,256	0.0064	3,368,398	0.0106	5,578,909
3.3	2	NAIC Designation Category 2.C	235,248,892	XXX	XXX	235,248,892	0.0021	494,023	0.0064	1,505,593	0.0106	2,493,638
3.4		Subtotal NAIC 2 (3.1+3.2+3.3)	1,197,292,904	XXX	XXX	1,197,292,904	XXX	2,514,315	XXX	7,662,675	XXX	12,691,305
4.1	3	NAIC Designation Category 3.A	35,200,316	XXX	XXX	35,200,316	0.0099	348,483	0.0263	925,768	0.0376	1,323,532
4.2	3	NAIC Designation Category 3.B	26,639,472	XXX	XXX	26,639,472	0.0099	263,731	0.0263	700,618	0.0376	1,001,644
4.3	3	NAIC Designation Category 3.C	1,998,879	XXX	XXX	1,998,879	0.0099	19,789	0.0263	52,571	0.0376	75 , 158
4.4		Subtotal NAIC 3 (4.1+4.2+4.3)	63,838,667	XXX	XXX	63,838,667	XXX	632,003	XXX	1,678,957	XXX	2,400,334
5.1	4	NAIC Designation Category 4.A	3,299,974	XXX	XXX	3,299,974	0.0245	80,849	0.0572	188,759	0.0817	269,608
5.2	4	NAIC Designation Category 4.B		XXX	XXX		0.0245		0.0572		0.0817	
5.3	4	NAIC Designation Category 4.C	1,971,886	XXX	XXX	1,971,886	0.0245	48,311	0.0572	112,792	0.0817	161, 103
5.4		Subtotal NAIC 4 (5.1+5.2+5.3)	5,271,860	XXX	XXX	5,271,860	XXX	129, 161	XXX	301,550	XXX	430,711
6.1	5	NAIC Designation Category 5.A		XXX	XXX		0.0630		0.1128		0.1880	
6.2	5	NAIC Designation Category 5.B		XXX	XXX		0.0630		0.1128		0.1880	
6.3	5	NAIC Designation Category 5.C		XXX	XXX		0.0630		0.1128		0.1880	
6.4		Subtotal NAIC 5 (6.1+6.2+6.3)		XXX	XXX		XXX		XXX		XXX	
7.	6	NAIC 6		XXX	XXX		0.000		0.2370		0.2370	
8.		Total Unrated Multi-class Securities Acquired by Conversion		XXX	XXX		XXX		XXX		XXX	
9.		Total Long-Term Bonds (1+2.8+3.4+4.4+5.4+6.4+7+8)	2,501,826,587	XXX	XXX	2,501,826,587	XXX	3,891,734	XXX	11,615,201	XXX	19,589,638
		PREFERRED STOCKS										
10.	1	Highest Quality		XXX	XXX		0.005		0.0016		0.0033	
11.	2	High Quality		XXX	XXX		0.0021		0.0064		0.0106	
12.	3	Medium Quality		XXX	XXX		0.0099		0.0263		0.0376	
13.	4	Low Quality		XXX	XXX		0.0245		0.0572		0.0817	
14.	5	Lower Quality		XXX	XXX		0.0630		0.1128		0.1880	
15.	6	In or Near Default		XXX	XXX		0.000		0.2370		0.2370	
16.		Affiliated Life with AVR		XXX	XXX		0.0000		0.0000		0.0000	
17.		Total Preferred Stocks (Sum of Lines 10 through 16)		XXX	XXX		XXX		XXX		XXX	

ASSET VALUATION RESERVE (Continued) BASIC CONTRIBUTION, RESERVE OBJECTIVE AND MAXIMUM RESERVE CALCULATIONS **DEFAULT COMPONENT**

			1	2	3	4	Basic C	ontribution	Reserve	Objective	Maximum Reserve		
Line Num- ber	NAIC Desig- nation	Description	Book/Adjusted Carrying Value	Reclassify Related Party Encumbrances	Add Third Party Encumbrances	Balance for AVR Reserve Calculations (Cols. 1 + 2 + 3)	5 Factor	6 Amount (Cols.4 x 5)	7 Factor	8 Amount (Cols. 4 x 7)	9 Factor	10 Amount (Cols. 4 x 9)	
		SHORT-TERM BONDS	, <u>, , , , , , , , , , , , , , , , , , </u>			(00.0 0)	1 0000	(00.0.1 × 0)	. doto.	(00.0. 1 // 1)	1 0000	(00.0. 1 / 0)	
18.		Exempt Obligations		XXX	XXX		0.0000		0.0000		0.0000		
19.1	1	NAIC Designation Category 1.A		XXX	XXX		0.0005		0.0016		0.0033		
19.2		NAIC Designation Category 1.B		XXX	XXX		0.0005		0.0016		0.0033		
19.3		NAIC Designation Category 1.C		XXX	XXX		0.0005		0.0016		0.0033		
19.4		NAIC Designation Category 1.D		XXX	XXX		0.0005		0.0016		0.0033		
19.5		NAIC Designation Category 1.E		XXX	XXX		0.0005		0.0016		0.0033		
19.6	1	NAIC Designation Category 1.F		XXX	XXX		0.0005		0.0016		0.0033		
19.7	1	NAIC Designation Category 1.G		XXX	XXX		0.0005		0.0016		0.0033		
19.8		Subtotal NAIC 1 (19.1+19.2+19.3+19.4+19.5+19.6+19.7)		XXX	XXX		XXX		XXX		XXX		
20.1	2	NAIC Designation Category 2.A		XXX	XXX		0.0021		0.0064		0.0106		
20.2	2	NAIC Designation Category 2.B		XXX	XXX		0.0021		0.0064		0.0106		
20.3	2	NAIC Designation Category 2.C		XXX	XXX		0.0021		0.0064		0.0106		
20.4		Subtotal NAIC 2 (20.1+20.2+20.3)		XXX	XXX		XXX		XXX		XXX		
21.1	3	NAIC Designation Category 3.A		XXX	XXX		0.0099		0.0263		0.0376		
21.2		NAIC Designation Category 3.B		XXX	XXX		0.0099		0.0263		0.0376		
21.3		NAIC Designation Category 3.C		XXX	XXX		0.0099		0.0263		0.0376		
21.4		Subtotal NAIC 3 (21.1+21.2+21.3)		XXX	XXX		XXX		XXX		XXX		
22.1		NAIC Designation Category 4.A		XXX	XXX		0.0245		0.0572		0.0817		
22.2		NAIC Designation Category 4.B		XXX	XXX		0.0245		0.0572		0.0817		
22.3		NAIC Designation Category 4.C		XXX	XXX		0.0245		0.0572		0.0817		
22.4		Subtotal NAIC 4 (22.1+22.2+22.3)		XXX	XXX		XXX		XXX		XXX		
23.1		NAIC Designation Category 5.A		XXX	XXX		0.0630		0.1128		0.1880		
23.2		NAIC Designation Category 5.B		XXX	XXX		0.0630		0.1128		0.1880		
23.3		NAIC Designation Category 5.C		XXX	XXX		0.0630		0.1128		0.1880		
23.4		Subtotal NAIC 5 (23.1+23.2+23.3)		XXX	XXX		XXX		XXX		XXX		
24.	6	NAIC 6		XXX	XXX		0.0000		0.2370		0.2370		
25.		Total Short-Term Bonds (18+19.8+20.4+21.4+22.4+23.4+24)		XXX	XXX		XXX		XXX		XXX		
-0.		DERIVATIVE INSTRUMENTS		7001	7001	_	7001		7001		7001		
26.		Exchange Traded		XXX	XXX		0.0005		0.0016		0.0033		
27.	1	Highest Quality		XXX	XXX		0.0005		0.0016		0.0033		
28.	2	High Quality		XXX	XXX		0.0021		0.0064		0.0106		
9.	3	Medium Quality		XXX	XXX		0.0099		0.0263		0.0376		
30.	4	Low Quality		XXX	XXX		0.0245		0.0572		0.0817		
31.	5	Lower Quality		XXX	XXX		0.0630		0.1128		0.1880		
32.	6	In or Near Default		XXX	XXX		0.0000		0.2370		0.2370		
33.	-	Total Derivative Instruments		XXX	XXX		XXX		XXX		XXX		
34.		Total (Lines 9 + 17 + 25 + 33)	2.501.826.587	XXX	XXX	2.501.826.587	XXX	3.891.734	XXX	11.615.201	XXX	19.589	

ASSET VALUATION RESERVE (Continued) BASIC CONTRIBUTION, RESERVE OBJECTIVE AND MAXIMUM RESERVE CALCULATIONS DEFAULT COMPONENT

	1		1	1 2		OWII ONLIN		ontribution	Docor.	Objective	Maximuu	m Reserve
			ı	2	3	Balance for	5	6	7	s Objective 8	9	10
Line	NAIC			Reclassify		AVR Reserve	J	O .	,	O	•	10
Num-	Desig-		Book/Adjusted	Related Party	Add Third Party	Calculations		Amount		Amount		Amount
ber	nation	Description	Carrying Value	Encumbrances	Encumbrances	(Cols. 1 + 2 + 3)	Factor	(Cols.4 x 5)	Factor	(Cols. 4 x 7)	Factor	(Cols. 4 x 9)
		MORTGAGE LOANS										
		In Good Standing:										
35.		Farm Mortgages - CM1 - Highest Quality			XXX		0.0011		0.0057		0.0074	
36.		Farm Mortgages - CM2 - High Quality			XXX		0.0040		0.0114		0.0149	
37.		Farm Mortgages - CM3 - Medium Quality			XXX		0.0069		0.0200		0.0257	
38.		Farm Mortgages - CM4 - Low Medium Quality			XXX		0.0120		0.0343		0.0428	
39.		Farm Mortgages - CM5 - Low Quality			XXX		0.0183		0.0486		0.0628	
40.		Residential Mortgages - Insured or Guaranteed			XXX		0.0003		0.0007		0.0011	
41.		Residential Mortgages - All Other			XXX		0.0015		0.0034		0.0046	
42.		Commercial Mortgages - Insured or Guaranteed			XXX		0.0003		0.0007		0.0011	
43.		Commercial Mortgages - All Other - CM1 - Highest Quality	465,573,842		XXX	465,573,842	0.0011	512,131	0.0057	2,653,771	0.0074	3,445,246
44.		Commercial Mortgages - All Other - CM2 - High Quality	29,069,286		XXX	29,069,286	0.0040	116,277	0.0114	331,390	0.0149	433 , 132
45.		Commercial Mortgages - All Other - CM3 - Medium Quality			XXX		0.0069		0.0200		0.0257	
46.		Commercial Mortgages - All Other - CM4 - Low Medium										
		Quality			XXX		0.0120		0.0343		0.0428	
47.		Commercial Mortgages - All Other - CM5 - Low Quality			XXX		0.0183		0.0486		0.0628	
		Overdue, Not in Process:										
48.		Farm Mortgages			XXX		0.0480		0.0868		0.1371	
49.		Residential Mortgages - Insured or Guaranteed			XXX		0.0006		0.0014		0.0023	
50.		Residential Mortgages - All Other			XXX		0.0029		0.0066		0.0103	
51.		Commercial Mortgages - Insured or Guaranteed			XXX		0.0006		0.0014		0.0023	
52.		Commercial Mortgages - All Other			XXX		0.0480		0.0868		0.1371	
		In Process of Foreclosure:										
53.		Farm Mortgages			XXX		0.0000		0.1942		0.1942	
54.		Residential Mortgages - Insured or Guaranteed			XXX		0.0000		0.0046		0.0046	
55.		Residential Mortgages - All Other			XXX		0.0000		0.0149		0.0149	
56.		Commercial Mortgages - Insured or Guaranteed			XXX		0.000		0.0046		0.0046	
57.		Commercial Mortgages - All Other			XXX		0.0000		0.1942		0.1942	
58.		Total Schedule B Mortgages (Sum of Lines 35 through 57)	494,643,128		XXX	494,643,128	XXX	628,408	XXX	2,985,161	XXX	3,878,379
59.		Schedule DA Mortgages			XXX		0.0034		0.0114		0.0149	
60.		Total Mortgage Loans on Real Estate (Lines 58 + 59)	494,643,128		XXX	494,643,128	XXX	628,408	XXX	2,985,161	XXX	3,878,379

Asset Valuation Reserve - Equity Component ${f N} \ {f O} \ {f N} \ {f E}$

Asset Valuation Reserve - Replications (Synthetic) Assets ${f N} \ {f O} \ {f N} \ {f E}$

Schedule F - Claims

NONE

SCHEDULE H - ACCIDENT AND HEALTH EXHIBIT

	Т			_/_	Other Individual Contracts													
		Onesia Annidant				Credit	Other Individual Contracts Non-Renewable for Stated											
		Tota	Group Accident Total and Health		Accident and Health (Group and Individual)) Collectively Renewable		Non-Cancelable		Guaranteed Re		Non-Renewable Reasons		Other Accide	nt Only	All Othe	or	
	•	1	2	3	4	5 6	7	8	9	10	11	12	13	14	15	16	17	18
		Amount	%	Amount	%	Amount %	Amount	%	Amount	%	Amount	%	Amount	%	Amount	%	Amount	%
		7.11104111	,,,	7	,,,		NALYSIS OF		RITING OPE		7.11104111	,,,	7	70	7	,,,	7	,,,
1.	Premiums written	56,066,624	XXX	56,066,624	XXX	XXX		XXX		XXX	-	XXX		XXX		XXX		XXX
2.	Premiums earned	55,836,460	xxx	55,836,460	XXX	xxx	-	xxx		XXX		XXX		XXX		XXX		xxx
3.	Incurred claims	48,964,695	87.7	48,964,695	87.7													
4.	Cost containment expenses																	
5.	Incurred claims and cost containment expenses (Lines 3 and 4)	48,964,695	87.7	48,964,695	87.7													
6.	Increase in contract reserves	86, 149	0.2	86 , 149	0.2													
7.	Commissions (a)	4,032,603	7.2	4,032,603	7.2													
8.	Other general insurance expenses	3,514,735	6.3	3,514,735	6.3													
9.	Taxes, licenses and fees	1,363,977	2.4	1,363,977	2.4													
10.	Total other expenses incurred	8,911,315	16.0	8,911,315	16.0													
11.	Aggregate write-ins for deductions .																	
12.	Gain from underwriting before dividends or refunds	(2,125,699)	(3.8	(2,125,699)	(3.8)													
13.	Dividends or refunds																	
14.	Gain from underwriting after dividends or refunds	(2,125,699)	(3.8	(2,125,699)	(3.8)													
	DETAILS OF WRITE-INS																	
1101.																		
1102.																		
1103.														ļ				
1198.	Summary of remaining write-ins for Line 11 from overflow page																	
1199.	Totals (Lines 1101 thru 1103 plus 1198)(Line 11 above)																	

(a) Includes \$ reported as "Contract, membership and other fees retained by agents."

SCHEDULE H - ACCIDENT AND HEALTH EXHIBIT (Continued)

	1	2	3	1	, , , , ,		Other Individual Contract	te	
	'	2	Credit	4	E	6		io 	0
			Accident and Health		5	Ö	Non-Renewable	8	9
		Group Accident	(Group and	Collectively		Guaranteed	for Stated	Other Accident	
	Total	and Health	Individual)	Renewable	Non-Cancelable	Renewable	Reasons Only	Only	All Other
	1000		- RESERVES AND I		Non-Cancelable	rtenewable	reasons Only	Offity	All Other
A. Premium Reserves:		. ,	TREGERRAL TO THE PARTY OF THE P						
Unearned premiums	44,311	44.311							
2. Advance premiums	,	, .							
Reserve for rate credits		260,683			-				
Total premium reserves, current year		304,994							
Total premium reserves, prior year	529,219	529,219							
Increase in total premium reserves	(224,225)	(224,225)							
B. Contract Reserves:									
Additional reserves (a)	224,459	224,459							
Reserve for future contingent benefits									
Total contract reserves, current year	224,459								
Total contract reserves, prior year.	138,310	138,310							
Increase in contract reserves	86,149	86,149			-				
C. Claim Reserves and Liabilities:									
Total current year	16,314,691	16,314,691			_ [
2. Total prior year	18,011,978	18,011,978							
3. Increase	(1,697,287)								

PART 3 TEST OF PRIOR YEAR'S CLAIM RESERVES AND LIABILITIES													
Claims paid during the year:													
1.1 On claims incurred prior to current year	14,580,686	14,580,686											
1.2 On claims incurred during current year	36,081,296	36,081,296											
Claim reserves and liabilities, December 31, current year:													
2.1 On claims incurred prior to current year	2,663,149	2,663,149											
2.2 On claims incurred during current year	13,651,542	13,651,542											
3. Test:													
3.1 Lines 1.1 and 2.1	17,243,835	17,243,835											
3.2 Claim reserves and liabilities, December 31, prior year	18,011,978	18,011,978											
3.3 Line 3.1 minus Line 3.2	(768, 143)	(768, 143)											

PART 4 REINSURANCE													
A. Reinsurance Assumed:													
Premiums written													
Premiums earned													
Incurred claims													
4. Commissions													
B. Reinsurance Ceded:													
Premiums written		789,544											
2. Premiums earned	789,544	789,544											
Incurred claims	70,273	70,273											
4. Commissions													

(a) Includes \$	 premium deficiend	v reserve

SCHEDULE H - PART 5 - HEALTH CLAIMS

		1 Medical	2 Dental	3 Other	4 Total
A. Dire	pt:				
1.	Incurred Claims	49,034,968			49,034,968
2.	Beginning Claim Reserves and Liabilities	18,309,799			18,309,799
3.	Ending Claim Reserves and Liabilities	16,536,105			16,536,105
4.	Claims Paid	50,808,662			50,808,662
B. Assı	imed Reinsurance:				
5.	Incurred Claims				
6.	Beginning Claim Reserves and Liabilities				
7.	Ending Claim Reserves and Liabilities				
8.	Claims Paid				
C. Ced	ed Reinsurance:				
9.	Incurred Claims	70,271			70,271
10.	Beginning Claim Reserves and Liabilities	297,821			297,821
11.	Ending Claim Reserves and Liabilities	256,473			256,473
12.	Claims Paid	111,619			111,619
D. Net:					
13.	Incurred Claims	48,964,697			48,964,697
14.	Beginning Claim Reserves and Liabilities	18,011,978			18,011,978
15.	Ending Claim Reserves and Liabilities	16,279,632			16,279,632
16.	Claims Paid	50,697,043			50,697,043
E. Net	ncurred Claims and Cost Containment Expenses:				
17.	Incurred Claims and Cost Containment Expenses	48,964,695			48,964,695
18.	Beginning Reserves and Liabilities	18,011,978			18,011,978
19.	Ending Reserves and Liabilities	16,279,632			16,279,632
20.	Paid Claims and Cost Containment Expenses	50,697,041			50,697,041

Schedule S - Part 1 - Section 1 **N O N E**

Schedule S - Part 1 - Section 2 **N O N E**

SCHEDULE S - PART 2

NAIC	2	3	4	5	6	7
Company Code	ID Number	Effective Date	Name of Company	Domiciliary Jurisdiction	Paid Losses	Unpaid Losses
	otal Life and A			Carioaloticii	1 did Eddddd	Oripaid Ecococo
	otal Life and A					
	otal Life and A					
93572	43-1235868		RGA Reinsurance Company	MO		120
93572			RGA Reinsurance Company			34
			RGA Reinsurance Company	MO	137.722	204
.93572	43-1235868	01/01/2018	RGA Reinsurance Company	MO	10,017	
899999. L	ife and Annuity	/ - U.S. Non-A	ffiliates		147,739	359
099999. T	otal Life and A	nnuity - Non-A	Affiliates		147,739	359
	otal Life and A				147,739	359
	otal Accident a		.S. Affiliates			
			on-U.S. Affiliates			
	otal Accident					
			Hannover Life Reassurance Company of America	FL		180
			Hannover Life Reassurance Company of America			4
.93572	43-1235868	01/01/2014	RGA Reinsurance Company	MO		
.93572	43-1235868	10/01/2017	RGA Reinsurance Company	MO	30,053	4
.93572	43-1235868	10/01/2017	RGA Reinsurance Company	MO		
.93572	43-1235868	01/01/2018	RGA Reinsurance Company	MO	5,006	11
999999. A	ccident and H	ealth - U.S. No	on-Affiliates		35,059	200
199999. T	otal Accident a	and Health - N	on-Affiliates		35,059	200
299999. T	otal Accident a	and Health			35,059	200
399999. T	otal U.S. (Sum	of 0399999,	0899999, 1499999 and 1999999)		182,798	560
499999. T	otal Non-U.S.	(Sum of 0699	999, 0999999, 1799999 and 2099999)			
			<u> </u>			
	·					

SCHEDULE S - PART 3 - SECTION 1

Deposit Funds and Other Liabilities Without Life or Disability Contingencies, and Related Renefits Listed by Reinsuring Company as of December 31. Current Year

		Reinsu	rance Ceded Life Insurance, Annuities, Deposit Funds ar	nd Other Lia	bilities Without	Life or Disabi	ity Contingencies,	and Related Ben	efits Listed by R	einsuring Compa	any as of Decem	ber 31, Current	Year	
1	2	3	4	5	6	7	8	Reserve Cre	edit Taken	11	Outstanding 9	Surplus Relief	14	15
				Domi-				9	10		12	13		
NAIC				ciliary	Type of	Type of			-			-	Modified	Funds Withheld
Company	ID	Effective		Juris-	Reinsurance	Business	Amount in Force						Coinsurance	Under
Code	Number	Date	Name of Company	diction	Ceded	Ceded	at End of Year	Current Year	Prior Year	Premiums	Current Year	Prior Year	Reserve	Coinsurance
			uthorized U.S. Affiliates	GIOGIOTI	Codea	Codod	at End of Todi	odiront rour	1 1101 1 001	Tromidino	ouriont rour	1 1101 1 001	11000110	Comodianos
			uthorized 0.3. Anniales uthorized Non-U.S. Affiliates											
			uthorized Noti-0.3. Anniates uthorized Affiliates											
				LOT	VDT /I	1/4				10				
62308	06-0303370 .		Connecticut Gen Life Ins Co		YRT/I	VA	400 447 000			13				
66346	58-0828824 .		Munich American Reassurance Co	GA	YRT/I	XXXL0		59,746		32,648				
93572	43-1235868 .		RGA Reinsurance Company	MO	YRT/I	XXXL0	70,874,142	39,791		26,515				
93572	43-1235868 .		RGA Reinsurance Company	MO	00/1	XXXL	7, 161, 200	233,597	241,349	15,922				
93572	43-1235868 .		RGA Reinsurance Company	MO	00/1	XXXL	27,683,817	605,986	493,201	111,639				
93572	43-1235868 .		RGA Reinsurance Company	MO	00/1	ADB				83				
93572	43-1235868 .		RGA Reinsurance Company	MO	00/1	DIS	40 700 405		0.000	8				
93572	43-1235868 .		RGA Reinsurance Company	MO	YRT/G	OL		6,557	8,000	556,868				
93572	43-1235868 .		RGA Reinsurance Company	MO	CO/G	OL				1,943,373		• • • • • • • • • • • • • • • • • • • •		
97071	13-3126819 .		SCOR Global Life USA Reins Co	DE	00/1	XXXL	246,500	10, 191	9,576	764				
97071	13-3126819 .		SCOR Global Life USA Reins Co	DE	00/1	ADB	-			11				
97071	13-3126819 .		SCOR Global Life USA Reins Co	DE	00/1	DIS	077.044	4.055	4 070	16				
87572	23-2038295 .		Scottish Re (U.S.), Inc.	DE	00/1	XXXL	277,844	4,355	4,373	299				
82627	06-0839705 .	01/01/2006	Swiss Re Life & Health America, Inc.	MO	00/1	XXXL	425,000	19,644	19, 123	1,529				
82627	06-0839705 .	07/01/199/	Swiss Re Life and Health America, Inc	MO	YRT/I	VA				20				
80659			US Business of Canada Life Assur Co	MI	YRT/I	XXXL0	35,543,489	19,955		12, 126				
			zed U.S. Non-Affiliates				1,153,379,260	999,822	775,622	2,701,834				
			uthorized Non-Affiliates				1,153,379,260	999,822	775,622	2,701,834				
		I Account Aut					1,153,379,260	999,822	775,622	2,701,834				
1499999.	Total Genera	I Account - U	nauthorized U.S. Affiliates											
1799999.	Total Genera	I Account - U	nauthorized Non-U.S. Affiliates											
1899999.	Total Genera	I Account - U	nauthorized Affiliates											
2199999.	Total Genera	I Account - U	nauthorized Non-Affiliates											
		I Account Un												
			ertified U.S. Affiliates											
			ertified Non-U.S. Affiliates											
			ertified Affiliates											
66133			Wilton Reassurance Company	MN	C0/I	XXXL_	844.904	30.240	29,790	2,366				
66133	41-1760577 .		Wilton Reassurance Company	IVIN		ADB.	044,904		29,790	2,300				
66133			Wilton Reassurance Company	ININ	CO/I	DIS.				33				
				MIN		פוע	844.904	30.240	00.700					
			d U.S. Non-Affiliates				, -	- /	29,790	2,416				
			ertified Non-Affiliates				844,904	30,240	29,790	2,416				
		I Account Ce					844,904	30,240	29,790	2,416				
			eciprocal Jurisdiction U.S. Affiliates											
			eciprocal Jurisdiction Non-U.S. Affiliates											
4099999.	Total Genera	I Account - R	eciprocal Jurisdiction Affiliates											
4399999.	Total Genera	I Account - R	eciprocal Jurisdiction Non-Affiliates	-	-									
4499999.	Total Genera	I Account Re	ciprocal Jurisdiction											
4599999.	Total Genera	I Account Aut	thorized, Unauthorized, Reciprocal Jurisdiction, and Certified				1, 154, 224, 164	1,030,062	805,412	2,704,250				
			Authorized U.S. Affiliates				, , , , , , , , , , , , , , , , , , , ,	, . ,	- 7 - 1	, ,				
			Authorized Non-U.S. Affiliates											
			Authorized Non-o.s. Anniates Authorized Affiliates											
			Authorized Non-Affiliates				1							
							+							+
		te Accounts A												
			Unauthorized U.S. Affiliates				-							
			Unauthorized Non-U.S. Affiliates											
			Unauthorized Affiliates											
			Unauthorized Non-Affiliates											
6799999.	Total Separa	te Accounts U	Jnauthorized											
7099999.	Total Separa	te Accounts -	Certified U.S. Affiliates			_								
7399999.	Total Separa	te Accounts -	Certified Non-U.S. Affiliates											
							•	L .					•	

SCHEDULE S - PART 3 - SECTION 1

Reinsurance Ceded Life Insurance, Annuities, Deposit Funds and Other Liabilities Without Life or Disability Contingencies, and Related Benefits Listed by Reinsuring Company as of December 31, Current Year

												,		
1	2	3	4	5	6	7	8	Reserve Cr	edit Taken	11	Outstanding S	Surplus Relief	14	15
				Domi-				9	10		12	13		
NAIC				ciliary	Type of	Type of							Modified	Funds Withheld
Company	ID	Effective		Juris-	Reinsurance	Business	Amount in Force						Coinsurance	Under
Code	Number	Date	Name of Company	diction	Ceded	Ceded	at End of Year	Current Year	Prior Year	Premiums	Current Year	Prior Year	Reserve	Coinsurance
7499999.	Total Separat	e Accounts -	Certified Affiliates											
7799999.	Total Separat	e Accounts -	Certified Non-Affiliates											
7899999.	Total Separat	e Accounts C	Certified											
8199999.	Total Separat	e Accounts -	Reciprocal Jurisdiction U.S. Affiliates											
8499999.	Total Separat	e Accounts -	Reciprocal Jurisdiction Non-U.S. Affiliates											
8599999.	Total Separat	e Accounts -	Reciprocal Jurisdiction Affiliates											
8899999.	Total Separat	e Accounts -	Reciprocal Jurisdiction Non-Affiliates											
8999999.	Total Separat	e Accounts F	Reciprocal Jurisdiction											
9099999.	Total Separat	e Accounts A	Authorized, Unauthorized, Reciprocal Jurisdiction and Certified											
9199999.	Total U.S. (St	ım of 039999	99, 0899999, 1499999, 1999999, 2599999, 3099999, 3699999, 4199	999, 4899	999, 5399999, 599	9999, 6499999,								
	7099999, 75	99999, 8199	9999 and 8699999)				1, 154, 224, 164	1,030,062	805,412	2,704,250				
9299999.	Total Non-U.S	6. (Sum of 06	699999, 0999999, 1799999, 2099999, 2899999, 3199999, 3999999,	4299999, 5	5199999, 5499999	, 6299999,								
	6599999, 73	99999, 7699	9999, 8499999 and 8799999)											'
9999999	- Totals						1, 154, 224, 164	1.030.062	805,412	2.704.250				1

SCHEDULE S - PART 3 - SECTION 2

1 2 3 4 5 6 7 8 9 10 Outstanding Surplus Relief 13 14 NAIC Number NAIC Company ID Effective ID Effective Tope of Juris- Reinsurance Tope of Business Tope of Business Unearned Premiums Taken Other than for Unearned than for Unearned than for Unearned Tope of Coinsurance Funds Wite Undearned				F	Reinsurance Ceded Accid	ent and Healt	h Insurance Lis	ted by Reinsuring Co	ompany as of Dece	ember 31. Current Yea	ar			
Description	1	2	3						9			Surplus Relief	13	14
Contract					Domi-					Reserve Credit				
Code Number Date Name Correary citizen Celest Persums (Edinate) Pers	NAIC				ciliary	Type of	Type of		Unearned	Taken Other			Modified	Funds Withheld
	Company	ID	Effective		Juris-	Reinsurance	Business		Premiums	than for Unearned			Coinsurance	Under
DECEMBER Trail General Account Authorised Minutes December of Francis R. \$40, \$2,0 \$3,0 \$5,0 \$6,0	Code	Number	Date	Name of Company	diction	Ceded	Ceded	Premiums	(Estimated)	Premiums	Current Year	Prior Year	Reserve	Coinsurance
Section Association Authorized Aminister System of Paint St. No. 1945 1941	0399999.	Total General	I Account - A	uthorized U.S. Affiliates										
Section Sect														
BASID S-269707	0799999.													
Sect 43-12-2889 DIVICIDIS File Pinisarian Corporal File 19														
40-72388 30-700-77 30-70														
5977 5-17/2008 DIVIZION Style Financines Groups VII.		43-1235868	01/01/2014	RGA Reinsurance Company										
BORGEN DO 1007/200 Stris Se Lit Le relianith Evering, Inc NO OTHER TO S. 707 D. 600										-				
G089999 General Account - Authorized U.S. Non-Affiliates										20.050				
1099997 Total General Account Furtherized 788.70 20.68 1199990 Total General Account Furtherized 788.70 20.68 1199990 Total General Account Furtherized 788.70 20.68 1199990 Total General Account Furtherized 788.70 20.68					MU	UIП/U	LIVI							
1199990 Total General Account - Lunushrotzed U.S. Affiliates 1987														
1499999 Total General Account - Unsubmorzed Not-1, Smillses										, .				
17999990 Total General Account - Unauthorized Nor-LIS. Affiliates								100,130		20,000				
1699999 Total Gerneral Account - Unauthorized Affiliates														
2199990. Total General Account Insulhorized Anni-Affiliates										+				
2299999 Total General Account - Certified Us. Affiliates														
2899999 Total General Account - Certified Not U.S. Affiliates														
2899999 Total General Account - Certified Nn-U.S. Affiliates														
2999999. Total General Account - Certified Affiliates														
3299999 Total General Account - Certified Non-Affiliates														
3399999 Total Ceneral Account - Reciprocal Jurisdiction Nor-U.S. Affiliates														
3899999. Total General Account - Reciprocal Jurisdiction Nor J. S. Affiliates														
3999999. Total General Account - Reciprocal Jurisdiction Non-U. S. Affiliates 4099999. Total General Account - Reciprocal Jurisdiction Non-Affiliates 4199999. Total General Account Reciprocal Jurisdiction Non-Affiliates 4199999. Total General Account Reciprocal Jurisdiction Non-Affiliates 4199999. Total General Account Reciprocal Jurisdiction and Certified 788.730 20.650 4199999. Total General Account Authorized, Unauthorized, Reciprocal Jurisdiction and Certified 788.730 20.650 4199999. Total Separate Accounts - Authorized Annual V. S. Affiliates 4199999. Total Separate Accounts - Authorized Affiliates 4199999. Total Separate Accounts - Unauthorized Vis. Affiliates 4199999. Total Separate Accounts - Unauthorized Non-Affiliates 4199999. Total Separate Accounts - Certified U.S. Affiliates 4199999. Total Separate Accounts - Certified U.S. Affiliates 4199999. Total Separate Accounts - Certified Non-Affiliates 4199999. Total Separate Accounts - Reciprocal Jurisdiction Non-U.S. Affiliates 41999999. Total Separate Accounts - Reciprocal Jurisdiction Non-U.S. A														
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						9999, 5399999.	5999999,							
		6499999, 70	99999, 7599	999, 8199999 and 8699999)		,	,	788,730		20,650				

SCHEDULE S - PART 3 - SECTION 2

Reinsurance Ceded Accident and Health Insurance Listed by Reinsuring Company as of December 31, Current Year

	the state of the s													
1	2	3	4	5	6	7	8	9	10	Outstanding S	Surplus Relief	13	14	
				Domi-					Reserve Credit	11	12			
NAIC				ciliary	Type of	Type of		Unearned	Taken Other			Modified	Funds Withheld	
Company	ID	Effective		Juris-	Reinsurance	Business		Premiums	than for Unearned			Coinsurance	Under	
Code	Number	Date	Name of Company	Ceded	Premiums	(Estimated)	Premiums	Current Year	Prior Year	Reserve	Coinsurance			
9299999.	Total Non-U.S	. (Sum of 06	399999, 0999999, 1799999, 2099999, 2899999, 3199999, 399999	9, 4299999	9, 5199999, 5499	9999, 6299999,								
	6599999, 739	9999, 76999	999, 8499999 and 8799999)											
9999999 -	Totals		·		788,730		20,650							
													•	

Schedule S - Part 4 **N O N E**

Schedule S - Part 4 - Bank Footnote **NONE**

SCHEDULE S - PART 5

Reinsurance Ceded to Certified Reinsurers as of December 31, Current Year (\$000 Omitted)

								Reilisu	iance Ceu	ed to Cert	illeu Reills	urers as or	December	31, Culle	ili real (ac	JOU OIIIIILLE	:u)								
1	2	3	4	5	6	7	8	9	10	11	12	13	14	15				Collateral				23	24	25	26
															16	17	18	19	20	21	22		Percent	1	
																							Credit	i	
																						Percent	Allowed	i	Liability for
																						of	on Net		Reins-
							Dansont				Tatal			Dollar								Collateral	Obli-	Amount of	urance
					Certified		Percent Collat-				Total Recover-		Net	Amount of Collateral								Provided for Net		Credit	with Certified
					Rein-	'	eral		Paid and		able/		Obligation	Required			Issuing or		Funds		Total	Obli-	Collateral	Allowed for Net	Reinsurers
					surer	Effective	Required		Unpaid		Reserve		Subject	for Full			Confirming		Deposited		Collateral	gation	(Col. 23 /	Obligation	Due to
NAIC				Domi-	Rating	Date of	for Full		Losses		Credit	Miscellan-	to	Credit			Bank		by and			Subject to		Subject to	Collateral
Com-				ciliary	(1	Certified	Credit	Reserve	Recover-		Taken	eous	Collateral	(Col. 14	Multiple		Reference	Trust	Withheld		(Col. 16 +	Collateral		Collateral	Deficiency
pany	ID	Effective		Juris-	through	Reinsurer	(0% -	Credit	able	Other	(Col. 9 +	Balances	(Col. 12 -	Times	Beneficiary	Letters	Number	Agree-	from		17 + 19 +			(Col. 14 x	(Col. 14 -
Code	Number	Date	Name of Reinsurer	diction	6)	Rating	100%)	Taken	(Debit)	Debits	10 + 11)	(Credit)	13)	Col. 8)	Trust	of Credit	(a)	ments	Reinsurers	Other		Col. 14)		Col. 24)	Col. 25)
03999	99. Total C	Seneral Acc	ount - Life and Annuity U.S.	Affiliates	i												XXX					XXX	XXX	1	
06999	99. Total C	Seneral Acc	ount - Life and Annuity Non-	U.S. Affil	liates												XXX					XXX	XXX	·	
			ount - Life and Annuity Affilia														XXX					XXX	XXX	·	
			Wilton Reassurance Company		3	. 07/01/2011	20.0	30			30		30	6		30	0001				30		100.0	30	
			Life and Annuity U.S. Non-					30			30		30	6		30	XXX				30		XXX	30	
10999	99. Total C	Seneral Acc	ount - Life and Annuity Non-	Affiliates				30			30		30	6		30	XXX				30	XXX	XXX	30	
			ount Life and Annuity					30			30		30	6		30	XXX				30	XXX	XXX	30	
14999	99. Total C	Seneral Acc	ount - Accident and Health t	J.S. Affilia	ates												XXX					XXX	XXX	.	
17999	99. Total C	Seneral Acc	ount - Accident and Health I	Non-U.S.	Affiliates	;											XXX					XXX	XXX		
18999	99. Total C	Seneral Acc	ount - Accident and Health A	Affiliates													XXX					XXX	XXX	1	
21999	99. Total C	Seneral Acc	ount - Accident and Health I	Non-Affilia	ates												XXX					XXX	XXX	1	
22999	99. Total C	Seneral Acc	ount Accident and Health														XXX					XXX	XXX	i	
23999	99. Total C	Seneral Acc	ount					30			30		30	6		30	XXX				30	XXX	XXX	30	
26999	99. Total S	eparate Ac	counts - U.S. Affiliates														XXX					XXX	XXX	i	
29999	999999. Total Separate Accounts - Non-U.S. Affiliates																XXX					XXX	XXX	1	
30999	99. Total S	eparate Ac	counts - Affiliates														XXX					XXX	XXX	i	
33999	99. Total S	eparate Ac	counts - Non-Affiliates														XXX					XXX	XXX		
34999	99. Total S	eparate Ac	counts														XXX					XXX	XXX	1	
35999	99. Total L	I.S. (Sum of	f 039999, 0899999, 149999	99, 19999	999, 2699	9999 and 31	199999)	30			30		30	6	İ	30	XXX				30	XXX	XXX	30	
			um of 0699999, 0999999, 17	,	,																			·	
	3299999)																XXX					XXX	XXX	i	
9999	3299999) 9999999 - Totals							30			30		30	6		30	XXX				30	XXX	XXX	30	

(a)	Issuing or Confirming Bank	Letters of				
	Reference	Credit	American Bankers Association		Letters of	
	Number	Code	(ABA) Routing Number	Issuing or Confirming Bank Name	Credit Amount	
l	0001	1	121000248	Wells Fargo Bank, N.A.	30	ĺ
						ĺ

SCHEDULE S - PART 6

Five Year Exhibit of Reinsurance Ceded Business (\$000 Omitted)

		<u> </u>	Omitted)			
		1 2020	2 2019	3 2018	4 2017	5 2016
	A. OPERATIONS ITEMS					
1.	Premiums and annuity considerations for life and accident and health contracts	3,493	3,739	3,731	1,141	261
2.	Commissions and reinsurance expense allowances	26	(8)	51	192	81
3.	Contract claims	2,345	2,389	2,855	738	143
4.	Surrender benefits and withdrawals for life contracts					
5.	Dividends to policyholders and refunds to members					
6.	Reserve adjustments on reinsurance ceded					
7.	Increase in aggregate reserve for life and accident and health contracts	227	43	38	75	201
	B. BALANCE SHEET ITEMS					
8.	Premiums and annuity considerations for life and accident and health contracts deferred and uncollected	307	428	451	512	(25)
9.	Aggregate reserves for life and accident and health contracts	1,051	823	780	742	668
10.	Liability for deposit-type contracts					
11.	Contract claims unpaid	561	504	629	399	99
12.	Amounts recoverable on reinsurance	183	465	731	345	8
13.	Experience rating refunds due or unpaid	206	231	276	193	109
14.	Policyholders' dividends and refunds to members (not included in Line 10)					
15.	Commissions and reinsurance expense allowances due	2	2	36	2	
16.	Unauthorized reinsurance offset					
17.	Offset for reinsurance with Certified Reinsurers					
	C. UNAUTHORIZED REINSURANCE (DEPOSITS BY AND FUNDS WITHHELD FROM)					
18.	Funds deposited by and withheld from (F)					
19.	Letters of credit (L)					
20.	Trust agreements (T)					
21.	Other (O)					
	D. REINSURANCE WITH CERTIFIED REINSURERS (DEPOSITS BY AND FUNDS WITHHELD FROM)					
22.	Multiple Beneficiary Trust					
23.	Funds deposited by and withheld from (F)					
24.	Letters of credit (L)	30	30	30	30	30
25.	Trust agreements (T)					
26.	Other (O)					

SCHEDULE S - PART 7

		As Reported (net of ceded)	2 Restatement Adjustments	3 Restated (gross of ceded)
	ASSETS (Page 2, Col. 3)			
1.	Cash and invested assets (Line 12)	3,012,151,716		3,012,151,716
2.	Reinsurance (Line 16)		(390,542)	
3.	Premiums and considerations (Line 15)	4,634,781	307,466	4,942,247
4.	Net credit for ceded reinsurance	XXX	1,694,302	1,694,302
5.	All other admitted assets (balance)	31,160,792		31,160,792
6.	Total assets excluding Separate Accounts (Line 26)	3,048,337,831	1,611,226	3,049,949,05
7.	Separate Account assets (Line 27)	290,618		290,618
8.	Total assets (Line 28)	3,048,628,449	1,611,226	3,050,239,675
	LIABILITIES, CAPITAL AND SURPLUS (Page 3)			
9.	Contract reserves (Lines 1 and 2)	2,826,542,930	1,050,712	2,827,593,642
10.	Liability for deposit-type contracts (Line 3)	32,973,199		32,973,199
11.	Claim reserves (Line 4)	16,203,428	560,514	16,763,942
12.	Policyholder dividends/member refunds/reserves (Lines 5 through 7)			
13.	Premium & annuity considerations received in advance (Line 8)	45,632		45,632
14.	Other contract liabilities (Line 9)			260,683
15.	Reinsurance in unauthorized companies (Line 24.02 minus inset amount)			
16.	Funds held under reinsurance treaties with unauthorized reinsurers (Line 24.03 minus inset amount)			
17.	Reinsurance with Certified Reinsurers (Line 24.02 inset amount)			
18.	Funds held under reinsurance treaties with Certified Reinsurers (Line 24.03 inset amount)			
19.	All other liabilities (balance)	33,855,235		33,855,23
20.	Total liabilities excluding Separate Accounts (Line 26)	2,909,881,107	1,611,226	2,911,492,33
21.	Separate Account liabilities (Line 27)	290,618		290,61
22.	Total liabilities (Line 28)	2,910,171,725	1,611,226	2,911,782,95
23.	Capital & surplus (Line 38)	138,456,724	XXX	138,456,72
24.	Total liabilities, capital & surplus (Line 39)	3,048,628,449	1,611,226	3,050,239,67
	NET CREDIT FOR CEDED REINSURANCE			
25.	Contract reserves	1,050,712		
26.	Claim reserves	560,514		
27.	Policyholder dividends/reserves			
28.	Premium & annuity considerations received in advance			
29.	Liability for deposit-type contracts			
30.	Other contract liabilities			
31.	Reinsurance ceded assets	390,542		
32.	Other ceded reinsurance recoverables			
33.	Total ceded reinsurance recoverables	2,001,768		
34.	Premiums and considerations			
35.	Reinsurance in unauthorized companies			
36.	Funds held under reinsurance treaties with unauthorized reinsurers			
37.	Reinsurance with Certified Reinsurers			
38.	Funds held under reinsurance treaties with Certified Reinsurers			
39.	Other ceded reinsurance payables/offsets			
40.	Total ceded reinsurance payable/offsets	307,466		

41. Total net credit for ceded reinsurance

SCHEDULE T - PREMIUMS AND ANNUITY CONSIDERATIONS (b) Allocated by States and Territories

			raiocated by Ott	ates and Territo	Direct Busir	ness Only		
		1	Life Co	ntracts	4	5	6	7
			2	3				
					Accident and Health Insurance Premiums.		Total	
		Active			Including Policy,		Columns	
		Status	Life Insurance	Annuity	Membership	Other	2 through 5	Deposit-Type
	States, Etc.	(a)	Premiums	Considerations	and Other Fees	Considerations	(b)	Contracts
	AlaskaAL	N N						
	Alaska AK Arizona AZ	N						
	Arkansas AR							
	California	NN						
	Colorado	NN.						
1	Connecticut	N						
	Delaware DE	N						
1	District of Columbia DC	N						
	FloridaFL	N						
	Georgia GA	N						
	Hawaii HI	N						
	IdahoID	N						
14.	Illinois	N						
15.	IndianaIN	N						
16.	lowa IA	N						
	Kansas KS	N.						
18.	Kentucky KY	N						
	Louisiana LA	N						
1	Maine ME	N						
	Maryland MD	N						
	Massachusetts MA	N						
	Michigan MI	N						
1	Minnesota MN	N						
	Mississippi MS	N						
1	Missouri MO	N						
1	Montana MT	N						
	Nebraska NE	N						
1	Nevada	N						
	New HampshireNH	N						
	New Jersey NJ	N						
	New Mexico NM	N						
	New York	L	14,679,948	463,449,809	56,575,663		534,705,420	3,351,086
1	North Carolina	N						
1	North Dakota	N						
	Ohio OH	N						
1	Oklahoma OK	N						
1	Oregon OR	N						
	Pennsylvania PA	N N						
1	Rhode Island RI							
1	South Carolina SC	NN						
	South Dakota SD Tennessee TN							
1	***	N						
	Texas TX Utah UT	N N						
	Vermont VT	N N						
	Virginia VA	N						
	Washington WA	N						
	West Virginia WV	NN.						
1	Wisconsin WI	N						
	Wyoming WY	N						
	American Samoa AS	N						
	Guam GU	N						
	Puerto Rico PR	N	•		•			
	U.S. Virgin Islands VI							
	Northern Mariana IslandsMP	N						
	Canada CAN	N						
	Aggregate Other Alien OT	XXX						
	Subtotal	XXX	14,679,948	463,449,809	56,575,663		534,705,420	3,351,086
	Reporting entity contributions for employee benefits				,,		' '	. , -
	plans	XXX						
91.	Dividends or refunds applied to purchase paid-up	xxx						
92.	additions and annuities							
J 22.	or premium paying period	XXX						
93.	Premium or annuity considerations waived under							
	disability or other contract provisions	XXX						
	Aggregate or other amounts not allocable by State.	XXX						
	Totals (Direct Business)	XXX	14,679,948	463,449,809	56,575,663		534,705,420	3,351,086
1	Plus reinsurance assumed	XXX	44.070.040	400 410	EA F :		504 705 400	
	Totals (All Business)	XXX	14,679,948	463,449,809	56,575,663		534,705,420	3,351,086
	Less reinsurance ceded	XXX	2,823,953	33			3,613,530	0.051.005
99.	Totals (All Business) less Reinsurance Ceded	XXX	11,855,995	463,449,776	(c) 55,786,119		531,091,890	3,351,086
E0001	DETAILS OF WRITE-INS							
58001.		XXX					 	
58002.		XXX					 	
58003.	Summary of remaining write-ins for Line 58 from	XXX						
26998.	overflow page	XXX						
58999.	Totals (Lines 58001 through 58003 plus		•					
	58998)(Line 58 above)	XXX				<u> </u>	<u> </u>	
9401.		XXX						
9402.		XXX						
9403.		XXX						
9498.	Summary of remaining write-ins for Line 94 from							
	overflow page	XXX						
9499.	Totals (Lines 9401 through 9403 plus 9498)(Line	vvv						
(a) Active	94 above) Status Counts:	XXX	l	<u>I</u>	<u>I</u>	<u>I</u>	ı	
	Status Counts: ensed or Chartered - Licensed insurance carrier or d		_		R - Registered - Non			

⁽a) Active Status Counts:
L - Licensed or Chartered - Licensed insurance carrier or domiciled RRG. R - Registered - Non-domiciled RRGs. E - Eligible - Reporting entities eligible or approved to write surplus lines in the state.

N - None of the above - Not allowed to write business in the state. Q - Qualified - Qualified or accredited reinsurer..... 56

⁽b) Explanation of basis of allocation by states, etc., of premiums and annuity considerations
The company allocates insurance premium and annuity considerations to the state in which the policy is issued.

(c) Column 4 should balance with Exhibit 1, Lines 6.4, 10.4, and 16.4, Cols. 8, 9, 10, or with Schedule H, Part 1, Line 1, indicate which: Exhibit 1, Lines 6.4, 10.4, and 16.4, Cols. 8, 9, 10.

SCHEDULE T - PART 2

INTERSTATE COMPACT - EXHIBIT OF PREMIUMS WRITTEN

Allocated by States and Territories

Direct Business Only

		Direct Business Only						
			1	2	3 Disability	4	5	6
			Life	Annuities	Disability Income	Long-Term Care		
	Chatan Ft-		(Group and	(Group and	(Group and	(Group and	Deposit-Type	Totala
<u> </u>	States, Etc.		Individual)	Individual)	Individual)	Individual)	Contracts	Totals
1.		AL .						
2.	Alaska							
3.	Arizona							
4.	Arkansas							
5.	California	CA						
6.	Colorado	СО						
7.	Connecticut	СТ						
8.	Delaware	DE						
9.	District of Columbia	DC .						
10.	Florida I	FL .						
11.	Georgia	GA .						
12.	Hawaii I	HI .						
13.	ldaho							
14.	Illinois							
15.	Indiana							
16.	lowa					•		
						•		
17.	Kansas I	_						
18.	Kentucky							
19.	Louisiana I							
20.	Maine I	ME				İ		
21.	Maryland I	MD						
22.	Massachusetts	MA .						
23.	Michigan I	MI .						
24.	Minnesota	MN .						
25.	Mississippi	MS .						
26.	Missouri I	MO .						
27.	Montana	MT .						
28.		NE .						
29.	Nevada							
30.	New Hampshire							
31.		NJ						
32.	•	NM .						•
		NY .	14,679,948	463,449,809	5, 105, 578		3,351,086	486,586,421
33.			17,073,340					1 00, J00, 42 I
34.		NC .						
35.		ND .						
36.	Ohio							
37.		OK .						
38.	Oregon	OR				l		
39.	Pennsylvania I	PA .						
40.	Rhode Island	RI .						
41.	South Carolina	SC .						
42.	South Dakota	SD .						
43.	Tennessee	TN .						
44.	Texas	TX .						
45.	Utah	UT .						
46.	Vermont	VT						
47.	Virginia							
48.	Washington		***************************************					
49.	West Virginia							
50.		WI .						
51.	Wyoming							
52.	American Samoa							
53.	Guam							
54.	Puerto Rico	PR						
55.	U.S. Virgin Islands	VI						
56.	Northern Mariana Islands	MP .						
57.	Canada	CAN						
58.	Aggregate Other Alien	ОТ						
59.	Total		14,679,948	463,449,809	5,105,578		3,351,086	486,586,421
		ı.	, -,	, -, -				

SCHEDULE Y - INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP PART 1 - ORGANIZATIONAL CHART

Name	FEI#	NAIC#	Domicile	Ownership
Symetra Financial Corporation	20-0978027		DE	100% Sumitomo Life Insurance Company
Symetra Life Insurance Company	91-0742147	68608	IA	100% Symetra Financial Corporation
Symetra National Life Insurance Company	91-1079693	90581	IA	100% Symetra Life Insurance Company
• •				,
First Symetra National Life Insurance Company of New York	91-1367496	78417	NY	100% Symetra Life Insurance Company
Symetra Reinsurance Corporation	47-2354842		IA	100% Symetra Life Insurance Company
Symetra Assigned Benefits Service Company	91-1246870		WA	100% Symetra Financial Corporation
Symetra Securities, Inc.	91-0824835		WA	100% Symetra Financial Corporation
Clearscape Funding Corporation	20-3820455		WA	100% Symetra Financial Corporation
WSF Receivables I, LLC	26-1099574		FL	100% Clearscape Funding Corporation
Symetra Investment Management Company	84-2356467		DE	100% Symetra Financial Corporation
Hometown Plaza Retail Center, LLC	35-2670516		DE	100% Symetra Financial Corporation
Clio & Pierson, LLC	36-4897711		DE	100% Symetra Financial Corporation
4445 Lamont Properties, LLC	85-3730085		DE	100% Symetra Financial Corporation

SCHEDULE Y

PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
											Type	lf			
											of Control	Control			
											(Ownership,	is		Is an	
						Name of Securities			Relation-		Board,	Owner-		SCA	
						Exchange		Domi-	ship		Management,	ship		Filina	
		NAIC				if Publicly Traded	Names of	ciliary	to		Attorney-in-Fact,	Provide		Re-	
Group		Company	ID	Federal		(U.S. or	Parent, Subsidiaries		Reporting	Directly Controlled by	Influence.	Percen-	Ultimate Controlling	quired?	
Code	Group Name		Number	RSSD	CIK	International)	Or Affiliates	Loca- tion	Entity		Other)			(Y/N)	*
Code	Group Name	Code		RSSD	CIK	international)			,	(Name of Entity/Person)	,	tage	Entity(ies)/Person(s)	(Y/N)	
			. 20-0978027				SYMETRA FINANCIAL CORPORATION	DE	UIP	OWETPA FINANCIAL CORPORATION	Ownership		SUMITOMO LIFE INSURANCE COMPANY	N	
	SUMITOMO LIFE INSURANCE GROUP	68608	91-0742147				SYMETRA LIFE INSURANCE COMPANY	IA		SYMETRA FINANCIAL CORPORATION	Ownership		SUMITOMO LIFE INSURANCE COMPANY	N	
	SUMITOMO LIFE INSURANCE GROUP	90581	91-1079693				SYMETRA NATIONAL LIFE INSURANCE COMPANY	IA	IA	SYMETRA LIFE INSURANCE COMPANY	Ownership	100.000	SUMITOMO LIFE INSURANCE COMPANY	N	
	SUMITOMO LIFE INSURANCE GROUP	78417	91-1367496				FIRST SYMETRA NATIONAL LIFE INS CO OF NY	NY		SYMETRA LIFE INSURANCE COMPANY		400 000	SUMITOMO LIFE INSURANCE COMPANY	N	
4855	SUMITOMO LIFE INSURANCE GROUP		47-2354842				SYMETRA REINSURANCE CORPORATION	IA	IA	SYMETRA LIFE INSURANCE COMPANY	Ownership		SUMITOMO LIFE INSURANCE COMPANY	N	
			91-1246870				SYMETRA ASSIGNED BENEFITS SERVICE COMPANY	WA WA		SYMETRA FINANCIAL CORPORATION	Ownership		SUMITOMO LIFE INSURANCE COMPANY	N	
			91-0824835				SYMETRA SECURITIES, INC.		NIA	SYMETRA FINANCIAL CORPORATION	Ownership		SUMITOMO LIFE INSURANCE COMPANY	N	
			20-3820455				CLEARSCAPE FUNDING CORPORATION	WA	NIA	SYMETRA FINANCIAL CORPORATION	Ownership		SUMITOMO LIFE INSURANCE COMPANY	N	
			26-1099574				WSF RECEIVABLES I, LLC	FL	NIA	CLEARSCAPE FUNDING CORPORATION	Ownership		SUMITOMO LIFE INSURANCE COMPANY	N	
			84-2356467				SYMETRA INVESTMENT MANAGEMENT COMPANY	DE		SYMETRA FINANCIAL CORPORATION	Ownership		SUMITOMO LIFE INSURANCE COMPANY		
			35-2670516				HOMETOWN PLAZA RETAIL CENTER, LLC	DE	NIA	SYMETRA FINANCIAL CORPORATION	Ownership		SUMITOMO LIFE INSURANCE COMPANY	N	
			. 36-4897711				CLIO & PIERSON, LLC	DE	NIA	SYMETRA FINANCIAL CORPORATION	Ownership.		SUMITOMO LIFE INSURANCE COMPANY	N	
			85-3730085				4445 LAMONT PROPERTIES, LLC	DE	NIA	SYMETRA FINANCIAL CORPORATION	Ownership	100.000	SUMITOMO LIFE INSURANCE COMPANY	N	
									· · · · · · · · · · · · · · · · · · ·			····			
			-											-	
									· · · · · · · · · · · · · · · · · · ·						
			-											-	
									· · · · · · · · · · · · · · · · · · ·						
												· 			
			-												

Asterisk	Explanation	

SCHEDULE Y

PART 2 - SUMMARY OF INSURER'S TRANSACTIONS WITH ANY AFFILIATES

		PARIZ	- SUIVIIVIAI	VI OL IIAS	JUNEN 3	INANSAC	FIIONS VV	HUMANIA	~I I IL	IAILS		
1	2	3	4	5	6	7	8	9	10	11	12	13
						Income/						
						(Disbursements)						
					Purchases, Sales	Incurred in						Reinsurance
					or Exchanges of	Connection with		Income/		Any Other Material		Recoverable/
					Loans, Securities,	Guarantees or		(Disbursements)		Activity Not in the		(Payable) on
NAIC					Real Estate,	Undertakings for	Management	Incurred Under		Ordinary Course of		Losses and/or
Company	ID.	Names of Insurers and Parent,	Shareholder	Capital	Mortgage Loans or	the Benefit of any	Agreements and	Reinsurance	_	the Insurer's	-	Reserve Credit
Code	Number	Subsidiaries or Affiliates	Dividends	Contributions	Other Investments	Affiliate(s)	Service Contracts	Agreements	*	Business	Totals	Taken/(Liability)
	20-0978027	SYMETRA FINANCIAL CORPORATION		(300,000,000)							(300,000,000)	
68608	91–0742147	SYMETRA LIFE INSURANCE COMPANY		283,000,000	2,322,720			5,446,548			290,769,268	248,459,874
90581	91–1079693	SYMETRA NATIONAL LIFE INSURANCE COMPANY						2,260,368			2,260,368	
	47-2354842	SYMETRA REINSURANCE CORPORATION		17,000,000				(7,706,916)			9,293,084	(248,459,874)
	83-3730085	4445 LAMONT PROPERTIES, LLC			(2,322,720)						(2,322,720)	
											•	
											•	
								 		 		
· · · · · · · · · · · · · · · · · · ·												
9999999 Cd	ontrol Totals								XXX			
								•		•		

SUPPLEMENTAL EXHIBITS AND SCHEDULES INTERROGATORIES

The following supplemental reports are required to be filed as part of your statement filing unless specifically waived by the domiciliary state. However, in the event that your domiciliary state waives the filing requirement, your response of WAIVED to the specific interrogatory will be accepted in lieu of filing a "NONE" report and a bar code will be printed below. If the supplement is required of your company but is not being filed for whatever reason enter SEE EXPLANATION and provide an explanation following the interrogatory questions.

	_	Responses
1.	MARCH FILING Will the Supplemental Compensation Exhibit be filed with the state of domicile by March 1?	YES
2.	Will the confidential Risk-based Capital Report be filed with the NAIC by March 1?	YES
3.	Will the confidential Risk-based Capital Report be filed with the state of domicile, if required, by March 1?	YES
4.	Will an actuarial opinion be filed by March 1?	YES
5.	APRIL FILING Will Management's Discussion and Analysis be filed by April 1?	YES
6.	Will the Life, Health & Annuity Guaranty Association Model Act Assessment Base Reconciliation Exhibit be filed with the state of domicile and the	YES
7.	NAIC by April 1? (Not applicable to fraternal benefit societies) Will the Adjustments to the Life, Health & Annuity Guaranty Association Model Act Assessment Base Reconciliation Exhibit (if required) be filed with the state of domicile and the NAIC by April 1? (Not applicable to fraternal benefit societies)	YES
8.	Will the Supplemental Investment Risks Interrogatories be filed by April 1?	YES
9.	JUNE FILING Will an audited financial report be filed by June 1?	YES
9.	vviii an addited iniancial report be lined by June 17	
10.	Will Accountant's Letter of Qualifications be filed with the state of domicile and electronically with the NAIC by June 1?	YES
11.	Will the regulator-only (non-public) Communication of Internal Control Related Matters Noted in Audit be filed with the state of domicile and electronically with the NAIC (as a regulator-only non-public document) by August 1?	YES
suppler specific	owing supplemental reports are required to be filed as part of your annual statement filing if your company is engaged in the type of business ment. However, in the event that your company does not transact the type of business for which the special report must be filed, your response interrogatory will be accepted in lieu of filing a "NONE" report and a bar code will be printed below. If the supplement is required of your company whatever reason enter SEE EXPLANATION and provide an explanation following the interrogatory questions.	nse of NO to the
12.	MARCH FILING Will Schedule SIS (Stockholder Information Supplement) be filed with the state of domicile by March 1? (Not applicable to fraternal benefit societies)	NO
		NO NO
13.	Will the Medicare Supplement Insurance Experience Exhibit be filed with the state of domicile and the NAIC by March 1?	
14.	Will the Trusteed Surplus Statement be filed with the state of domicile and the NAIC by March 1?	NO
15.	Will the actuarial opinion on participating and non-participating policies as required in Interrogatories 1 and 2 to Exhibit 5 be filed with the state of domicile and electronically with the NAIC by March 1?	NO
16.	Will the actuarial opinion on non-guaranteed elements as required in interrogatory #3 to Exhibit 5 be filed with the state of domicile and electronically with the NAIC by March 1?	YES
17.	Will the actuarial opinion on X-Factors be filed with the state of domicile and electronically with the NAIC by March 1?	YES
18.	Will the actuarial opinion on Separate Accounts Funding Guaranteed Minimum Benefit be filed with the state of domicile and electronically with the NAIC by March 1?	NO
19.	Will the actuarial opinion on Synthetic Guaranteed Investment Contracts be filed with the state of domicile and electronically with the NAIC by March 1?	NO
20.	Will the Reasonableness of Assumptions Certification required by Actuarial Guideline XXXV be filed with the state of domicile and electronically with the NAIC by March 1?	NO
21.	Will the Reasonableness and Consistency of Assumptions Certification required by Actuarial Guideline XXXV be filed with the state of domicile and electronically with the NAIC by March 1?	NO
22.	Will the Reasonableness of Assumptions Certification for Implied Guaranteed Rate Method required by Actuarial Guideline XXXVI be filed with the state of domicile and electronically with the NAIC by March 1?	NO
23.	Will the Reasonableness and Consistency of Assumptions Certification required by Actuarial Guideline XXXVI (Updated Average Market Value) be filed with the state of domicile and electronically with the NAIC by March 1?	NO
24.	Will the Reasonableness and Consistency of Assumptions Certification required by Actuarial Guideline XXXVI (Updated Market Value) be filed with the state of domicile and electronically with the NAIC by March 1?	NO
25.	Will the C-3 RBC Certifications required under C-3 Phase I be filed with the state of domicile and electronically with the NAIC by March 1?	YES
26.	Will the C-3 RBC Certifications required under C-3 Phase II be filed with the state of domicile and electronically with the NAIC by March 1?	YES

SUPPLEMENTAL EXHIBITS AND SCHEDULES INTERROGATORIES

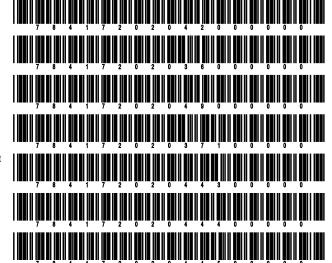
27.	Will the Actuarial Certifications Related to Annuity Nonforfeiture Ongoing Compliance for Equity Indexed Annuities be filed with the state of domicile and electronically with the NAIC by March 1?
28.	Will the actuarial opinion required by the Modified Guaranteed Annuity Model Regulation be filed with the state of domicile and electronically with the NAIC by March 1?
29.	Will the Actuarial Certifications Related to Hedging required by Actuarial Guideline XLIII be filed with the state of domicile and electronically with the NAIC by March 1?
30.	Will the Financial Officer Certification Related to Clearly Defined Hedging Strategy required by Actuarial Guideline XLIII be filed with the state of domicile and electronically with the NAIC by March 1?
31.	Will the Management Certification That the Valuation Reflects Management's Intent required by Actuarial Guideline XLIII be filed with the state of domicile and electronically with the NAIC by March 1?
32.	Will the Actuarial Certification Related to the Reserves required by Actuarial Guideline XLIII be filed with the state of domicile and electronically with the NAIC by March 1?
33.	Will the Actuarial Certification regarding the use of 2001 Preferred Class Tables required by the Model Regulation Permitting the Recognition of Preferred Mortality Tables for Use in Determining Minimum Reserve Liabilities be filed with the state of domicile and electronically with the NAIC by March 1?
34.	Will the Worker's Compensation Carve-Out Supplement be filed by March 1? (Not applicable to fraternal benefit societies)
35.	Will Supplemental Schedule O be filed with the state of domicile and the NAIC by March 1?
36.	Will the Medicare Part D Coverage Supplement be filed with the state of domicile and the NAIC by March 1?
37.	Will an approval from the reporting entity's state of domicile for relief related to the five-year rotation requirement for lead audit partner be filed electronically with the NAIC by March 1?
38.	Will an approval from the reporting entity's state of domicile for relief related to the one-year cooling off period for independent CPA be filed electronically with the NAIC by March 1?
39.	Will an approval from the reporting entity's state of domicile for relief related to the Requirements for Audit Committees be filed electronically with the NAIC by March 1?
40.	Will the VM-20 Reserves Supplement be filed with the state of domicile and the NAIC by March 1?
	APRIL FILING
41.	Will the confidential Regulatory Asset Adequacy Issues Summary (RAAIS) required by the Valuation Manual be filed with the state of domicile by April 1?
42.	Will the Long-Term Care Experience Reporting Forms be filed with the state of domicile and the NAIC by April 1?
43.	Will the Credit Insurance Experience Exhibit be filed with the state of domicile and the NAIC by April 1? (Not applicable to fraternal benefit societies)
44.	Will the Accident and Health Policy Experience Exhibit be filed by April 1?
45.	Will the Supplemental Health Care Exhibit (Parts 1, 2 and 3) be filed with the state of domicile and the NAIC by April 1?
46.	Will the regulator only (non-public) Supplemental Health Care Exhibit's Expense Allocation Report be filed with the state of domicile and the NAIC by April 1?
47.	Will the confidential Actuarial Memorandum required by Actuarial Guideline XXXVIII 8D be filed with the state of domicile by April 30?
48.	Will the Supplemental Term and Universal Life Insurance Reinsurance Exhibit be filed with the state of domicile and the NAIC by April 1?
49.	Will the Variable Annuities Supplement be filed with the state of domicile and the NAIC by April 1?
50.	Will the confidential Executive Summary of the PBR Actuarial Report be filed with the state of domicile by April 1?
51.	Will the confidential Life Summary of the PBR Actuarial Report be filed with the state of domicile by April 1?
52.	Will the confidential Variable Annuities Summary of the PBR Actuarial Report be filed with the state of domicile by April 1?
53.	Will Management's Report of Internal Control Over Financial Reporting be filed with the state of domicile by August 1?
12.	Explanations:
13. 14.	
15.	
18.	
19. 20	
20. 21.	
22.	
23.	
24. 27.	
28.	
33.	
34. 36.	
30. 37.	
38.	
39.	
42. 43.	
45.	
46.	
47. 49.	

Bar Codes:

52.

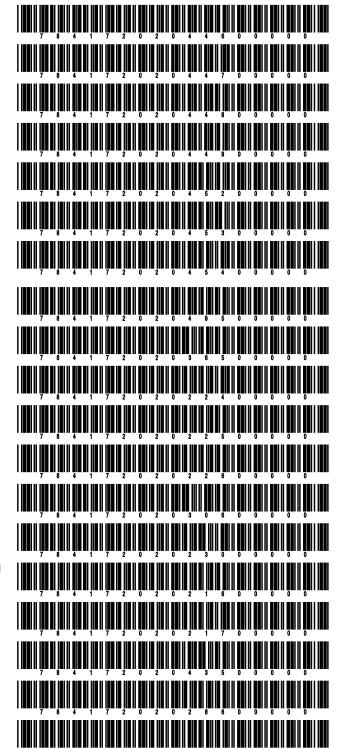
12. SIS Stockholder Information Supplement [Document Identifier 420]

- Medicare Supplement Insurance Experience Exhibit [Document Identifier 360]
- 14 Trusteed Surplus Statement [Document Identifier 490]
- Participating Opinion for Exhibit 5 [Document Identifier 371] 15.
- 18. Actuarial Opinion on Separate Accounts Funding Guaranteed Minimum Benefit [Document Identifier 443]
- Actuarial Opinion on Synthetic Guaranteed Investment Contracts [Document Identifier 444]
- Reasonableness of Assumptions Certification required by Actuarial Guideline XXXV [Document Identifier 445] 20.



SUPPLEMENTAL EXHIBITS AND SCHEDULES INTERROGATORIES

- 21. Reasonableness and Consistency of Assumptions Certification required by Actuarial Guideline XXXV [Document Identifier 446]
- Reasonableness of Assumptions Certification for Implied Guaranteed Rate Method required by Actuarial Guideline XXXVI [Document Identifier 447]
- Reasonableness and Consistency of Assumptions Certification required by Actuarial Guideline XXXVI [Document Identifier 448]
- 24. Reasonableness and Consistency of Assumptions Certification required by Actuarial Guideline XXXVI (Updated Market Value) [Document Identifier 449]
- Actuarial Certifications Related to Annuity Nonforfeiture Ongoing Compliance for Equity Indexed Annuities [Document Identifier 452]
- 28. Modified Guaranteed Annuity Model Regulation [Document Identifier 453]
- Actuarial Certification regarding the use of 2001 Preferred Class Tables required by the Model Regulation Permitting the Recognition of Preferred Mortality Tables for Use in Determining Minimum Reserve Liabilities [Document Identifier 454]
- 34. Workers' Compensation Carve-Out Supplement [Document Identifier 495]
- 36. Medicare Part D Coverage Supplement [Document Identifier 365]
- 37. Relief from the five-year rotation requirement for lead audit partner [Document Identifier 224]
- Relief from the one-year cooling off period for independent CPA [Document Identifier 225]
- 39. Relief from the Requirements for Audit Committees [Document Identifier 226]
- 42. Long-Term Care Experience Reporting Forms [Document Identifier 306]
- 43. Credit Insurance Experience Exhibit [Document Identifier 230]
- 45. Supplemental Health Care Exhibit (Parts 1, 2 and 3) [Document Identifier 216]
- Supplemental Health Care Exhibit's Expense Allocation Report [Document Identifier 217]
- Actuarial Memorandum Required by Actuarial Guideline XXXVIII 8D [Document Identifier 435]
- 49. Variable Annuities Supplement [Document Identifier 286]
- Variable Annuities Summary of the PBR Actuarial Report [Document Identifier 459]



NONE

SUMMARY INVESTMENT SCHEDULE

		0		Admitted Assets as Reported in the Annual Statement				
		Gross Investm	ent Holdings 2	3	In the Annua	Statement 5	6	
		·	Percentage of Column 1	C	Securities Lending Reinvested Collateral	Total (Col. 3 + 4)	Percentage of Column 5	
	Investment Categories	Amount	Line 13	Amount	Amount	Amount	Line 13	
1.	Long-Term Bonds (Schedule D, Part 1):							
	1.01 U.S. governments	2,911,541	0.097	2,911,541		2,911,541	0.097	
	1.02 All other governments	826,983	0.027	826,983		826,983	0.027	
	1.03 U.S. states, territories and possessions, etc. guaranteed							
	1.04 U.S. political subdivisions of states, territories, and possessions, guaranteed							
	1.05 U.S. special revenue and special assessment obligations, etc. non-guaranteed	11,760,657	0.390	11,760,657		11,760,657	0.390	
	1.06 Industrial and miscellaneous	2,479,840,964	82.328	2,479,840,964		2,479,840,964	82.328	
	1.07 Hybrid securities	6,486,443	0.215	6,486,443		6,486,443	0.215	
	1.08 Parent, subsidiaries and affiliates		0.000				0.00	
	1.09 SVO identified funds							
	1.10 Unaffiliated Bank loans							
	1.11 Total long-term bonds	2,501,826,588	83.058	2,501,826,588		2,501,826,588	83.058	
2.	Preferred stocks (Schedule D, Part 2, Section 1):							
	2.01 Industrial and miscellaneous (Unaffiliated)							
	2.02 Parent, subsidiaries and affiliates							
	2.03 Total preferred stocks							
3.	Common stocks (Schedule D, Part 2, Section 2):							
	3.01 Industrial and miscellaneous Publicly traded (Unaffiliated)							
	3.02 Industrial and miscellaneous Other (Unaffiliated)							
	3.03 Parent, subsidiaries and affiliates Publicly traded							
	3.04 Parent, subsidiaries and affiliates Other							
	3.05 Mutual funds							
	3.06 Unit investment trusts							
	3.07 Closed-end funds							
	3.08 Total common stocks							
4.	Mortgage loans (Schedule B):							
4.	4.01 Farm mortgages							
	4.02 Residential mortgages		16 400	494,643,128			16 400	
	4.03 Commercial mortgages	494,043,120	10.422	494,043,120		494,643,128	10.422	
	4.05 Total valuation allowance		16 400	404 640 100				
_	4.06 Total mortgage loans	494,043,126	10.422	494,643,128		494,043,126	16.422	
5.	Real estate (Schedule A):							
	5.01 Properties occupied by company							
	5.02 Properties held for production of income							
	5.03 Properties held for sale							
_	5.04 Total real estate							
6.	Cash, cash equivalents and short-term investments:	45 400 05-	<u></u>	45 400 00-		JE 100 015		
	6.01 Cash (Schedule E, Part 1)						0.514	
	6.02 Cash equivalents (Schedule E, Part 2)							
	6.03 Short-term investments (Schedule DA)							
	6.04 Total cash, cash equivalents and short-term investments					15,486,863		
7.	Contract loans			195 , 138		195 , 138	0.006	
8.	Derivatives (Schedule DB)							
9.	Other invested assets (Schedule BA)							
10.	Receivables for securities							
11.	Securities Lending (Schedule DL, Part 1)				XXX	xxx	XXX	
12.	Other invested assets (Page 2, Line 11)					<u> </u>		
13.	Total invested assets	3,012,151,716	100.000	3,012,151,716		3,012,151,716	100.000	

SCHEDULE A - VERIFICATION BETWEEN YEARS

Real Estate

1.	Book/adjusted carrying value, December 31 of prior year
2.	Cost of acquired:
	2.1 Actual cost at time of acquisition (Part 2, Column 6)
	2.2 Additional investment made after acquisition (Part 2, Column 9)
3.	Current year change in encumbrances:
	3.1 Totals, Part 1, Column 13
	3.2 Totals, Part 3, Column 11
4.	Total gain (loss) on disposals, Part 3, Column 18
5.	Deduct amounts received on disposals, Part 3, C 15,
6.	Total foreign exchange change in book/adjusted or you was:
	6.1 Totals, Part 1, Column 15
	6.2 Totals, Part 3, Column 13
7.	Deduct current year's other than temporary impairment recognized:
	7.1 Totals, Part 1, Column 12
	7.2 Totals, Part 3, Column 10
8.	Deduct current year's depreciation:
	8.1 Totals, Part 1, Column 11
	8.2 Totals, Part 3, Column 9
9.	Book/adjusted carrying value at the end of current period (Lines 1+2+3+4-5+6-7-8)
10.	Deduct total nonadmitted amounts
11.	Statement value at end of current period (Line 9 minus Line 10)

SCHEDULE B - VERIFICATION BETWEEN YEARS

Mortgage Loans

1.	Book value/recorded investment excluding accrued interest, December 31 of prior year		346,778,992
2.	Cost of acquired:		
	2.1 Actual cost at time of acquisition (Part 2, Column 7)	175,519,500	
	2.2 Additional investment made after acquisition (Part 2, Column 8)	1,235,250	176,754,750
3.	Capitalized deferred interest and other:		
	3.1 Totals, Part 1, Column 12		
	3.2 Totals, Part 3, Column 11		
4.	Accrual of discount		
5.	Unrealized valuation increase (decrease):		
	5.1 Totals, Part 1, Column 9		
	5.2 Totals, Part 3, Column 8		
6.	Total gain (loss) on disposals, Part 3, Column 18		
7.	Deduct amounts received on disposals, Part 3, Column 15		28,890,614
8.	Deduct amortization of premium and mortgage interest points and commitment fees		
9.	Total foreign exchange change in book value/recorded investment excluding accrued interest:		
	9.1 Totals, Part 1, Column 13		
	9.2 Totals, Part 3, Column 13		
10.	Deduct current year's other than temporary impairment recognized:		
	10.1 Totals, Part 1, Column 11		
	10.2 Totals, Part 3, Column 10		
11.	Book value/recorded investment excluding accrued interest at end of current period (Lines 1+2+3+4+5+6-7-8+9-10)		494 , 643 , 128
12.	Total valuation allowance		
13.	Subtotal (Line 11 plus 12)		494,643,128
14.	Deduct total nonadmitted amounts		
15.	Statement value of mortgages owned at end of current period (Line 13 minus Line 14)		494 , 643 , 128

SCHEDULE BA - VERIFICATION BETWEEN YEARS

Other Long-Term Invested Assets

1.	Book/adjusted carrying value, December 31 of prior year
2.	Cost of acquired:
	2.1 Actual cost at time of acquisition (Part 2, Column 8)
	2.2 Additional investment made after acquisition (Part 2, Column 9)
3.	Capitalized deferred interest and other:
	3.1 Totals, Part 1, Column 16
	3.2 Totals, Part 3, Column 12
4.	Accrual of discount
5.	Unrealized valuation increase (decrease):
	5.1 Totals, Part 1, Column 13
	5.1 Totals, Part 1, Column 13
6.	Total gain (loss) on disposals, Part 3, Column 19
7.	Deduct amounts received on disposals, Part 3, Cordmn 16
8.	Deduct amortization of premium and depreciation
9.	Total foreign exchange change in book/adjusted carrying value:
	9.1 Totals, Part 1, Column 17
	9.2 Totals, Part 3, Column 14
10.	Deduct current year's other than temporary impairment recognized:
	10.1 Totals, Part 1, Column 15
	10.2 Totals, Part 3, Column 11
11.	Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5+6-7-8+9-10)
12.	Deduct total nonadmitted amounts
13.	Statement value at end of current period (Line 11 minus Line 12)

SCHEDULE D - VERIFICATION BETWEEN YEARS

Bonds and Stocks

1.	Book/adjusted carrying value, December 31 of prior year	2,322,123,057
2.	Cost of bonds and stocks acquired, Part 3, Column 7	462,773,704
3.	Accrual of discount	1,443,508
4.	Unrealized valuation increase (decrease):	
	4.1. Part 1, Column 12	
	4.2. Part 2, Section 1, Column 15	
	4.3. Part 2, Section 2, Column 13	
	4.4. Part 4, Column 11	
5.	Total gain (loss) on disposals, Part 4, Column 19	(375, 162)
6.	Deduction consideration for bonds and stocks disposed of, Part 4, Column 7	280,413,807
7.	Deduct amortization of premium	6,902,128
8.	Total foreign exchange change in book/adjusted carrying value:	
	8.1. Part 1, Column 15	
	8.2. Part 2, Section 1, Column 19	
	8.3. Part 2, Section 2, Column 16	
	8.4. Part 4, Column 15	
9.	Deduct current year's other than temporary impairment recognized:	
	9.1. Part 1, Column 14	
	9.2. Part 2, Section 1, Column 17	
	9.3. Part 2, Section 2, Column 14	
	9.4. Part 4, Column 13	
10.	Total investment income recognized as a result of prepayment penalties and/or acceleration fees, Note 5Q, Line 2	3, 177, 415
11.	Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5-6-7+8-9+10)	2,501,826,587
12.	Deduct total nonadmitted amounts	
13.	Statement value at end of current period (Line 11 minus Line 12)	2,501,826,587

SCHEDULE D - SUMMARY BY COUNTRY

Long-Term Bonds and Stocks OWNED December 31 of Current Year

		Long Term Bondo and Occ	1 1	2	3	4
			Book/Adjusted			
	escripti		Carrying Value	Fair Value	Actual Cost	Par Value of Bonds
BONDS	1.	United States	, ,	3, 101,232	2,939,254	2,896,413
Governments	2.	Canada				
(Including all obligations guaranteed	3.	Other Countries	826,983	862,385	826,972	826,974
by governments)	4.	Totals	3,738,524	3,963,617	3,766,226	3,723,387
U.S. States, Territories and Possessions						
(Direct and guaranteed)	5.	Totals				
U.S. Political Subdivisions of States, Territories and Possessions (Direct and guaranteed)	6.	Totals				
U.S. Special Revenue and Special Assessment Obligations and all Non- Guaranteed Obligations of Agencies and Authorities of Governments and			44 700 007	40, 407, 007	44 704 077	44 005 550
their Political Subdivisions	7.	Totals	11,760,657	12,137,307	11,724,377	11,695,558
Industrial and Miscellaneous, SVO	8.	United States	, , ,	, , ,	1,994,693,915	1,982,310,409
Identified Funds, Unaffiliated Bank	9.	Canada		, ,	73,331,187	72,621,500
Loans and Hybrid Securities (unaffiliated)	10.	Other Countries	427,219,681	443,480,378	427,858,595	398,467,834
, ,	11.	Totals	2,486,327,407	2,648,267,623	2,495,883,697	2,453,399,743
Parent, Subsidiaries and Affiliates	12.	Totals		2 224 222 545	2 544 254 222	2 422 242 222
	13.	Total Bonds	2,501,826,587	2,664,368,547	2,511,374,300	2,468,818,688
PREFERRED STOCKS	14.	United States				
Industrial and Miscellaneous	15.	Canada				
(unaffiliated)	16.	Other Countries				
	17.	Totals				
Parent, Subsidiaries and Affiliates	18.	Totals				
	19.	Total Preferred Stocks				
COMMON STOCKS	20.	United States				
Industrial and Miscellaneous	21.	Canada				
(unaffiliated)	22.	Other Countries				
	23.	Totals				
Parent, Subsidiaries and Affiliates	24.	Totals				
	25.	Total Common Stocks				
	26.	Total Stocks				
	27.	Total Bonds and Stocks	2,501,826,587	2,664,368,547	2,511,374,300	

SCHEDULE D - PART 1A - SECTION 1

Quality and Maturity Distribution of All Bonds Owned December 31, at Book/Adjusted Carrying Values by Major Types of Issues and NAIC Designations

	Quality and	viaturity Distribution	3 SII OI AII BUIIUS O	wned December 31,	5	led Carrying Value	es by Major Types T 7	or issues and in	l o	10	11	12
NAIC Designation	1 Year or Less	Over 1 Year Through 5 Years	Over 5 Years Through 10 Years	Over 10 Years Through 20 Years	Over 20 Years	No Maturity Date	Total Current Year	Col. 7 as a % of Line 11.7	Total from Col. 7 Prior Year	% From Col. 8 Prior Year	Total Publicly Traded	Total Privately Placed (a)
1. U.S. Governments												, ,
1.1 NAIC 1	331,259	2,453,737	93,305	33,239		XXX	2,911,541	0.1	3,633,345	0.2	2,911,541	
1.2 NAIC 2	, , , , , , , , , , , , , , , , , , ,		· · · · · · · · · · · · · · · · · · ·	, , , , , , , , , , , , , , , , , , ,		XXX			, , ,		, , , , , , , , , , , , , , , , , , ,	
1.3 NAIC 3	,					XXX						
1.4 NAIC 4	,					XXX						
1.5 NAIC 5						XXX						
1.6 NAIC 6						XXX						
1.7 Totals	331,259	2,453,737	93,305	33,239		XXX	2,911,541	0.1	3,633,345	0.2	2,911,541	
2. All Other Governments												
2.1 NAIC 1	209,403	617,580				XXX	826,983	0.0	999,999	0.0		826,983
2.2 NAIC 2						XXX						
2.3 NAIC 3						XXX						
2.4 NAIC 4						XXX						
2.5 NAIC 5						XXX						
2.6 NAIC 6						XXX						
2.7 Totals	209,403	617,580				XXX	826,983	0.0	999,999	0.0		826,983
U.S. States, Territories and Possessions etc., Guaranteed												
3.1 NAIC 1						XXX						
3.2 NAIC 2						XXX						
3.3 NAIC 3						XXX						
3.4 NAIC 4						XXX						
3.5 NAIC 5	,					XXX						
3.6 NAIC 6						XXX						
3.7 Totals						XXX						
U.S. Political Subdivisions of States, Territories and Possessions , Guaranteed												
4.1 NAIC 1						xxx						
4.2 NAIC 2						XXX						
4.3 NAIC 3						XXX						
4.4 NAIC 4						XXX						
4.5 NAIC 5						XXX						
4.6 NAIC 6						XXX						
4.7 Totals						XXX						
U.S. Special Revenue & Special Assessment Obligations, etc., Non-Guaranteed												
5.1 NAIC 1	1,874,372	5,851,641	3,856,043	178.601		XXX	11.760.657	0.5	4,579,041	0.2	11.760.657	
5.2 NAIC 2	, , , , , , , , , , , , , , , , , , , ,					XXX	1,.55,50	2.0	,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,			
5.3 NAIC 3						XXX						
5.4 NAIC 4						XXX						
5.5 NAIC 5						XXX						
5.6 NAIC 6						XXX						
5.7 Totals	1,874,372	5,851,641	3,856,043	178.601		XXX	11.760.657	0.5	4,579,041	0.2	11.760.657	

10.6 NAIC 6

10.7 Totals

ANNUAL STATEMENT FOR THE YEAR 2020 OF THE First Symetra National Life Insurance Company of New York

SCHEDULE D - PART 1A - SECTION 1 (Continued)

	0	Matinita Distributi		JULE D - PA				-£ NIA	10 D i ti			
	Quality and	Maturity Distributi	on of All Bonds O	wned December 3	1, at Book/Adjust	ed Carrying Valu	ies by Major Types	of Issues and NA	NC Designations	10	11	12
	Į.	Over 1 Year	Over 5 Years	Over 10 Years	_	No Maturity	,	Col. 7 as a % of	Total from Col. 7	% From Col. 8	Total Publicly	Total Privately
NAIC Designation	1 Year or Less	Through 5 Years	Through 10 Years	Through 20 Years	Over 20 Years	Date	Total Current Year	Line 11.7	Prior Year	Prior Year	Traded	Placed (a)
6. Industrial & Miscellaneous (Unaffiliated)												
6.1 NAIC 1	126,427,485		274,926,236	29, 124, 097	17,785,684	XXX	1,219,923,976	48.8		50.1	575,409,913	644,514,063
6.2 NAIC 2	102,374,273	709,346,509	376,820,541	1,830,227	434,911	XXX	1, 190, 806, 460	47.6	1, 115, 224, 275	48.0	934,492,334	256,314,126
6.3 NAIC 3	2,719,604	54,313,675	6,805,388			XXX	63,838,667	2.6		1.1	61,838,597	2,000,070
6.4 NAIC 4	3,299,974	1,971,886				XXX	5,271,860	0.2	1,959,844	0.1	5,271,860	
6.5 NAIC 5						XXX						
6.6 NAIC 6						XXX						
6.7 Totals	234,821,336	1,537,292,543	658,552,165	30,954,324	18,220,595	XXX	2,479,840,963	99.1	2,306,417,080	99.3	1,577,012,704	902,828,259
7. Hybrid Securities												
7.1 NAIC 1						XXX						
7.2 NAIC 2			6,486,443			XXX	6,486,443	0.3	6,493,593	0.3	6,486,443	
7.3 NAIC 3						XXX						
7.4 NAIC 4						XXX						
7.5 NAIC 5						XXX						
7.6 NAIC 6						XXX						
7.7 Totals			6,486,443			XXX	6,486,443	0.3	6,493,593	0.3	6,486,443	
8. Parent, Subsidiaries and Affiliates												
8.1 NAIC 1						XXX						
8.2 NAIC 2						XXX						
8.3 NAIC 3						XXX						
8.4 NAIC 4						XXX						
8.5 NAIC 5						XXX						
8.6 NAIC 6						XXX						
8.7 Totals						XXX						
9. SVO Identified Funds												
9.1 NAIC 1	XXX	XXX	XXX	XXX	XXX							
9.2 NAIC 2	XXX	XXX	XXX	XXX	XXX							
9.3 NAIC 3	XXX	XXX	XXX	XXX	XXX							
9.4 NAIC 4	XXX	XXX	XXX	XXX	XXX							
9.5 NAIC 5	XXX	XXX	XXX	XXX	XXX							
9.6 NAIC 6	XXX	XXX	XXX	XXX	XXX							
9.7 Totals	XXX	XXX	XXX	XXX	XXX							
10. Unaffiliated Bank Loans												
10.1 NAIC 1						XXX						
10.2 NAIC 2						XXX						
10.3 NAIC 3						XXX						
10.4 NAIC 4						XXX						
10.5 NAIC 5						XXX						
40.0 NAIO 0			[[2004			[

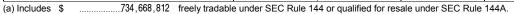
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SCHEDULE D - PART 1A - SECTION 1 (Continued)

Quality and Maturity Distribution of All Bonds Owned December 31, at Book/Adjusted Carrying Values by Major Types of Issues and NAIC Designations

MMC Designation 1 Vent of Lees 1 Vent of														
NAID Designation 1 Year of Less Through 1 Year Tread	1 2 3 4 5 6 7 8 9 10 11 12 Over 1 Year Over 5 Years Over 10 Years No Maturity Col. 7 as a % of Total from Col. 7 % From Col. 8 Total Publicly Total Privatel													
1.1 Totals 1.2	NAIC Designation	1 Voor or Loop				Over 20 Veers		Total Current Veer						
11 1 MAC 1		i feal of Less	Through 5 rears	Through to rears	Through 20 rears	Over 20 Tears	Date	Total Current Teal	Line 11.7	FIIOI Teal	FIIOI Teal	Haueu	Flaceu (a)	
11 2 AMC 2		(4) 120 042 510	700 502 421	270 075 504	20 225 029	17 705 604		1 225 422 156	40.4	VVV	VVV	500 092 110	645 241 046	
11 3 NACC 3 (6) 2, 719, 604 54, 531, 675 6, 805, 808														
11 5 NAIC 6 (g) 3, 299 974 1, 197,886 (g) (e) (C) (C) (C) (C) (C) (C) (C) (C) (C) (C	-				1,030,227	434,911								
11.5 NAIC 5 (d) (d) (e) (d) (e) (d) (e) (d) (e) (e) (e) (e) (e) (e) (e) (e) (e) (e												, , , , , ,	2,000,070	
116 NAIC 6		` '	1,9/1,886						U.2			5,2/1,860		
11.7 Totals 1.6 Line 11.7 sas % of Col. 7		` '						` '						
11.1 Line 11.7 as a % of Cot 7								11-7						
12. Total Bonds Prior Year 12. Total Bond		, , , , , ,		, , , , , , , , , , , , , , , , , , , ,	, , ,	, , , , , , , , , , , , , , , , , , , ,						, , , , , , , , , , , , , , , , , , , ,	,	
12 1 NAC 1		9.5	61.8	26.7	1.2	0.7		100.0	XXX	XXX	XXX	63.9	36.1	
12 NAIC 2 71, 422, 008 581, 174, 000 488, 455, 942 885, 861	12. Total Bonds Prior Year													
12 a NAC 3	12.1 NAIC 1					12,624,594		XXX	XXX					
1.2 A NAIC 4	12.2 NAIC 2	71,422,036		468,435,942	685,861			XXX	XXX	1, 121,717,869	48.3	915,398,893	206,318,975	
12 S NAIC 6 12 S NAIC 6 12 S NAIC 6 12 S NAIC 6 12 S NAIC 6 12 S NAIC 6 12 S NAIC 6 12 S Line 12 7 sa a % of Col. 9 12 S NAIC 6 13 T Otale Publicly Traded Bonds 13 T NAIC 1 13 T NAIC 1 13 T NAIC 1 13 T NAIC 1 13 T NAIC 1 13 T NAIC 2 13 T NAIC 1 1	12.3 NAIC 3		25,232,015					XXX	XXX	25,232,015	1.1	25,232,015		
12.5 NAIC 5 12.6 NAIC 6 12.7 Totals 12.6 NAIC 6 12.7 Totals 12.5 Totals 12.5 NAIC 6 12.7 Totals 12.5 NAIC 6 12.5 Line 12.7 as a % of Col. 9 13.7 Totals 13.7 NAIC 1 13.7 NAIC 1 13.7 NAIC 1 13.7 NAIC 1 13.7 NAIC 2 13.7 13.8 NAIC 2 13.7 13.8 NAIC 3 13.7 NAIC 1 13.7 NAIC 1 13.7 NAIC 1 13.7 NAIC 1 13.7 NAIC 1 13.7 NAIC 1 13.7 NAIC 1 13.7 NAIC 1 13.7 NAIC 1 13.7 NAIC 1 13.7 NAIC 1 13.7 NAIC 3	12.4 NAIC 4		1,959,844					XXX	XXX	1,959,844	0.1	1,959,844		
12 8 NAIC 6	12.5 NAIC 5							XXX		(c)				
127 Totals	12.6 NAIC 6							XXX	XXX	` '				
12.8 Line 12.7 ras a % of Col. 9 9.0 49.7 39.7 1.0 0.5 50.082.110 0.5 50.082.110 0.3 0.508.082.110 0.3 0.508.082.110 0.3 0.509.092.110 0.3 0.509.082.110 0.3 0.509.082.110 0.3 0.509.082.110 0.3	12.7 Totals	209.992.115	1.154.877.087	921.509.734	23.119.529	12.624.594			XXX	(b) 2.322.123.058	100.0	1.676.717.383	645.405.675	
3. Total Publicly Traded Bonds 50, 535, 043 382, 897, 930 156, 318, 808 330, 329 597, 153, 082 599, 082, 110 23.6 734, 126, 631 31.6 590, 082, 110 304, 304, 305, 306, 306, 306, 306, 306, 306, 306, 306														
13.1 NAIC 1 50,535,043 382,887,390 156,318,006 303,329 500,082,110 23.6 734,126,631 31.6 590,082,110 0 XXX 13.2 NAIC 2 97,153,082 583,895,644 305,440,051 940,978,777 37.6 915,398,893 39.4 940,978,777 XXX 13.3 NAIC 3 2,719,604 52,313,605 6,805,388 57 2.5 25,232,015 1.1 61,838,597 XXX 13.4 NAIC 4 3,299,974 1,971,886 5 5,271,860 0.2 1,995,844 0.1 5,271,860 XXX 13.5 NAIC 5 5,271,860 0.2 1,995,844 0.1 5,271,860 XXX 13.5 NAIC 5 5,271,860 0.2 1,995,844 0.1 5,271,860 XXX 13.6 NAIC 6 5 5,271,860 0.2 1,599,171,344 56.9 1,676,717,383 72.2 1,599,171,344 XXX 13.7 Totals 153,707,703 975,569,064 468,564,248 330,329 1,599,171,344 65.9 1,676,717,383 72.2 1,599,171,344 XXX 13.8 Line 13.7 as a % of Col. 7 9.6 61.0 29.3 0.0 10.0 XXX XXX XXX XXX XXX XXX XXX 100.0 XXX 13.9 Line 13.7 as a % of Line 11.7, Col. 7, Section 11 39.0 18.7 0.0 63.9 XXX XXX XXX XXX XXX XXX 100.0 XXX 14.1 NAIC 1 78,307,476 397,685,501 122,556,776 29,005,609 17,785,684 645,341,046 25.8 439,086,699 18.9 XXX 266,341,126 10.2 206,318,975 8.9 XXX 266,341,126 10.2 206,318,975 8.9 XXX XXX XXX 14.5 NAIC 3 2,000,070 0.1 10.0 XXX XXX XXX XXX 14.5 NAIC 3 2,000,070 0.1 10.0 XXX XXX XXX XXX XXX 14.5 NAIC 5 XXX XXX XXX XXX XXX XXX XXX XXX 14.5 NAIC 5 XXX XXX XXX XXX XXX XXX XXX XXX XXX		-						7001	7001		7001			
13.2 NAIC 2 97, 153, 082 538, 385, 644 305, 440, 061 940, 978, 777 37, 6 915, 388, 803 39, 4 940, 978, 777 XXX 13.4 NAIC 3 2, 719, 804 52, 313, 805 6, 805, 388 597 2, 5 25, 322, 015 1.1 61, 808, 597 XXX 13.4 NAIC 4 3, 2,99, 974 1, 971, 886 5 XXX 13.6 NAIC 6 5 XXX 13.6 NAIC 6 XXX 14.7 Totals 10 XXX 14.7 NAIC 6 XXX 14.7 Totals 10 XXX 14.7 NAIC 6 XXX 14.7 Totals 10 XXX 14.7 NAIC 6 XXX 14.7 Totals 10 XXX 14.7 NAIC 6 XXX 14.7 Totals 10 XXX 14.7 NAIC 6 XXX 14.7 Totals 10 XXX 14.7 NAIC 6 XXX 14.7 Totals 10 XXX 14.7 NAIC 6 XXX 14.7 NAIC 6 XXX 14.7 NAIC 6 XXX 14.8 NAIC 6 XXX 14.7 Totals 10 XXX 14.7 NAIC 6 XXX 14.8 NAIC 6 XXX 14.8 NAIC 6 XXX 14.7 NAIC 6 XXX 14.7 NAIC 6 XXX 14.7 NAIC 6 XXX 14.7 NAIC 6 XXX 14.7 NAIC 7 XXX 14.8 NAIC 7 XXX 14.7 NAIC 7 XXX 14.8 NAIC 7 XXX 14.8 NAIC 7 XXX 14.7 NAIC 8 XXX 14.7 NAIC 8 XXX 14.7 NAIC 8 XXX 14.7 NAIC 8 XXX 14.7 NAIC 8 XXX 14.7 NAIC 8 XXX 14.7 NAIC 8 XXX 14.7 NAIC 8 XXX 14.7 NAIC 8 XXX 14.7 NAIC 1 XXX 14.8 NAIC 1 XXX 14.8 NAIC 1 XXX 14.7 NAIC 1 XXX 14.8 NAIC 1 XXX 14.8 NAIC 1 XXX 14.8 NAIC 1 XXX 14.8 NAIC 1 XXX 14.7 NAIC 1 XX	,	50 535 043	382 897 930	156 318 808	330 329			590 082 110	23.6	734 126 631	31.6	590 082 110	XXX	
13.3 NAIC 3														
13.4 NAIC 4. 3,299,974 1,971,886														
13.5 NAIC 5 13.6 NAIC 6 13.6 NAIC 6 13.6 NAIC 6 13.6 NAIC 6 13.7 Totals 13.7 Totals 13.8 Line 13.7 as a % of Col. 7 13.8 Line 13.7 as a % of Col. 7 13.9 Line 13.7 as a % of Col. 7 13.9 Line 13.7 as a % of Col. 7 13.9 Line 13.7 as a % of Col. 7 13.9 Line 13.7 as a % of Col. 7 13.9 Line 13.7 as a % of Col. 7 13.9 Line 13.7 as a % of Col. 7 13.9 Line 13.7 as a % of Col. 7 13.9 Line 13.7 as a % of Col. 7 13.9 Line 13.7 as a % of Col. 7 13.9 Line 13.7 as a % of Col. 7 13.9 Line 13.7 as a % of Col. 7 13.9 Line 13.7 as a % of Col. 7 14.1 NAIC 1 14.1 NAIC 1 14.2 NAIC 2 15.2 Line 13.7 as a % of Col. 7 14.4 NAIC 3 15.2 (000,070 14.4 NAIC 3 15.2 (000,070 14.4 NAIC 4 14.5 NAIC 5 14.5 NAIC 5 14.5 NAIC 5 14.5 NAIC 6 14.6 NAIC 6 14.7 NAIC 8 14														
13.6 NAIC 6 13.7 Totals 13.7 Totals 13.8 Line 13.7 as a % of Col. 7 13.8 Line 13.7 as a % of Col. 7 13.8 Line 13.7 as a % of Line 11.7, Col. 7, Section 11 14. Totals Placed Bonds 14.1 NAIC 1 14.2 NAIC 2 14.3 NAIC 3 14.4 NAIC 4 14.5 NAIC 5 14.5 NAIC 5 14.7 Totals 15.8 R, 50, 64, 436 14.7 Totals 15.8 R, 50, 64, 436 14.8 Line 14.7 as a % of Col. 7 14.8 Line 14.7 as a % of Col. 7 14.9 Line 14.7 as a % of Col. 7 14.9 Line 14.7 as a % of Col. 7 15. Section 11 15. Section 11 15. Section 11 16. Section 11 16. Section 11 17. Section 11 18. Section 11 19. Line 14.7 as a % of Col. 7 19. Section 11 19. Section			1,071,000							1,555,644				
13.7 Totals 153,707,703 975,569,064 468,564,248 330,329 1,598,171,344 63.9 1,676,717,383 72.2 1,598,171,344														
13.8 Line 13.7 as a % of Col. 7 13.9 Line 13.7 as a % of Line 11.7, Col. 7, Section 11 13.9 Line 13.7 as a % of Line 11.7, Col. 7, Section 11 14.1 NAIC 1 14.1 NAIC 1 14.2 NAIC 2 14.3 NAIC 3 14.4 NAIC 4 14.5 NAIC 5 14.5 NAIC 5 14.6 NAIC 6 14.6 NAIC 6 14.7 Totals 14.6 NAIC 6 14.7 Totals 14.8 Line 14.7 as a % of Col. 7 14.8 Line 14.7 as a % of Col. 7 14.9 Line 14.7 as a % of Col. 7 14.9 Line 14.7 as a % of Col. 7 14.9 Line 14.7 as a % of Col. 7 14.9 Line 14.7 as a % of Col. 7 14.9 Line 14.7 as a % of Col. 7 14.9 Line 14.7 as a % of Line 11.7, Col. 7 14.9 Line 14.7 as a % of Line 11.7, Col. 7 13.9 Line 13.7 as a % of Line 11.7, Col. 7 13.9 Line 13.7 as a % of Line 11.7, Col. 7 13.9 Line 13.7 as a % of Line 11.7, Col. 7 13.9 Line 13.7 as a % of Line 11.7, Col. 7 13.9 Line 13.7 as a % of Line 11.7, Col. 7 13.9 Line 14.7 as a % of Line 11.7, Col. 7 13.9 Line 14.7 as a % of Line 11.7, Col. 7 14.9 Line 14.7 as a % of Line 11.7, Col. 7 14.9 Line 14.7 as a % of Line 11.7, Col. 7 14.9 Line 14.7 as a % of Line 11.7, Col. 7 14.9 Line 14.7 as a % of Line 11.7, Col. 7 15.0 Line 14.7 as a % of Line 11.7, Col. 7 15.0 Line 14.7 as a % of Line 11.7, Col. 7 15.0 Line 14.7 as a % of Line 11.7, Col. 7 15.0 Line 14.7 as a % of Line 11.7, Col. 7 15.0 Line 14.7 as a % of Line 11.7, Col. 7 15.0 Line 14.7 as a % of Line 11.7, Col. 7 15.0 Line 14.7 as a % of Line 11.7, Col. 7 15.0 Line 14.7 as a % of Line 11.7, Col. 7 15.0 Line 14.7 as a % of Line 11.7, Col. 7 15.0 Line 14.7 as a % of Line 11.7, Col. 7 15.0 Line 14.7 as a % of Line 11.7, Col. 7 15.0 Line 14.7 as a % of Line 11.7, Col. 7 15.0 Line 14.7 as a % of Line 11.7, Col. 7 15.0 Line 14.7 as a % of Line 11.7, Col. 7 15.0 Line 14.7 as a % of Line 11.7, Col. 7 15.0 Line 14.7 as a % of Line 14.7 as a % of Line 14.7 as a % of Line 14.7 as a % of Line 14.7 as a % of Line 14.7 as a % of Line 14.7 as a % of Line 14.7 as a % of Line 14.7 as a % of Line 14.7 as a % of Line 14.7 as a % of Line 14.7 as a % of Line 14.7 as a % of Line 14.7 as a % of Line 14.7 as a % of Line 14.7 as a % of Line 14		150 707 700	075 500 004	400 EC4 040	220, 220			1 500 171 044	62.0	1 676 717 000	70.0	1 500 171 044		
13.9 Line 13.7 as a % of Line 11.7, Col. 7, Section 11 39.0 18.7 0.0 63.9 XXX XXX XXX 63.9 XXX 44. Total Privately Placed Bonds 14.1 NAIC 1 14.2 NAIC 2 15, 221, 191 170, 960, 865 77, 866, 932 1, 830, 227 14.3 NAIC 3 14.4 NAIC 4 14.5 NAIC 5 14.5 NAIC 5 14.5 NAIC 5 14.7 Totals 83, 528, 667 570, 646, 436 200, 423, 708 30, 835, 836 30, 835, 836 18, 220, 595 903, 655, 242 36.1 645, 405, 675 27.8 XXX XXX 63.9 XXX XXX 63.9 XXX XXX 63.9 XXX 63.9 XXX 52.8 645, 341, 046 645, 341, 046 645, 341, 046 645, 341, 046 645, 341, 046 645, 341, 046 75, 046, 346 77, 866, 932 77, 866, 932 1, 830, 227 434, 911 256, 314, 126 10.2 206, 318, 975 8.9 XXX XXX XXX XXX XXX XXX XXX										, , ,				
Section 11 Section 12 Section 13 Section 14 Section 14 Section 15 Section 15 Section 16 Section 16 Section 17 Sec		9.0		29.3				100.0	XXX	XXX	XXX	100.0	XXX	
4. Total Privately Placed Bonds		6.1	20.0	10 7	0.0			62.0	VVV	V/V/	V/V/	62.0	V///	
14.1 NAÍC 1 78,307,476 397,685,501 122,556,776 29,005,609 17,785,684 645,341,046 25.8 439,086,699 18.9 XXX 645,341,046 14.2 NAIC 2 5,221,191 170,960,865 77,866,932 1,830,227 434,911 256,314,126 10.2 206,318,975 8.9 XXX 256,314,126 14.3 NAIC 3 2,000,070 2,000,070 0.1 2,000,070 0.1 XXX 2,000,070 14.4 NAIC 4 2,000,070 2,000,070 0.1 XXX XXX 14.5 NAIC 5 XXX XXX XXX 14.7 Totals 83,528,667 570,646,436 200,423,708 30,835,836 18,220,595 903,655,242 36.1 645,405,675 27.8 XXX 903,655,242 14.8 Line 14.7 as a % of Col. 7 9.2 63.1 22.2 3.4 2.0 100.0 XXX XXX XXX XXX XXX 36.1 14.9 Line 14.7 as a % of Line 11.7, Col. 7, Section 11 3.3 22.8 8.0 1.2 0.7 36.1 XXX XXX XXX XXX XXX		0.1	33.0	10.7	0.0			03.9	***	^^^	^^^	03.9	^^^	
14.2 NAIC 2 5,221,191 170,960,865 77,866,932 1,830,227 434,911 256,314,126 10.2 206,318,975 8.9 XXX 256,314,126 14.3 NAIC 3 2,000,070 2,000,070 0.1 XXX 2,000,070 14.4 NAIC 4 XXX XXX 14.5 NAIC 5 XXX 14.6 NAIC 6 XXX 14.7 Totals 83,528,667 570,646,436 200,423,708 30,835,836 18,220,595 903,655,242 36.1 645,405,675 27.8 XXX 14.8 Line 14.7 as a % of Col. 7 9.2 63.1 22.2 3.4 2.0 100.0 XXX XXX XXX XXX 100.0 Section 11 3.3 22.8 8.0 1.2 0.7 36.1 XXX XXX XXX XXX XXX		70 207 476	207 605 504	100 556 776	20 005 600	17 70E COA		645 041 046	25.0	420, 000, 600	10.0	2007	645 041 046	
14.3 NAIC 3 2,000,070 2,000,070 0.1 XXX 2,000,070 14.4 NAIC 4 XXX XXX XXX XXX 14.5 NAIC 5 XXX XXX XXX XXX 14.6 NAIC 6 XXX XXX XXX 14.7 Totals 83,528,667 570,646,436 200,423,708 30,835,836 18,220,595 903,655,242 36.1 645,405,675 27.8 XXX 903,655,244 14.8 Line 14.7 as a % of Col. 7 9.2 63.1 22.2 3.4 2.0 100.0 XXX XXX XXX XXX XXX 100.0 14.9 Line 14.7 as a % of Line 11.7, Col. 7, Section 11 3.3 22.8 8.0 1.2 0.7 36.1 XXX XXX XXX XXX XXX 36.1		, , ,						, ,		, ,				
14.4 NAIC 4 14.5 NAIC 5 14.6 NAIC 6 14.7 Totals 83,528,667 570,646,436 200,423,708 30,835,836 18,220,595 903,655,242 36.1 645,405,675 27.8 XXX 903,655,244 14.8 Line 14.7 as a % of Col. 7 9.2 63.1 22.2 3.4 2.0 100.0 XXX XXX XXX XXX XXX XXX XXX 14.9 Line 14.7 as a % of Line 11.7, Col. 7, Section 11 3.3 22.8 8.0 1.2 0.7 36.1 XXX XXX XXX XXX XXX XXX 36.		5,221,191			1,830,227	434,911				206,318,975	8.9			
14.5 NAIC 5 XXX 14.6 NAIC 6 XXX 14.7 Totals 83,528,667 570,646,436 200,423,708 30,835,836 18,220,595 903,655,242 36.1 645,405,675 27.8 XXX 14.8 Line 14.7 as a % of Col. 7 9.2 63.1 22.2 3.4 2.0 100.0 XXX XXX XXX XXX XXX 100.0 14.9 Line 14.7 as a % of Line 11.7, Col. 7, Section 11 3.3 22.8 8.0 1.2 0.7 36.1 XXX XXX XXX XXX XXX 36.1			2,000,070					2,000,070	0.1				12,000,070	
14.6 NAIC 6 XXX 14.7 Totals 83,528,667 .570,646,436 .200,423,708 .30,835,836 .18,220,595 .903,655,242 .36.1 .645,405,675 .27.8 .XXX .903,655,242 14.8 Line 14.7 as a % of Col. 7 9.2 .63.1 .22.2 .3.4 2.0 .100.0 .XXX .XXX .XXX .XXX .XXX .20 .100.0 14.9 Line 14.7 as a % of Line 11.7, Col. 7, Section 11 3.3 22.8 8.0 1.2 0.7 36.1 .XXX .XXX .XXX .XXX .XXX .XXX .36.1														
14.7 Totals 83,528,667 .570,646,436 .200,423,708 .30,835,836 .18,220,595 .903,655,242 .36.1 .645,405,675 .27.8 .XXX .903,655,242 14.8 Line 14.7 as a % of Col. 7 9.2 .63.1 .22.2 3.4 2.0 .100.0 .XXX .XXX .XXX .XXX .XXX .XXX .XXX .200.0 14.9 Line 14.7 as a % of Line 11.7, Col. 7, Section 11 3.3 .22.8 8.0 1.2 0.7 .36.1 .XXX .XXX .XXX .XXX .XXX .XXX .XXX .36.1														
14.8 Line 14.7 as a % of Col. 7 9.2 63.1 22.2 3.4 2.0 100.0 XXX														
14.9 Line 14.7 as a % of Line 11.7, Col. 7, Section 11 3.3 22.8 8.0 1.2 0.7 36.1 XXX XXX XXX XXX 36.														
Section 11 3.3 22.8 8.0 1.2 0.7 36.1 XXX XXX XXX XXX 36.		9.2	63.1	22.2	3.4	2.0		100.0	XXX	XXX	XXX	XXX	100.0	
		3.3	22.8	8.0	1.2	0.7		36.1	XXX	XXX	XXX	XXX	36.1	



⁽c) Includes \$ ______ prior year of bonds with 6* designations. "5GI" means the NAIC designation was assigned by the

⁽SVO) in reliance on the insurer's certification that the issuer is current in all principal and interest payments. "6*" means the NAIC designation was assigned by the SVO due to inadequate certification of principal and interest payments.

SCHEDULE D - PART 1A - SECTION 2

	N 4-4	wite Distribution of			- PARTIA			l Culphung of loon				
	IVIATU	Inty Distribution of	All Bonds Owned	December 31, a	it Book/Adjusted (Sarrying values	by Major Type and	Subtype of issue	9 9	10	11	12
	'	Over 1 Year	Over 5 Years	Over 10 Years	5	No Maturity	,	Col. 7 as a % of	Total from Col. 7	% From Col. 8	Total Publicly	Total Privately
Distribution by Type	1 Year or Less	Through 5 Years		Through 20 Years	Over 20 Years	Date	Total Current Year	Line 11.08	Prior Year	Prior Year	Traded	Placed
1. U.S. Governments		Ŭ	Ĭ									
1.01 Issuer Obligations	250,245	2,257,465				XXX	2,507,710	0.1	3, 159,848	0.1	2,507,710	
1.02 Residential Mortgage-Backed Securities	81,015	196,272	93,305	33,239		XXX	403,831	0.0	473,497	0.0	403,831	
1.03 Commercial Mortgage-Backed Securities			·	, , , , , , , , , , , , , , , , , , ,		XXX	,		, , , , , , , , , , , , , , , , , , ,		·	
1.04 Other Loan-Backed and Structured Securities						XXX						
1.05 Totals	331.259	2,453,737	93.305	33.239		XXX	2,911,541	0.1	3,633,345	0.2	2.911.541	
2. All Other Governments		=,,		***************************************		7001	=,,,,,,,		2,200,010		_,,,,,,,,	
2.01 Issuer Obligations	209.403	617,580				xxx	826,983	0.0	999,999	0.0		826.983
2.02 Residential Mortgage-Backed Securities	200,400					XXX	020,000					020,000
2.03 Commercial Mortgage-Backed Securities						XXX						
2.04 Other Loan-Backed and Structured Securities						XXX						
2.04 Other Loan-Backed and Structured Securities	209,403	617,580				XXX	826,983	0.0	999,999	0.0		826,983
U.S. States, Territories and Possessions, Guaranteed	209,403	017,300				***	020,903	0.0	999,999	0.0		020,903
						1001						
3.01 Issuer Obligations						XXX						
3.02 Residential Mortgage-Backed Securities						XXX						
3.03 Commercial Mortgage-Backed Securities						XXX						
3.04 Other Loan-Backed and Structured Securities						XXX						
3.05 Totals						XXX						
4. U.S. Political Subdivisions of States, Territories and												
Possessions, Guaranteed												
4.01 Issuer Obligations						XXX						
4.02 Residential Mortgage-Backed Securities						XXX						
4.03 Commercial Mortgage-Backed Securities						XXX						
4.04 Other Loan-Backed and Structured Securities						XXX						
4.05 Totals						XXX						
5. U.S. Special Revenue & Special Assessment Obligations												
etc., Non-Guaranteed												
5.01 Issuer Obligations						XXX						
5.02 Residential Mortgage-Backed Securities	850,271	1,745,570	485,416	178,601		XXX	3,259,859	0.1	4,579,041	0.2	3,259,859	
5.03 Commercial Mortgage-Backed Securities						XXX						
5.04 Other Loan-Backed and Structured Securities	1,024,101	4,106,070	3,370,627			XXX	8,500,798	0.3			8,500,798	
5.05 Totals	1,874,372	5,851,641	3,856,043	178,601		XXX	11,760,657	0.5	4,579,041	0.2	11,760,657	
6. Industrial and Miscellaneous												
6.01 Issuer Obligations	134,993,468	1,231,822,503	536,317,971	282,561		XXX	1,903,416,503	76.1	1,860,759,816	80.1	1,471,459,609	431,956,893
6.02 Residential Mortgage-Backed Securities	2,832,695		4,000,122	5,865,386	2,419,070	XXX	29,808,932	1.2	19,954,403	0.9	1,803,976	28,004,956
6.03 Commercial Mortgage-Backed Securities	7,598,509		17,128,503			XXX	71, 114, 576	2.8	80,245,317	3.5	59,093,583	12,020,993
6.04 Other Loan-Backed and Structured Securities	89,396,664		101, 105, 569	24,806,377	15,801,526	XXX	475,500,953	19.0	345,457,544	14.9	44,655,536	430,845,417
6.05 Totals	234,821,336		658.552.165	30.954.324	18,220,596	XXX	2,479,840,964	99.1	2,306,417,080	99.3	1,577,012,704	902,828,260
7. Hybrid Securities	204,021,000	1,301,232,343	030,332,103	30,334,324	10,220,390	***	2,473,040,304	33.1	2,300,417,000	33.0	1,377,012,704	302,020,200
7.01 Issuer Obligations			6,486,443			XXX	6,486,443	0.3	6,493,593	0.3	6.486.443	
			0,480,443				0,480,443		, 493, 593		0,480,443	
7.02 Residential Mortgage-Backed Securities						XXX						
7.03 Commercial Mortgage-Backed Securities						XXX						
7.04 Other Loan-Backed and Structured Securities	1		0 400 110		-	XXX	0.400.440		0 400 500		0.400.440	
7.05 Totals			6,486,443			XXX	6,486,443	0.3	6,493,593	0.3	6,486,443	
8. Parent, Subsidiaries and Affiliates												
8.01 Issuer Obligations						XXX						
8.02 Residential Mortgage-Backed Securities						XXX						
8.03 Commercial Mortgage-Backed Securities						XXX						
8.04 Other Loan-Backed and Structured Securities						XXX						
8.05 Affiliated Bank Loans - Issued						XXX						
8.06 Affiliated Bank Loans - Acquired						XXX						
8.07 Totals						XXX						

SCHEDULE D - PART 1A - SECTION 2 (Continued)

Maturity Distriction of All Brown Covering Owners 3 at Brown Covering Owners 3 at Brown Covering Owners 3 at Brown Covering Owners 5 at Brown Covering						Г 1A - SECT							
Debtoductor by Type Type				All Bonds Owne	d December 31, a			by Major Type and	Subtype of Issu				
Debtoduction by Type		1	_	3	4	5	-	/	8	•			
3 SVS Intermitted Funds (selembled by the SVC)	Distribution by Type	1 Year or Less				Over 20 Years		Total Current Year					
9.00 Expert Mixtual Frunts Identified by the SVO OX OX OX OX OX OX OX OX OX OX OX OX OX	9. SVO Identified Funds		Ĭ		Ŭ								
9.02 Each Minister Funds searchised by the SVO	9.01 Exchange Traded Funds Identified by the SVO	XXX	XXX	XXX	XXX	XXX							
Sept Columnic Sept Column Col	9.02 Bond Mutual Funds Identified by the SVO		XXX	XXX	XXX	XXX							
10. Unaffillated Bank Learns													
10 Of Unreffixed Bank Learns - Issued 10 OZ Unreffixed Bank Learns - Issued 11 OZ Unreffixed Bank Learns - Issued 12 OZ Unreffixed Bank Learns - Issued 13 OZ Unreffixed Bank Learns - Issued 14 OZ Unreffixed Bank Learns - Issued 15 OZ Unreffixed Bank Learns - I	10 Unaffiliated Bank Loans												
11. Creat Description Service Securities (1.1 Creat Description Service Securities (1.2 Service Securi							XXX						
10.03 Toulis													
11. Total Boards Current Year													
11 of Issuer Obligations 175, 45, 116 124, 467, 548 542, 84, 444 282, 541 102 Resident Mortgage Backed Securities 3 783, 981 16, 833, 971 45, 984, 981, 782 47, 981, 981, 981, 981, 981, 981, 981, 981							7001						
11.02 Readed mail Mortgage-Backed Securities 37,581,681 96,633,591 4,7578 43 6,077.27 2,48 070 000 000 71,14 0,79 2,8 000 000 000 000 000 000 000 000 000 0		135 453 115	1 234 697 548	542 804 414	282 561		XXX	1 913 237 638	76.5	XXX	XXX	1 480 453 762	432 783 876
11 0.0 Commercial Miningage-Backed Socurities 97, 628, 598						2 419 070							
11-04 Other Loan-Backed and Structured Securities													
11.05 SVOI Identified Funds 11.06 A Tilling Bank Loans 11.00 Tilling Bank Bank Bank Bank Bank Bank Bank Bank					24 806 377	15 801 526							
11.09 Affiliated Bank Loans 11.07 Unaffiliated Bank Loans 12.7 Total Bank Bank Loans 12.7 Total Bank Bank Bank Bank Bank Bank Bank Bank													
11.0 To Variafficiated Bank Loans							XXX						
11.08 Totalis 1.08 Totalis 1.0													
11.09 Line 11.08 as a % of Col. 7 9.5 51.8 26.7 1.2 0.7 10.0 XXX XXX XXX 38.9 38.1		237 236 370	1 546 215 500	668 987 956	31 166 165	18 220 596	7001	2 501 826 587	100 0			1 598 171 344	903 655 243
12 Total Bonds Prior Year 12 Of Issuer Obligations 12 455,007 942,247,240 865,025,127 885,861 XXXX													
12.01 Issuer Obligations		0.0	01.0	20.7	1	0.7		100.0	7001	7001	7001	00.0	00.1
1 20 Residential Mortgage-Backed Securities		123 /55 027	942 247 240	805 025 127	685 861		VVV	VVV	VVV	1 871 /13 256	80.6	1 520 ///7 381	3/1 065 87/
12.03 Commercial Mortgage-Backed Securities						1 170 510							
12.04 Other Loan-Backed and Structured Securities													
12.05 SVO Identified Funds													
12.06 Affiliated Bank Loans										, 101, 101	17.3		207 , 111,000
12.07 Undaffillated Bank Loans							YYY						
12.08 Totals													
12.09 Line 12.08 as a % of Col. 9 9.0 49.7 39.7 1.0 0.5 XXX XXX 100.0 XXX 72.2 27.8		200 002 115	1 15/ 877 087	921 509 734	23 110 520	12 624 504	///X			2 322 123 058	100.0	1 676 717 383	645 405 675
13. Total Publicly Traded Bonds													
13.01 Issuer Obligations 126, 691 (0.03) 9.11 956, 594 441, 797, 686		3.0	73.7	00.1	1.0	0.0		///X	////	100.0	7000	12.2	21.0
13.02 Residential Mortgage-Backed Securities		126 601 003	911 965 094	1/11 707 666			VVV	1 480 453 762	50.2	1 520 447 381	65.0	1 480 453 762	VVV
13.03 Commercial Mortgage-Backed Securities 7, 588, 500 34, 366, 571 17, 128, 503 XXX 59, 083, 583 2, 4 61, 203, 545 2, 6 59, 083, 583 XXX 13.05 XV Identified Funds XXX XXX XXX XXX XXX XXX XXX XXX XXX X					330 320								
13.04 Other Loan-Backed and Structured Securities 18,093,515 26,432,756 8,630,063 XXX XXX XXX XXX XXX XXX XXX XXX XXX X													
13.05 SVO Identified Funds													
13.06 Affiliated Bank Loans					YYY	YYY			£				
13.07 Unaffiliated Bank Loans							XXX						
13.08 Totals													
13.09 Line 13.08 as a % of Col. 7 13.10 Line 13.08 as a % of Col. 7 Section 11 4. Total Privately Placed Bonds 14.01 Issuer Obligations 14.02 Residential Mortgage-Backed Securities 14.03 Commercial Mortgage-Backed Securities 14.04 Other Loan-Backed and Structured Securities 14.04 Other Loan-Backed and Structured Securities 14.05 SVO Identified Funds XXX XXX XXX XXX XXX XXX XXX		153 707 703	975 569 064	468 564 248	330 329		,,,,,	1 598 171 344	63.9	1 676 717 383	72 2	1 598 171 344	
13.10 Line 13.08 as a % of Line 11.08, Col. 7, Section 11 14. Total Privately Placed Bonds 14. 01 Issuer Obligations 14. 02 Residential Mortgage-Backed Securities 14. 03 Commercial Mortgage-Backed Securities 14. 04 Other Loan-Backed and Structured Securities 14. 04 Other Loan-Backed and Structured Securities 14. 05 SVO Identified Funds 14. 06 Affiliated Bank Loans 14. 07 Unaffiliated Bank Loans 14. 07 Unaffiliated Bank Loans 15. 0. 0 18. 7 18. 7 19. 0. 0 18. 7 19. 0. 0 18. 7 19. 0. 0 18. 7 19. 0. 0 1			, ,										
Section 11 39.0 18.7 0.0 63.9 XXX XXX XXX 63.9 XXX 14. Total Privately Placed Bonds 14.01 Issuer Obligations 8,762,112 322,732,454 101,006,749 282,561 XXX 432,783,876 17.3 341,965,874 14.7 XXX 432,783,876 14.02 Residential Mortgage-Backed Securities 2,439,304 13,828,857 3,570,826 5,746,898 2,419,070 XXX 28,004,956 1.1 17,286,669 0.7 XXX 28,004,956 14.03 Commercial Mortgage-Backed Securities 12,020,993 XXX 12,020,993 0.5 19,041,772 0.8 XXX 12,020,993 14.04 Other Loan-Backed and Structured Securities 72,327,250 222,064,131 95,846,133 24,806,377 15,801,526 XXX 430,845,417 17.2 267,111,360 11.5 XXX 430,845,417 14.05 SVO Identified Funds XXX 14.07 Unaffiliated Bank Loans XXX								100.0					
14. Total Privately Placed Bonds 8,762,112 322,732,454 101,006,749 282,561 XXX 432,783,876 17.3 341,965,874 14.7 XXX 432,783,876 14.02 Residential Mortgage-Backed Securities 2,439,304 13,828,857 3,570,826 5,746,898 2,419,070 XXX 28,004,956 1.1 17,286,669 0.7 XXX 28,004,956 14.03 Commercial Mortgage-Backed Securities 12,020,993 XXX 12,020,993 0.5 19,041,772 0.8 XXX 12,020,993 14.04 Other Loan-Backed and Structured Securities 72,327,250 222,064,131 95,846,133 24,806,377 15,801,526 XXX 430,845,417 17.2 267,111,360 11.5 XXX 430,845,417 14.05 SVO Identified Funds XXX XXX XXX XXX XXX XXX XXX XXX XXX 14.07 Unaffiliated Bank Loans XXX XXX XXX XXX XXX XXX XXX		6.1	39.0	18.7	0.0			63.9	XXX	XXX	XXX	63.9	XXX
14.01 Issuer Obligations 8,762,112 322,732,454 101,006,749 282,561 XXX 432,783,876 17.3 341,965,874 14.7 XXX 432,783,876 14.02 Residential Mortgage-Backed Securities 2,439,304 13,828,857 3,570,826 5,746,898 2,419,070 XXX 28,004,956 1.1 17,286,669 0.7 XXX 28,004,956 14.03 Commercial Mortgage-Backed Securities 12,020,993 XXX 12,020,993 0.5 19,041,772 0.8 XXX 12,020,993 14.04 Other Loan-Backed and Structured Securities 72,327,250 222,064,131 95,846,133 24,806,377 15,801,526 XXX 430,845,417 17.2 267,111,360 11.5 XXX 14.05 SVO Identified Funds XXX XXX XXX XXX 17.2 267,111,360 11.5 XXX 14.06 Affiliated Bank Loans XXX XXX XXX XXX XXX XXX 14.07 Unaffiliated Bank Loans XXX XXX XXX XXX XXX XXX													
14.02 Residential Mortgage-Backed Securities 2,439,304 13,828,857 3,570,826 5,746,898 2,419,070 XXX 28,004,956 1.1 17,286,669 0.7 XXX 28,004,956 14.03 Commercial Mortgage-Backed Securities 12,020,993 XXX 12,020,993 0.5 19,041,772 0.8 XXX 12,020,993 14.04 Other Loan-Backed and Structured Securities 72,327,250 222,064,131 95,846,133 24,806,377 15,801,526 XXX 430,845,417 17.2 267,111,360 11.5 XXX 430,845,417 14.05 SVO Identified Funds XXX XXX XXX XXX XXX XXX XXX 14.06 Affiliated Bank Loans XXX XXX XXX XXX XXX XXX 14.07 Unaffiliated Bank Loans XXX XXX XXX XXX XXX XXX		8.762.112	322.732.454	101.006.749	282.561		XXX	432.783.876	17.3	341.965.874	14.7	XXX	432.783.876
14.03 Commercial Mortgage-Backed Securities 12,020,993 XXX 12,020,993 0.5 19,041,772 0.8 XXX 12,020,993 14.04 Other Loan-Backed and Structured Securities 72,327,250 222,064,131 95,846,133 24,806,377 15,801,526 XXX 430,845,417 17.2 267,111,360 11.5 XXX 430,845,417 14.05 SVO Identified Funds XXX XXX XXX XXX XXX XXX 14.06 Affiliated Bank Loans XXX XXX XXX XXX XXX 14.07 Unaffiliated Bank Loans XXX XXX XXX XXX XXX						2.419.070							
14.04 Other Loan-Backed and Structured Securities 72,327,250 222,064,131 95,846,133 24,806,377 15,801,526 XXX 430,845,417 17.2 267,111,360 11.5 XXX 430,845,417 14.05 SVO Identified Funds XXX XXX XXX XXX XXX XXX 14.06 Affiliated Bank Loans XXX XXX XXX XXX 14.07 Unaffiliated Bank Loans XXX XXX XXX				, , ,									
14.05 SVO Identified Funds XXX <		72,327,250		95,846,133	24,806,377	15,801,526							
14.06 Affiliated Bank Loans XXX	14.05 SVO Identified Funds							, , , , ,		, ,,,,,			, -,
14.07 Unaffiliated Bank Loans XXX XXX	14.06 Affiliated Bank Loans						XXX						
	14.07 Unaffiliated Bank Loans												
		83.528.667	570.646.436	200.423.708	30.835.836	18.220.596		903.655.243	36.1	645.405.675	27.8		903.655.243
14.09 Line 14.08 as a % of Col. 7 9.2 63.1 22.2 3.4 2.0 100.0 XXX XXX XXX XXX 100.0													
14.10 Line 14.08 as a % of Line 11.08, Col. 7,													
Section 11 3.3 22.8 8.0 1.2 0.7 36.1 XXX XXX XXX XXX 36.1	Section 11	3.3	22.8	8.0	1.2	0.7		36.1	XXX	XXX	XXX	XXX	36.1

Schedule DA - Verification - Short-Term Investments

NONE

Schedule DB - Part A - Verification - Options, Caps, Floors, Collars, Swaps and Forwards

NONE

Schedule DB - Part B - Verification - Futures Contracts

NONE

Schedule DB - Part C - Section 1 - Replication (Synthetic Asset) Transactions (RSATs) Open **N O N E**

Schedule DB-Part C-Section 2-Reconciliation of Replication (Synthetic Asset) Transactions Open **NONE**

Schedule DB - Verification - Book/Adjusted Carrying Value, Fair Value and Potential Exposure of Derivatives

NONE

Schedule E - Part 2 - Verification - Cash Equivalents

NONE

Schedule A - Part 1 - Real Estate Owned **N O N E**

Schedule A - Part 2 - Real Estate Acquired and Additions Made

NONE

Schedule A - Part 3 - Real Estate Disposed

NONE

SCHEDULE B - PART 1

1	2		Location	5	6	7	NED December 3			look Value/Recorde	d Investment		14	15
		3	4	Loan	Date	Rate of	Book Value/Recorded Investment Excluding Accrued	9 Unrealized Valuation Increase	10 Current Year's (Amortization)/	11 Current Year's Other-Than- Temporary Impairment	12 Capitalized Deferred Interest	Total Foreign Exchange Change in	Value of Land and	Date of Last Appraisal or
Loan Number	Code	City ORLANDO	State	Туре	Acquired05/20/2011	Interest 5.950	Interest792,922	(Decrease)	Accretion	Recognized	and Other	Book Value	Buildings 3,171,782	Valuation08/30/2010
2245		LAWRENCEBURG	IN		03/20/2011	6.250								
2246		SANDUSKY	OH		03/10/2011	6.250	524.057							02/28/2011
2286		DELAND	FL		05/27/2011	5.950	855,763							04/08/2011
2316		LA JOLLA	CA		05/24/2011	5.850	958,309							04/06/2011
2502		MILPITAS	CA		12/15/2011	5.550	1,369,562						8,844,628	
3010		BIRMINGHAM	AL		01/09/2012	5.300	1,396,454						3,319,530	
3013		PASADENA	CA		01/17/2012	4.100 5.250	1,528,314 1,102,486						3,833,966 4,435,767	
3087		NOVI	MI		03/14/2012	5.250	749,967						2,135,726	
3101		MERRILLVILLE	IN.		01/31/2012	4.800	345,907						4,672,862	
3391		SAN ANTONIO	TX		08/30/2012	4.900	885,340						2,404,860	
3499		. WHEATON	IL		12/11/2012	4.450	787,598						1,917,894	
3521		. MINNEAPOLIS	MN		03/27/2013	4.620	1,566,891						5,728,788	
3542		CHATSWORTH	CA		01/14/2013	4.550	1, 129, 675						2,725,421	
3552		. WOOSTER CITY	UH		12/27/2012	4.625	1,577,378							10/22/2012
3578 3712		TWINSBURG	OH		02/28/2013	4.750 4.400	934,895 507.663							01/08/2013
3730		NAPERVILLE	II		06/10/2013	4.500	1,558,009							04/10/2013
3731		CHATSWORTH	CA		08/29/2013	5.150	1,836,506							04/25/2013
3752		NORTH RICHLAND HILLS	TX		06/28/2013	4.400								04/30/2013
3764		STOCKTON	CA		07/15/2013	4 . 150	1,195,888						3,359,922	
3858		. CLEVELAND HEIGHTS	OH		11/15/2013	4.900	555,085							05/31/2013
3975		TACOMA			12/06/2013	5. 100	2,653,022						8,146,963	
4064		LOUISVILLE			02/14/2014	4.750	685,304							01/10/2014
4085 4209		WESTLAKE	CAOH.		12/31/2013 05/07/2014	4.980 4.750	1,322,087 1,099,690							12/16/2013 04/07/2014
4249		BOISE	ID		05/07/2014	4.770								04/14/2014
4260		CHULA VISTA	CA		07/31/2014	4.750	1,777,392							04/18/2014
4334		CINCINNATI	OH		08/19/2014	4.440	669,633						1,236,673	
4430		. FRESNO	CA		10/16/2014	4.650	1,357,199							08/18/2014
4481		ADDISON			12/03/2014	4.430	1,448,410						4, 159, 075	
4487		DUPONT			10/29/2014	4.370	994,274							09/30/2014
4549 4607		YOUNGSTOWN	UH		12/19/2014	4.350 4.470							1,382,823	11/26/2014 11/17/2014
4613		SAN BERNARDINO	CA		12/29/2014 01/08/2015	4.700								11/1//2014
4647		DELTONA	FL		02/20/2015	4.530	936.932							01/07/2015
4670		UNION CITY	CA		02/26/2015	4.550	1,245,160							12/26/2014
4679		. SUGAR LAND	TX		02/20/2015	4.360	1, 192,696						2,533,683	01/20/2015
4684		. MISSION VIEJO	CA		03/02/2015	4.300	871,623							01/09/2015
4691		. WALNUT CREEK	CA		04/30/2015	4.450	426,459							01/27/2015
4705		. JACKSONVILLE	NCGA		04/13/2015	4.250	635,968				·		1, 131, 852	03/11/2015
4731 4758		TUCSON	GA		03/30/2015	4.250 4.440	796,602 636,854						1,429,015	02/10/2015
4782		SACRAMENTO	CA CA		03/06/2015	4.150	1,032,953							03/17/2015
4794		ROSEVILLE	MI		04/30/2015	4.300	936,608							03/25/2015
4808		DEER PARK	NY		06/23/2015	4.050	1,289,873						1,984,371	04/27/2015
4819		. BROOKLYN	NY		06/18/2015	4.100	946,809						1,831,545	
4835		SAN ANTONIO			06/01/2015	4.120	632,251						1,461,451	
4838		RIVERSIDE	CA		05/29/2015	4.070	1,160,408				·		4,813,340	
4885 4892		SALT LAKE CITY	ul		06/24/2015	4.000 4.110	952,583						2,204,470 1,809,840	
4912 4912		INGLEWOOD			06/ 19/2015	4.040							1,847,619	
4948		BIRMINGHAM	MI		07/10/2015	4.360	1,160,816						2,457,546	
4953		ATLANTA	GA		07/15/2015	4.190	1,089,354						1,720,663	
4967		. ABERDEEN	NC		06/25/2015	4.420	432,952						1,279,350	06/01/2015
4994		. MIDLAND	TX		08/28/2015	4.290	1,041,678						1,722,683	
4996		LAKE CHARLES	LA		07/29/2015	4.410	611,680						1,250,666	
5002		LUFKIN	IX		07/29/2015	4.470					-		1,250,949	
5013 5082		SACRAMENTO	CA		08/13/2015	4.600 4.360	550 , 177 657 , 275							07/20/2015 10/28/2015
JUUL		IDUNNE LAKE	I #A		10/29/2015	4.300		k					1,402,9/0	10/20/2010

SCHEDULE B - PART 1

1	2		Location		5	6	7	NED December 3 8			look Value/Recorde	ed Investment		14	15
		3		4	Loan	Date	Rate of	Book Value/Recorded Investment Excluding Accrued	9 Unrealized Valuation Increase	10 Current Year's (Amortization)/	11 Current Year's Other-Than- Temporary Impairment	12 Capitalized Deferred Interest	13 Total Foreign Exchange Change in	Value of Land and	Date of Last Appraisal or
Loan Number	Code	City		State	Type	Acquired	Interest	Interest	(Decrease)	Accretion	Recognized	and Other	Book Value	Buildings	Valuation
5113		PFLUGERVILLE	ТХ			12/23/2015	4.580	885,828		-				1,682,800	
5121		WOODSTOCK			·····	10/26/2015	4.260							2,379,758	
5123 5138		MONTGOMERY MIAMI	IL			10/21/2015	4.220 4.300	1,402,324 675,451						2,803,786 941,667	
5144		SANTA FE				11/13/2015	4.220	860,366						1,785,018	
5146		HOUSTON	TX.			11/10/2015	3.940							4,110,765	
5155		GLENVIEW	IL			11/18/2015	4.300	586,654						3,675,807	
5177		BROOKLYN	OH			12/17/2015	4.300	839,701		-					11/09/2015
5178		ROCHESTER	MN			12/11/2015	4.310	1,100,347		-				2,734,899	
5185 5209		SUISUN CITY BELLEVUE	CA		·····	11/13/2015	4.230 4.110	972,651 737,188						2,093,791 1,609,125	
5220		ROCHESTER				12/01/2015	4.200	1,225,150						4,326,813	
5258		BALTIMORE	MD			01/26/2016	4.620	461,794						1,146,999	
5260		AUSTIN	TX			01/20/2016	4.410	915,277						1,805,265	
5264		TALLMADGE	OH,			01/22/2016	4.290	1, 137, 468						2,701,029	
5302		LAS VEGAS	NV			01/26/2016	4.330	648,454							01/04/2016
5328		STERLING HEIGHTS				03/14/2016	4.110 4.230	558,849		-		-			02/18/2016
5366		SAN DIEGO	CA			03/30/2016	4.200			-				1, 173, 296	02/25/2016
5367		ANTIOCH	CA			04/22/2016	3.900	749, 168						1,879,258	
5384		WINSLOW	AZ			05/23/2016	4.100	1,260,632							04/28/2016
5393		LOS ANGELES	CA			04/14/2016	4.150	1,662,254							03/24/2016
5401		ARLINGTON	TX			05/06/2016	3.750	488,651							03/22/2016
5408		TEMPE	AZ			06/03/2016	4.270	757,096							04/11/2016
5445 5463		NEWCASTLE	OK			04/11/2016	4.330 4.750	727,697 807.103		-					03/09/2016
5475		CRAIG	CO			06/21/2016	4.750			-		-			04/14/2016 05/18/2016
5491		KENT				06/21/2016	4.050	1,421,021						5,902,942	
5507		SANTA ANA	CA			06/07/2016	4.440	534,707						2,148,014	
5527		ST GEORGE	UT			07/08/2016	4.170	1,423,195						2,909,362	05/24/2016
5530		ROCKLIN	CA			06/15/2016	4.190	1,290,566						2,890,369	
5531		CUYAHOGA FALLS	OH			07/15/2016	4.210	849,860						3,080,103	
5539 5543		LUMBERTON	IX			09/01/2016	4.130 4.390	3,617,539 1,553,353		-		-		7,990,331	
5558		WICHITA FALLS	TY			06/29/2016	4.430	1, 489, 982		-		-			05/25/2016
5585		TITUSVILLE	FL			08/12/2016	4.300								07/12/2016
5589		REDMOND	WA			08/05/2016	4.060	1,167,262							06/20/2016
5599		PEACHTREE CORNERS	GA			07/15/2016	4.000	766,664						2,972,259	07/06/2016
5611		OAKWOOD	GA			08/25/2016	4.080	604,966							07/31/2016
5637		CLEVELAND	OH			12/09/2016	3.990	1,078,573							09/14/2016
5648 5666		DAVENPORT SIERRA VISTA	IA			09/20/2016	3.850	670,188 1,063,209		-		-			07/29/2016
5668		GRAND PRAIRIE	TY			09/12/2016				-		-			08/10/2016
5678		VENTURA	CA			09/29/2016	3.650	1, 128, 345						2,619,080	
5693		CLARENCE	NY			12/16/2016	3.850	653,359						3, 105, 284	
5718		TUCSON	AZ			09/30/2016	4.050	532,876						919,925	09/15/2016
5720		RENO	NV			11/30/2016	3.880	1, 126, 637		-				9,097,783	
5784		RICHMOND	VA			11/28/2016	3.860	1, 166, 313		-		-		1,694,073	
5818 5827		SEATTLE CHATTANOOGA	WA			12/20/2016 12/21/2016	4.020 4.050	793,867 1,330,372		-		-		3,276,339	
5851		SMYRNA	GA			12/22/2016	4.050	1,559.877		-		-			11/04/2016
5890		TAMPA	FL			03/17/2017									01/30/2017
5903		DENTON	TX			03/20/2018	4.860	753,235							02/02/2018
5909		HAYWARD	CA			03/29/2017	4.520	1,142,363						2,554,791	02/13/2017
5923		NORTH LITTLE ROCK	AR			03/09/2017	4.430	1,429,862				.			01/30/2017
5925		COSTA MESA				03/01/2017	4.460	1,572,872		-					01/27/2017
5959 5976		AUBURN MUSKOGEE	AL			04/10/2017	4.680 4.500	915,650		-		-			03/17/2017
6004		PORTLAND	UK			04/13/2017	4.500	824,213 757,123		-		-			02/27/2017
6005		WESTMINSTER	CU			09/27/2017	4.650					-			07/27/2017
6022		MARIETTA	GΔ			06/09/2017	4 520								04/27/2017

SCHEDULE B - PART 1

Showing All Mortgage Loans OWNED December 31 of Current Year

		1				_	NED December 3	or Current rea				Т		
1	2		cation	5	6	7	8			ook Value/Recorde		40	14	15
Loan Number	Code	- 7	4 State	Loan Type	Date Acquired	Rate of Interest	Book Value/Recorded Investment Excluding Accrued Interest	9 Unrealized Valuation Increase (Decrease)	Current Year's (Amortization)/	11 Current Year's Other-Than- Temporary Impairment Recognized	Capitalized Deferred Interest and Other	13 Total Foreign Exchange Change in Book Value	Value of Land and Buildings	Date of Last Appraisal or Valuation
6028		ROSENBERG	TX		05/24/2017	4.650	989,619						2,882,237	
6029 6067		CARLSBAD	WA	····	05/18/2017 06/19/2017	4.340 4.400							4,276,087 2,092,870	
6072		MASON	OH		06/26/2017	4.460							1,786,907	
6074		GLENDALE	AZ		06/01/2017	4.300	771,403						2,537,903	05/02/2017
6079		AVONDALE	AZ		06/09/2017	4.310	839,733							04/25/2017
6082 6097		CEL INA PEARLAND	тх		07/13/2017 06/09/2017	4.100 4.520	756,584 897,895							06/14/2017 05/10/2017
6108		COLUMBIA	SC.		06/30/2017	4.440	973,914						1,673,059	
6120		CORONA	CA		06/28/2017	3.990	1,311,394						2, 114, 986	
6130 6134		BAKERSFIELD FRESNO	CACA	···	08/01/2017	4.220 4.300	1,326,258 834,366						2,784,028	
6142		FORT MILL	SC		08/17/2017 07/31/2017	4.400	1,664,217				-		2,479,014	06/29/2017
6143		FORT MILL	SC		07/31/2017	4.400								06/22/2017
6144		FORT MILL	SC		07/31/2017	4.400							2,881,241	07/11/2017
6145		FORT MILL	SC		07/31/2017	4.400	355, 180							06/26/2017
6151 6154		BUELLTON	CACA		07/21/2017	4.260 4.490	1,379,480 1,162,690				-		3,931,357	
6156		LAKE FOREST	IL.		07/28/2017	4.230	961,652						3,135,389	
6164		SAN DIEGO	CA		08/14/2017	4.400	1,015,535						2,643,986	07/12/2017
6170		PETERSBURG	VA WΔ		08/28/2017	4.340	834,803				-			07/30/2017
6174 6179		KIRKLAND	WA		01/31/2018 08/11/2017	4.600 4.310	796,044				-			12/27/2017
6182		NACOGDOCHES	TX		09/14/2017	4.290	924,243							07/21/2017
6183		OAKLAND PARK	FL		08/15/2017	4.290	922, 108							07/21/2017
6191		BROWNSVILLE			09/19/2017	4.520	1,210,333				-			08/01/2017
6208 6223		SEVERNA PARK SHENANDOAH	MD		11/21/2017	4.500 4.100	837,397 1,312,828						1,370,069 2,586,256	
6227		CARROLLTON	TX		11/22/2017	4.480	1,306,931				-			09/11/2017
6239		OLDTOWN	ID		10/13/2017	4.440								06/30/2017
6241		LOS ANGELES	CA		10/12/2017	4.670	719,716							08/14/2017
6247 6265		AVON ORLAND PARK	OH	···	11/22/201704/19/2018	4.480 4.990	966,479 841,328							09/13/2017
6292		YUMA	AZ		11/15/2017	4.350	1,297,726				-			09/12/2017
6307		ONTARIO	CA		11/15/2017	4.220	1,212,458						2,797,622	09/25/2017
6312		RAPID CITY	SD.	····	10/26/2017	4.210							2,242,222	
6317 6334		PITTSBURGH	PA		11/20/2017 12/05/2017	4.380 4.180	944,695						1,419,351 2,388,224	
6338		PAYSON	AZ.		12/03/2017	4.190							1,211,290	
6342		BOONE	NC		11/28/2017	4.470	1,037,134						1,770,893	11/07/2017
6345		ANDERSON	IN		12/19/2017	4.320	1,014,402						4,421,597	
6347 6364		LUDINGTON	MI		12/15/2017 12/28/2017	4.450 4.460	916,031 978.751				-		1,714,286	11/15/2017
6366		HAMMOND	IN.		12/26/2017	4.420	1,412,894				-		2,468,881	
6369		FOSTORIA	OH		12/15/2017	4.590	959,578						1,597,715	11/17/2017
6371		COMMERCE CITY	00		12/21/2017	4.700	905,730							11/27/2017
6379 6382		AVON	OH		12/27/2017 12/27/2017	4.730 4.280	1,728,893 1,374,315							11/20/2017
6394		LIBERTY	MO		03/16/2018	4.500	1,325,143							12/07/2017
6396		RICHMOND	IN		12/13/2017	4.360	894,355							11/18/2017
6401		FREEPORT			12/28/2017	4.390	1,248,228	ļ			-		2, 108, 786	
6417 6418		RICHARDSON	TXNY		02/08/2018	4.440 4.570	2,054,871 680,555				-		2,939,606 1,050,800	
6433		GARY	IN.		02/02/2018	4.960	940,404				-		1,519,484	
6449		AUSTIN	TX		01/31/2018	4.330	1,166,331						2,233,732	01/10/2018
6451		TYLER	TX		02/06/2018	4.530					-		2,002,323	
6452 6455		GAINESVILLE	FL		03/20/2018	4.860 4.620	894,467 819,679				-		1,442,325	03/07/2018
6467		WOODHAVEN	MI		03/02/2018	4.620	1,688,961	<u> </u>			-			01/22/2018
6471		EAST PEORIA	IL		02/02/2018	4.510	1, 133, 528						1,883,571	01/23/2018
6476		COMPTON	CA		02/27/2018	4.710								02/02/2018

SCHEDULE B - PART 1 Showing All Mortgage Loans OWNED December 31 of Current Year

		Showing All Mortgage Loans OWNED December 31 of Current Year												
1	2		Location	5	6	7	8	Change in Book Value/Recorded Investment					14	15
		3	4	Loan	Date	Rate of	Book Value/Recorded Investment Excluding Accrued	9 Unrealized Valuation Increase	10 Current Year's (Amortization)/	11 Current Year's Other-Than- Temporary Impairment	12 Capitalized Deferred Interest	13 Total Foreign Exchange Change in	Value of Land and	Date of Last Appraisal or
Loan Number	Cod		State	Туре	Acquired	Interest	Interest	(Decrease)	Accretion	Recognized	and Other	Book Value	Buildings	Valuation
6480		LAS VEGAS	NV		02/07/2018	4.600					-		3, 197, 131	
6509 6525		29 PALMS	FL		03/22/2018	4.780 5.000	2,352,269 550.142				-			02/26/2018 .
6542		TUCSON	AZ		04/25/2018	4.860	1,651,103							03/14/2018 .
6551		SAN DIEGO	CA		06/06/2018	5.000	1,106,913						2,512,402	
6558		AMHERST	NY		05/11/2018	4.890	703,818							03/16/2018 .
6563		PORTLAND	OR		05/16/2018	4.860	921,301							04/02/2018 .
6572 6584		GREENVILLE	NY		05/16/2018	5.090 4.960	890,287 1,131,364				-		1,753,231	03/30/2018 .
6593		ORANGE	CA		05/29/2018	4.920	1,057,272							04/05/2018 .
6594		FORT HANCOCK	TX		05/01/2018	4.900	1,415,691							04/13/2018 .
6608		GREENSBORO	NC		05/09/2018	4.760	1,736,695						3,241,467	
6618		YOUNGSTOWN	OH		06/11/2018	4.840	429, 145				-		1,353,000	
6619		HURON	OH		06/11/2018	4.840	429, 145						1,303,000	
6627 6645		TUCSON	AZ		05/29/2018 06/08/2018	4.990 4.770							1, 191, 934 3, 136, 695	
6654		SHOREL INE	WA		06/01/2018	4.710	1,416,447						2,008,801	
6657		ROSEBURG	OR		07/09/2018	4.730	1,920,429							05/16/2018 .
6709		HOUSTON	TX		11/05/2018		482,085							09/11/2018 .
6722		PENSACOLA	FL		07/05/2018	5. 160	889,349						1,590,053	
6730		LYNNWOOD	WA		08/10/2018	5.030	1,113,756				-			07/02/2018 .
6753 6759		MIDLAND			07/26/2018	5.010 4.880	872,301 976.241							07/02/2018 .
6769		WILIMINGTON	 DF		10/16/2018	5.000	1,029,641						1,803,857	
6798		NAPLES	FL.		10/05/2018	5.010	1, 187, 535							08/10/2018
6803		KNOXVILLE	TN		09/14/2018	4.950	807,402						1,529,429	08/14/2018 .
6804		MOBILE	AL		09/26/2018	5.010	606,715							08/03/2018 .
6816		FORT WORTH	TX		10/04/2018	5.050							1,564,900	
6823 6828		SMYRNA SAFETY HARBOR	FL		11/09/2018 10/16/2018	4.850 5.000	935,021 860,266						2,653,641 1,976,800	
6829		PORT RICHEY	FI		10/16/2018	5.000	860,266						2,049,352	
6844		COLORADO SPRINGS	CO.		10/30/2018	4.880	1,809,660						3,387,738	
6848		MEMPHIS	TN		10/03/2018	5.030	1,297,684							08/22/2018 .
6849		TUCSON	AZ		09/28/2018	4.910	1,644,634				-			08/13/2018 .
6866		HOUSTON			10/17/2018	4.760	899,896						1,681,526	
6873 6875		SUNNYVALE ROUND ROCK	CA		11/06/2018	4.810 4.950	1,547,756 550,511						7, 126, 838	09/26/201810/25/2018 .
6879		STAMFORD			11/109/2018	5.330	416,551				-		7,157,637	
6897		JACKSONVILLE	FL		11/26/2018		1,391,069						2,626,322	
6901		MILWAUKEE	WI		10/24/2018	4.940	2,388,194							09/18/2018 .
6902		MOUNTAIN VIEW	CA		11/06/2018	4.940	2,367,973						3,366,948	
6919		CINCINNATI	OH		12/03/2018	4.900	1,054,884						1,922,395	
6925 6932		PORTLAND	OR		11/09/2018	5.000 5.160	1,911,703						2,778,064 966,400	
6937		NORTH LAS VEGAS	NV		12/05/2018 12/13/2018	5.050	1,360,362				-		2,754,648	
6941		TEMPLE	TX		12/14/2018	5.130	824,431						1,329,231	
6948		UNIVERSITY CITY	MO		01/02/2019	4.940	2,290,979						3,619,253	
6959		WESTMINSTER	MD		11/29/2018	5.020	756,478						1,661,862	
5984		OCEANSIDE	CA		12/11/2018	4.770	1, 147, 599						2,022,223	
6993		TOLEDO	OH		12/13/2018	5.290		}		-				11/19/2018
7005 7076		COPTAGUE	NY		01/08/2019	5.040	2,740,592 973,618				-		5, 178, 263 3, 853, 996	
7076		CORONA	CA	···	03/29/2019	4.390		· · · · · · · · · · · · · · · · · · ·			-		1,592,108	
7104		WOODINVILLE	WA		04/15/2019	4.050	2,601,968						5,235,387	
7126		SCRANTON	PA		05/17/2019	4.430	1,059,935							03/26/2019
7145		SCOTTSDALE	AZ		04/18/2019	4.460	1,091,514						2,324,035	02/27/2019
7157		BAKERSFIELD	CA		05/23/2019	4.150	1,618,852						24,773,786	03/18/2019
7175		PHOENIX	AZ		04/29/2019	4.890	436 , 150	ļ			-			03/29/2019
7204		Alpharetta	GA		08/01/2019	4.250	3,085,055	f		-	-			06/14/2019
7235		FAIRLAWN			05/31/2019	4.410	1,418,348				-		2,535,707	04/24/2019

SCHEDULE B - PART 1

1	2		Location		5	6	gage Loans OWI 7 Rate of	8		14	15				
		3	4	4	Loan			Book Value/Recorded Investment Excluding Accrued	9 Unrealized Valuation Increase	10 Current Year's (Amortization)/	11 Current Year's Other-Than- Temporary Impairment	Capitalized Deferred Interest	13 Total Foreign Exchange Change in	Value of Land and	Date of Last Appraisal or
Loan Number	Code	City		State	Type	Acquired	Interest	Interest	(Decrease)	` Accretion ´	Recognized	and Other	Book Value	Buildings	Valuation
247		FOLSOM	CA			06/18/2019	4.370	1,001,608			Ü			1,494,148	
7256		Evanston	IL			07/30/2019	4.330	1,243,859						3,200,000	
7273		STUDIO CITY	CA			07/16/2019	4.100	1,937,056						6,690,000	
7299 7304		Austin	IX			08/21/2019	4.300 3.950	2,015,566 1,742,162		-					06/16/2019 06/17/2019
7305		Chicago Ocala	FI			09/16/2019	4.250			-					07/24/2019
7308		Union Gap	WA			08/08/2019	4.000	3,492,735						8,910,000	
7309		Toppenish	WA			08/08/2019	4.060	631, 189							06/05/2019
7312		Midland	TX			08/23/2019	4.000	1,455,201		-				2,630,000	
7322 7327		Hicksville	NYNV			10/28/2019 08/16/2019	4.100 4.000	1,949,121 2,298,009		-				4,800,000 4,250,000	
7339		Las Vegas Westminster	CA			08/10/2019	3.800	1,406,787							
7350		Tallahassee				09/16/2019	4.150			-				2,500,000	
7358		Uvalde	TX			08/23/2019	4.120	3,834,670						7,640,000	
7368		San Jose	CA			09/20/2019	4.110	1,809,027							07/30/2019
7406		San Diego	CA			11/08/2019	3.850	5,398,491		-					08/28/2019
7433 7434		Los Angeles	CA			10/16/2019	3.800 4.250	4,867,375 487,383		-				10,830,000	08/21/2019
7476		Alameda	CA			10/18/2019	3.540	2,236,765		-				3,600,000	
7480		Midland	TX			11/08/2019	3.700							6,010,000	
7484		Las Vegas	NV			11/01/2019	3.750	1,057,759						12,530,000	
7493		Davis	CA			10/21/2019	3.870	732,813							09/11/2019
7511		Las Vegas				10/03/2019	3.880	2, 186, 334							08/12/2019
7518		Port Arthur	TX		·····	12/04/2019	4.000 3.550	1,377,252 1,450,000						2,540,000	
7539 7560		Gresham North Las Vegas	NV			11/12/2019 12/19/2019	3.760	1,450,000		-				3,200,000	10/10/2019 11/19/2019
7570		Surprise	A7			01/21/2020	3.830			-				2,710,000	
7577		Bakersfield	CA.			01/23/2020	3.750	1,590,178						4,100,000	
7578		Rancho Mirage	CA			01/23/2020	3.750	1,292,019						4,350,000	
7583		Valencia	CA			12/30/2019	3.800	4,916,578						9,000,000	
7589		Richardson	TX		·····	02/25/2020	3.970	2, 160, 805						5,700,000	
7593 7597		Mesa ROSEHILL	AZ			12/17/201902/07/2020	3.800 3.980	3, 103, 966		-				5,300,000 6,020,000	
7603		Los Angeles	CA			01/14/2020	3.960	1,000,339		-				4,220,000	
7611		Lebanon	OH			12/30/2019		1.889.887						2,880,000	
7623		Prairie Village	KS.			01/15/2020	3.820	1,959,500						3,450,000	
7630		Lincoln	NE			01/17/2020	3.350	3,715,370						19,200,000	
7635		BURBANK	CA			01/30/2020	3.450	4,432,410		-				9,440,000	
7638 7639		Reynoldsburg	OH			01/31/2020	3.770	1,670,772 1,567,190		-				2,940,000	
7642		Chicago Upland			······	01/28/2020	3.730 3.800	1,963,506						3,220,000	11/20/2019 12/07/2019
7644		Lakeland				01/30/2020	3.450								12/07/2019
7649		Kirkwood	MO.			01/15/2020	3.910	1,555,282						2,510,000	
7651		Midland	TX			03/11/2020	3.750	8,034,412						14,410,000	01/13/2020
7664		Plainfield	CT			02/07/2020	4.150	945,067						1,990,000	
7665		Rifle				03/31/2020	3.830	836,293		-		-		1,350,000	
7667 7669		Fulda Concord				01/31/2020	4.150 3.490	610,070 8,294,219		-				1,300,000 21,500,000	
7675		Ravenna	UA			02/12/2020	3.490	8,294,219		-				21,500,000	
7684		Tempe	AZ			02/12/2020	3.570	1,287,769						2,800,000	
7707		Massillon	OH			04/02/2020	3.950	2,320,454							02/05/2020
7714		McKinney	TX			03/04/2020	3.480	2,280,639						3,750,000	02/07/2020
7717		Henderson	NV			03/27/2020	3.620	4,332,540						7,600,000	
7743		Elko	N.			06/01/2020	3.130	5,543,245		-				18,530,000	
753		Houston	TX			03/27/2020	3.990	1, 124,594 6,893,796		-		-		1,800,000	
7756 7759		Seattle Seattle				05/04/2020	3.300			-					
7781		Orem	UT			05/08/2020	3.600	1,081,041							03/11/2020
7787		Brunswick	GA			06/26/2020	3.600								04/01/2020
7792		Portland	OR			06/04/2020	3.500	2,080,025							03/17/2020
7801	1	Great Falls	MT		1	06/08/2020	3 700	666 941	I					1 420 000	03/26/2020

SCHEDULE B - PART 1

Showing All Mortgage Loans OWNED December 31 of Current Year

1	2		Location	5	6	7	8	I		Book Value/Recorde	nd Invoctment		14	15
'	2	3	Location	— [՝]	0	′	o Book	9	10	11	12	13	14	ı
		3	4				Value/Recorded	9	10	Current Year's	12	13		Date of
							Investment	Unrealized		Other-Than-		Total Foreign		Last
							Excluding	Valuation	Current Year's	Temporary	Capitalized	Exchange	Value of	
				Loon	Date	Rate of	Accrued	Increase	(Amortization)/	Impairment	Deferred Interest	Change in	Land and	Appraisal
Laan Number	Codo	O:t-	Ct-t-	Loan							and Other	Book Value	Buildings	Or Valuation
Loan Number	Code	City North Miami Beach	State	Туре	Acquired04/30/2020	Interest	Interest	(Decrease)	Accretion	Recognized	and Other	BOOK Value		Valuation 03/13/2020
7802 7805		Portland			04/30/2020	3.450	1,378,746 1,390,931			-	-		2,230,000 4,810,000	
7811		Or Lando	FL		06/01/2020	2.950	2,268,650							
7833		Davie	FL.		05/29/2020	3.500	1,768,636							03/19/2020
7853		Eagle Point	OR		11/09/2020	2.990	1, 247, 193							09/08/2020
7869		Syracuse	NY		06/30/2020	3.800	1, 187, 912							04/16/2020
7876		Tinley ParkPhiladelphia	IL		07/10/2020	3.900	3,560,102 600,000						6,300,000	
7886		Pennsauken	N.I		12/08/2020 08/12/2020	3.630	1,234,248			-				06/29/2020
7914		Dallas	TX		08/28/2020	2.950	1,233,371							06/25/2020
7915		Lumberton	TX		08/10/2020	3.500	.745,808							06/25/2020
7920		Newark	DE		09/21/2020	3.170	1,726,764						3,550,000	06/29/2020
7931		Graham	NC		09/04/2020		1,226,614							07/08/2020
7938		Memphis	TN		08/31/2020	3.000	1,450,155			-	-			07/14/2020
7941 7945		Austin Milwaukee			08/05/2020 11/04/2020	3.470	1,283,407 7,815,497			-	-			07/10/2020
7955		Elizabeth	CO.		11/04/2020	3.470			-	-	-			07/23/2020
7963		East Hartford	CT		10/00/2020	3.140	4,539,996							07/30/2020
7964		Lakewood	CO.		09/30/2020	3.140	1,615,238						2,975,000	08/05/2020
7973		Ogden			10/20/2020	2.970	998,275						2, 180,000	09/08/2020
7992		San Antonio	TX		10/12/2020	2.850	1,996,479							08/31/2020
7993		Salt Lake City	UT		09/24/2020	2.850	1,295,417			-	-			08/25/2020
8002 8013		Warner Robins			11/06/2020 09/25/2020	3.400 2.910	3,798,480 3,583,633				-			08/23/2020
8013		Phoenix Chandler			09/25/2020	2.910	1,891,362							08/05/2020
8021		Millsboro	DE		10/05/2020	2.850	7,391,697							08/17/2020
8023		Georgetown	TX.		11/24/2020		1,750,000						3,790,000	
8031		San Antonio	TX		11/05/2020	2.990	3,991,019						6,640,000	08/28/2020
8049		Findlay	ОН		10/30/2020	3.060	2,743,886							09/21/2020
8067		Farmington HIIIs			12/09/2020	3.010	5,000,000		-	-	-		16, 160,000	
8084 8097		Seattle			12/08/2020 11/12/2020		3,300,000			-	-		6,770,000 3,310,000	10/02/2020
8109		Atlanta			12/14/2020	3.250	900,000							10/ 16/2020
8118		Round Rock	TX		12/14/2020	2.970	1,100,000						1,800,000	11/12/2020
8126		Tucson	AZ		12/18/2020	2.820	2,350,000						5,030,000	10/28/2020
8158		Chandler	AZ		12/31/2020		2,000,000						3,800,000	
					↓						-			
 I		-			 	+			-					
					†	·			-	-	-			
					†	1					-			
					I									
														
0599999. Mortgages in	n good sta	anding - Commercial mortgag	es-all other				494,643,128						1, 197, 166, 035	XXX
0899999. Total Mortga							494,643,128						1, 197, 166, 035	XXX
1699999. Total - Restr	uctured M	/lortgages												XXX
		overdue interest over 90 day	ys .											XXX
		ne process of foreclosure												XXX
3399999 - Totals		•					494,643,128						1, 197, 166, 035	XXX
Conoral Interrogators							101,010,120	1	1	1	1		1, 107, 100,000	,,,,,

General Interrogatory:

1.	Mortgages in good standing \$	unpaid taxes \$	interest due and unpaid.
2	Dootrustured mortages ¢	unnaid tayon C	interest due and unneid

Restructured mortgages \$ unpaid taxes \$ interest due and unpaid.
 Mortgages with overdue interest over 90 days not in process of foreclosure \$ unpaid taxes \$ interest due and unpaid.
 Mortgages in process of foreclosure \$ unpaid taxes \$ interest due and unpaid.

SCHEDULE B - PART 2

1	Location	All Mortgage Loans ACC	4	5	6	7	8	9
1				5	б	/		9
	2	3					Additional	
			Loan			Actual Cost at	Investment Made	Value of Land
Loan Number	City	State	Type	Date Acquired	Rate of Interest	Time of Acquisition	After Acquisition	and Buildings
25	COSTA MESA	CA	7.	03/01/2017	4.460	'		4 491
26	SCRANTON	PA.		05/17/2019	4.430		35,250	.4,491
70	Surprise	AZ		01/21/2020	3.830	1,040,000		2,710
77	Bakersfield	CA.		01/23/2020	3.750	1,600,000		4 100
78	Rancho Mirage	CA		.01/23/2020	3.750	1,300,000		4, 100 4, 350
39	Richardson	TX		02/25/2020	3.970	2,200,000		
97	ROSEHILL	CA			3.980			
				02/07/2020		1,700,000		6,020
03	Los Angeles	CA			3.960	1, 100,000		4,221
3	Prairie Village	KS		01/15/2020	3.820	2,000,000		3,45
0	Lincoln	NE		01/17/2020	3.350	4,000,000		19,20
5	BURBANK	CA		01/30/2020	3.450	4,500,000		9,44
8	Reynoldsburg	OH		01/31/2020	3.770	1,700,000		2,94
9	Chicago	IL			3.730	1,600,000		3,22
2	Upland	CA			3.800	2,000,000		7,50
4	Lakeland	FL		01/30/2020	3.450	4,500,000		15,50
9	Kirkwood	MO.		01/15/2020	3.910	1,600,000		2,51
1	Midland	TX.		03/11/2020	3.750			14,41
4	Plainfield	CT.		02/07/2020	4.150	981,000		1,99
5	Rifle	CO	****	03/31/2020	3.830	850,000		
57	Fulda	MN			4.150			
								1,30
9	. Concord	CA			3.490			21,50
5	Ravenna	OH.		02/12/2020	3.910	1,300,000		2, 10
4	Tempe	AZ		02/13/2020	3.570	1,312,500		2,80
7	Massillon	OH		04/02/2020	3.950	2,500,000		4,63 3,75
1	McKinney	TX		03/04/2020	3.480	2,325,000		3,75
7	Hender son	NV			3.620	3,800,000		
3	Elko	NV		06/01/2020	3.130	5,600,000		18,53
3	Houston	TX		03/27/2020	3.990	1, 150,000		1,80
i6	Seattle	WA.		05/04/2020	3,300	7,000,000		13, 10
9	Seattle	WA.		05/04/2020	3.300	11,000,000		
5 11	Orem	UT.			3.600	1,100,000		1,80
17		GA						
	Brunswick	OR			3.600			
92	Portland							4,97
01	Great Falls	<u>M</u> T			3.700			1,42
12	North Miami Beach			04/30/2020	3.450		50,000	2,23
15	Portland	OR		08/03/2020	3.360	1,400,000		4,81
1	0rlando	FL			2.950	2,300,000		3,50
3	Davie	FL		05/29/2020	3.500	1,800,000		3,77
3	Eagle Point	OR		11/09/2020	2.990	1,250,000 [2,65
9	Syracuse	NY			3.800	1,200,000		2,30
6	Tinley Park	IL		07/10/2020	3.900	3,600,000		
6	Philadelphia .	PA.		12/08/2020	3.850			1,50
6	Pennsauken	NJ.			3.630	1,250,000		2.80
4	Dallas	TX		08/28/2020	2.950	1,250,000		4,80
5	Lumberton	TX	···		3.500			4,78
	Newark	DE	····		3.170			
01				00/04/2020	3.510	1,250,000		3,50
l }	Graham	NC						2,8
	. Memphis	TN		08/31/2020	3.000			2,4
	. Austin	TX		08/05/2020	3.470	1,300,000		2,6
	. Milwaukee	WI		11/04/2020	3.030			12,20
i	Elizabeth	C0		10/06/2020	3.470	2,300,000		4,2
	East Hartford	CT		10/29/2020	3.140	4,550,000		7,8
	Lakewood			09/30/2020	3.140	1,625,000		2,9
	Ogden			10/20/2020	2.970	1,000,000		2, 1
	San Antonio	TX		10/15/2020	2.850	2,000,000		5,00
	Salt Lake City	UT.		09/24/2020	2.850	1,300,000		5,00
	Warner Robins	GA.		11/06/2020	3.400	3,820,000		6,4
	Phoenix	AZ			2.910	3,600,000		6,9
	Chandler	AZ		.09/25/2020	2.910	1,900,000		
	Millsboro	DE			2.850	7,500,000		
				10/05/2020				
	Georgetown	TX	···	11/24/2020	3.300	1,750,000		3,79
	San Antonio	TX		11/05/2020	2.990	4,000,000		6,64
	. Findlay	OH		10/30/2020	3.060			5,55
,	Farmington HIIIs	MI		12/09/2020	3.010	5,000,000		16, 16 6,77
4	Seattle	WA	1	12/08/2020	2,600	3.300.000		6 77

SCHEDULE B - PART 2

Showing All Mortgage Loans ACQUIRED AND ADDITIONS MADE During the Current Year

1	Location		4	5	6	7	8	9
	2	3					Additional	
			Loan			Actual Cost at	Investment Made	Value of Land
Loan Number	City	State	Type	Date Acquired	Rate of Interest	Time of Acquisition	After Acquisition	and Buildings
8097	Melbourne	FL		11/12/2020	3.250	1,300,000		3,310,000
8109	At lanta	GA		12/14/2020	3.120	900,000		1,900,000
8118	Round Rock	TX		12/23/2020	2.970	1,100,000		1,800,000
8126	Tucson	AZ		12/18/2020	2.820	2,350,000		5,030,000
8158	Chandler	AZ		12/31/2020		2,000,000		3,800,000
0599999. Mortgages in good sta	anding - Commercial mortgages-all other					175,519,500	1,235,250	401,529,138
0899999. Total Mortgages in go	ood standing					175,519,500	1,235,250	401,529,138
1699999. Total - Restructured M	Mortgages							
2499999. Total - Mortgages with	h overdue interest over 90 days							
3299999. Total - Mortgages in th	he process of foreclosure							
3399999 - Totals						175,519,500	1,235,250	401,529,138

1	Locat	tion	4	5	All Mortgage	7	JULD, ITAII		e in Book Value				14	15	16	17	18
'	2	3	4	3	0	Book Value/ Recorded Investment Excluding Accrued	8 Unrealized Valuation	9 Current Year's	10 Current Year's Other- Than- Temporary	11 Capitalized Deferred	12 Total Change in	13 Total Foreign Exchange	Book Value/ Recorded Investment Excluding Accrued	15	Foreign Exchange Gain	Realized Gain	Total Gain
			Loan	Date	Disposal	Interest	Increase	(Amortization)	Impairment	Interest and	Book Value	Change in	Interest on	Consid-	(Loss) on	(Loss) on	(Loss) on
Loan Number	City	State	Туре	Acquired	Date	Prior Year	(Decrease)	/Accretion	Recognized	Other	(8+9-10+11)	Book Value	Disposal	eration	Disposal	Disposal	Disposal
2052	PLANO	TX		08/02/2010	07/06/2020	1,197,302								1,197,302			
2148	ALBUQUERQUE			12/15/2010 12/21/2012	11/30/2020 09/21/2020	918,816 1,159,678								918,816 1,159,678			
4198	ONTARIO	OH.		06/17/2014	10/23/2020												
4708	ORLANDO	FL.		03/10/2015	03/12/2020	486,148								486,148			
5622	WATAUGA	TX		09/29/2016	07/07/2020	921,343								921,343			
6206	SAN FRANCISCO	CA		09/15/2017	08/14/2020	1,423,618								1,423,618			
6245	NORTH LOGAN	UT		09/27/2017	12/09/2020	950,308								950,308			
6259	BAKERSFIELD	CA		10/10/2017	04/21/2020	784,371								784,371			
7274	Garden Grove	CA		07/30/201908/15/2019	12/22/2020	1,789,574								1,789,574 1,919,643			
1040			-	00/ 13/2018	12/ 11/2020	1,919,043								1,919,043			
			1														
0199999. Mortgages of						12, 135, 451								12,135,451			
2124	ORLANDO	FL		05/20/2011		815,473								22,550			
2245	LAWRENCEBURG	IN		03/10/2011		469,938		-						62,426			
2246	SANDUSKY	QH		03/10/2011		604,304								80,247			
2286	DELAND LA JOLLA	FL	·-	05/27/201105/24/2011				-						32,654 24,511			
2502	MILPITAS	CA.		12/15/2011		1,456,672								87,110			
3010	BIRMINGHAM	Al		01/09/2012		1,427,123								30,669			
3013	PERRIS	CA		01/17/2012		1,593,167								64,853			
3042	PASADENA	CA		03/14/2012		1,144,077								41,592			
3087	NOV I	MI		01/10/2012		798, 157								48, 190			
3101	MERRILLVILLE	IN		01/31/2012		517,478								171,571			
3391 3499	SAN ANTONIO	TXIL		08/30/2012		904,580								19,240			
3521	MINNEAPOLIS	INN		12/11/2012										35,921 99,592			
3542	CHATSWORTH	CA.		01/14/2013		1,172,750								43,075			
3552	WOOSTER CITY	OH.		12/27/2012		1,617,555								40,177			
3578	TWINSBURG	OH		02/28/2013		989,875								54,980			
3712	LAS VEGAS	NV		03/28/2013		611,721								104,058			
3730	NAPERVILLE	IL		06/10/2013		1,614,902								56,893			
3731	CHATSWORTH	CA		08/29/2013		1,898,265 307,241								61,760			
3752 3764	STOCKTON	TX		06/28/2013 07/15/2013										80,945 70,339			
3858	CLEVELAND HEIGHTS	OH.		11/15/2013				-						24,475			
3975	TACOMA	WA		12/06/2013		2,911,712								258,690			
4064	LOUISVILLE			02/14/2014		721,604								36,299			
4085	ONTARIO	CA		12/31/2013		1,451,743		.						129,656			
4209	WESTLAKE	OH		05/07/2014		1, 156, 487								56,797			
4249 4260	BOISE CHULA VISTA	ID		05/07/2014		934,345								29,771			
4334	CINCINNATI	CAOH	-	07/31/2014 08/19/2014		1,817,131 742,333								39,739			
4430	FRESNO	CA		10/16/2014		1,425,010		-						67,811			
4481	ADDISON	IL.		12/03/2014		1,495,684								47,274			
4487	DUPONT	WA		10/29/2014		1,027,371								33,097			
4549	YOUNGSTOWN	OH		12/19/2014		703,747		.						33,762			
4607	SAN MARCOS	CA		12/29/2014				-						53,672			ļ
4613	SAN BERNARDINO	CA		01/08/2015		375,384								29,502			
4647 4670	DELTONA	FL		02/20/201502/26/2015		1,016,695 1,284,732		-						79,764			
4679	SUGAR LAND		-	02/26/2015		1,284,732											
4684	MISSION VIEJO			03/02/2015				-						28,504			
4691	WALNUT CREEK	CA.		04/30/2015		479,358											
4705	JACKSONVILLE	NC		04/13/2015		667,289								31,321			
4731	ATLANTA	GA		03/30/2015		836, 139								39,536			ļ
4750	THOOGN	17	1	0E /00 /004E		COO FOO								E0 70E			

1	Loca	ation	4	5	All Mortgage	7	JOED, Hall		e in Book Value				14	15	16	17	10
'	2	3	_ 4	5	0	Book Value/ Recorded Investment Excluding	8 Unrealized	9 Current	10 Current Year's Other- Than-	11 Capitalized	12 Total Change	13 Total Foreign	Book Value/ Recorded Investment Excluding	15	16 Foreign Exchange	Realized	18 Total
Loan Number	City	State	Loan Type	Date Acquired	Disposal Date	Accrued Interest Prior Year	Valuation Increase (Decrease)	Year's (Amortization) /Accretion	Temporary Impairment Recognized	Deferred Interest and Other	in Book Value (8+9-10+11)	Exchange Change in Book Value	Accrued Interest on Disposal	Consid- eration	Gain (Loss) on Disposal	Gain (Loss) on Disposal	Gain (Loss) on Disposal
4782	SACRAMENTO	CA	Турс	04/17/2015	Dute	1,120,806	(Decrease)	Accietion	rtecognized	Other	(019-10111)	DOOK VAIGE	Disposai	87,853	Біорозаі	Disposai	Біэрозаі
4794	ROSEVILLE	МІ		04/30/2015		966,851								30,243			
4808	DEER PARK	NY	· · · · · · · · · · · · · · · · · · ·	06/23/2015		1,332,207								42,334			
4819 4835	BROOKLYN	NY	-	06/18/2015		977,704 685,543		-						30,896			
4838	RIVERSIDE	CA.		05/29/2015		1,217,985								57,578			
4885	SALT LAKE CITY	UT		06/24/2015		1,032,566								79,983			
4892	GOOSE CREEK	SC	-	06/19/2015		1,155,645								36,478			
4912 4948	BIRMINGHAM	MI		07/10/2015 07/27/2015		890,304 1,216,159								28, 155			
4953	ATLANTA	GA		07/15/2015		1, 124, 315								34,961			
4967	ABERDEEN	NC	.	06/25/2015		446,565								13,614			
4994 4996	MIDLAND	TXLA		08/28/2015 07/29/2015		1,074,358 661,346		-						32,680			
4996 5002	LUFKIN	TX.		07/29/2015													
5013	SACRAMENTO	CA.		08/13/2015										16,723			
5082	BONNEY LAKE	WA		10/29/2015		687,901								30,626			
5113 5121	PFLUGERVILLE WOODSTOCK	TX		12/23/2015 10/26/2015		916,475 899,951								30,646			
5123	MONTGOMERY	II		10/21/2015		1,466,165								63,841			
5138	MIAMI	FL		10/27/2015		728,937								53,486			
5144	SANTA FE	NM		11/13/2015		900,947								40,581			
5146 5155	HOUSTON	TX		11/10/2015		867,320 604.784								36,562			
5177	BROOKLYN	OH.		12/17/2015										18,130			
5178	ROCHESTER	MN.		12/11/2015		1,151,071								50,724			
5185	SUISUN CITY	CA		11/13/2015		1,049,194								76,543			
5209 5220	BELLEVUE	WAWA		12/15/2015		795,020								57,831			
5258	BALTIMORE	MD	-	01/26/2016		1,321,732								96,582			
5260	AUSTIN	TX.		01/20/2016		956,785								41,508			
5264	TALLMADGE	OH		01/22/2016		1, 189, 604								52, 136			
5302 5328	LAS VEGAS	NV		01/26/2016										49,710			
5329	NOBLESVILLE	IN.	-	03/14/2016		1,274,887											
5366	SAN DIEGO	CA		03/17/2016		751,866								15,966			
5367	ANTIOCH	CA		04/22/2016		772,727								23,560			
5384 5393	WINSLOW	AZ		05/23/2016 04/14/2016		1,317,698		-						57,066			
5401	ARLINGTON	ТХ.		04/14/2016													
5408	TEMPE	AZ		06/03/2016		812,517								55,421			
5445	NEWCASTLE	OK		04/11/2016		749,424		.						21,726			
5463 5475	DETROIT	MI		05/02/2016 06/21/2016		841,543 .882,695		-						34,440			
5491	KENT	WA		06/21/2016		1,464,388								43,367			
5507	SANTA ANA	CA		06/07/2016		550,362								15,655			
5527	ST GEORGE	UT		07/08/2016		1,466,030		.						42,835			
5530 5531	ROCKLIN	CAOH		06/15/2016 07/15/2016		1,329,286 911,692		-						38,720			
5539	LUMBERTON	TX.		09/01/2016		3,694,802										<u></u>	
5543	MESA	AZ		06/29/2016		1,598,831								45,477			
5558	WICHITA FALLS	TX		07/21/2016		1,554,482								64,500			
5585 5589	TITUSVILLE	FL		08/12/2016		759,056 1,192,597								14,458			
5599	PEACHTREE CORNERS	WA		08/05/2016		1,192,597								25,335			
5611	OAKWOOD	GA		08/25/2016		648,917								43,951			
5637	CLEVELAND	OH		12/09/2016		1,110,767								32, 194			
5648	DAVENPORT	IA		09/20/2016		690,779		.						20,591			
5666 5668	SIERRA VISTA	AZ		09/12/2016		1,110,841 702,474								47,631			

1	Loca	ation	4	5	All Mortgage	7	, CLD, Hall		e in Book Value				14	15	16	17	18
'	2	3	Loan	Date	Disposal	Book Value/ Recorded Investment Excluding Accrued Interest	8 Unrealized Valuation	9 Current Year's	10 Current Year's Other- Than- Temporary	11 Capitalized Deferred	12 Total Change in	Total Foreign Exchange	Book Value/ Recorded Investment	Consid-	Foreign Exchange Gain (Loss) on	Realized Gain (Loss) on	Total Gain (Loss) on
Loan Number	Citv	State	Type	Acquired	Disposal	Prior Year	Increase (Decrease)	(Amortization) /Accretion	Impairment Recognized	Interest and Other	Book Value (8+9-10+11)	Change in Book Value	Disposal	eration	Disposal	Disposal	Disposal
5678	VENTURA		Турс	09/29/2016	Date	1, 154, 168	(Decrease)	Accietion	rtecognized	Other	(019-10111)	DOOK Value	Біорозаі	25,823	Біорозаі	Disposai	Бізрозаі
5693	CLARENCE	NY		12/16/2016		746,883								93,524			
5718	TUCSON	AZ		09/30/2016		556,421								23,545			
5720 5784	RENO	NVVA.		11/30/2016		1,176,498 1,201,691								49,861			
5818	SEATTLE			12/20/2016		849,616								55,748			
5827	CHATTANOOGA	TN		12/21/2016		1,423,615								93,243			
5851	SMYRNA	GAFL		12/22/2016		1,625,165								65,288			
5890 5903	DENTON	FL		03/17/2017		291,976								13,574			
5909	HAYWARD	CA		03/29/2017		1,173,506								31,143			
5923	NORTH LITTLE ROCK	AR		03/09/2017		1,469,533		.						39,670			
5925 5959	COSTA MESA	CAAL	·· ·····	03/01/2017		1,048,873 940,105		-						26,001			
5976	MUSKOGEE	OK		04/10/2017										24,455			
6004	PORTLAND	OR.		05/02/2017		806,727								49,605			
6005	WESTMINSTER			09/27/2017		1,046,884								26,376			
6022 6028	MARIETTA	GATX		06/09/2017 05/24/2017		1,131,418 1,053,254								29,673			
6029	CARLSBAD	CA		05/24/2017										100,094			
6067	MILL CREEK			06/19/2017										26,236			
6072	MASON	OH		06/26/2017		920,901								35,303			
6074 6079	GLENDALE	AZAZ		06/01/2017										50,782			
6082	CELINA	TX		07/13/2017		987,450								230,866			
6097	PEARLAND	TX.		06/09/2017		921,384								23,489			
6108	COLUMBIA	SC.		06/30/2017		1,012,814								38,899			
6120 6130	CORONA BAKERSFIELD	CACA	·· ·	06/28/2017		1,338,587								27, 193			
6134	FRESNO	CA		08/17/2017													
6142	FORT MILL	SC.		07/31/2017		1,708,111								43,894			
6143	FORT MILL	SC.		07/31/2017		2, 169, 763								55,757			
6144 6145	FORT MILL	SCSC.		07/31/2017		1,615,781								41,521			
6151	BUELLTON	CA.		07/31/2017		1.417.446								37,966			
6154	BELLFLOWER	CA		08/09/2017		1, 183, 308								20,618			
6156	LAKE FOREST	<u>.</u>		07/28/2017		1,083,947								122,296			
6164 6170	SAN DIEGO PETERSBURG	CAVA.		08/14/2017 08/28/2017		1,042,820 888,132		-						27,284			
6174	KIRKLAND	VAWA		01/31/2018										20,218			
6179	WESTERN SPRINGS	ÏL		08/11/2017		1,154,260								69,442			
6182	NACOGDOCHES	<u>T</u> X		09/14/2017		949,285		.						25,042			
6183 6191	OAKLAND PARK BROWNSVILLE	FLTX		08/15/2017		947,239 1,241,443								25, 131			
6208	SEVERNA PARK	MD	···	11/21/2017										21,812			
6223	SHENANDOAH	ТХ		10/31/2017		1,349,079								36,251			
6227	CARROLLTON	TX		11/22/2017		1,353,164		.						46,233			
6239 6241	OLDTOWN	IDCA		10/13/2017		932,241 747,029		-						45,091 27,312			
6247	AVON	OH		11/22/2017		1,012,990								46,511		<u></u>	
6265	ORLAND PARK	IL		04/19/2018		889,085								47,757			
6292	YUMA	AZ		11/15/2017		1,332,407								34,682			
6307	ONTARIO	CASD	·- 	11/15/2017	····	1,260,250								47,792			
6317	PITTSBURGH	SUPA		10/26/2017	<u> </u>	912,761 981,342								14, 161			
6334	OXNARD	CA		12/05/2017		1,428,979								37,796			
6338	PAYSON	AZ		12/08/2017		793,315								30, 171			
6342	BOONE	NC		11/28/2017		1,064,255		.						27,122			
6345 6347	ANDERSON	IN	··	12/19/2017		1,134,249 940.049								119,847			

		•			All Mortgage		JSED, Iran							45	40	47	10
1	Locati 2	3	4	5	6	7 Book Value/ Recorded Investment	8	Change 9	e in Book Value 10 Current Year's Other-	/Recorded Inv	estment 12 Total	13	14 Book Value/ Recorded Investment	15	16 Foreign	17	18
lN	21		Loan	Date	Disposal	Excluding Accrued Interest	Unrealized Valuation Increase	Current Year's (Amortization)	Than- Temporary Impairment	Capitalized Deferred Interest and	Change in Book Value	Total Foreign Exchange Change in	Excluding Accrued Interest on	Consid-	Exchange Gain (Loss) on	Realized Gain (Loss) on	Total Gain (Loss) on
Loan Number	City COSTA MESA	State CA	Туре	Acquired12/28/2017	Date	Prior Year1,004,228	(Decrease)	/Accretion	Recognized	Other	(8+9-10+11)	Book Value	Disposal	eration 25,477	Disposal	Disposal	Disposal
6366	HAMMOND	IN.		12/04/2017		1,431,265								18,371			
6369	FOSTORIA	ОН		12/15/2017		1,006,986								47,409			
6371 6379	COMMERCE CITY	COOH.		12/21/2017		939,514								33,784			
6382	HOUSTON	TX	-	12/27/2017		1,405,195								30,880			
6394	LIBERTY	MO		03/16/2018		1,347,522								22,379			
6396 6401	RICHMOND	IN		12/13/2017		908,015								13,660			
6417	RICHARDSON	TX.		02/08/2018													
6418	CHEEKTOWAGA	NY		02/02/2018		706,096								25,541			
6433	GARY	IN		02/28/2018		963,020		-			ļ		ļ	22,615			.
6449 6451	AUSTIN	TX	· 	01/31/2018		1, 197, 038 833, 436								30,707			-
6452	GAINESVILLE	FL		03/20/2018										21,671			
6455	COLUMBUS	OH		03/02/2018		850,071								30,393			
6467	WOODHAVEN EAST PEORIA	MI	· 	03/19/2018		1,732,152 1,150,401		-					·	43, 192			-
6476	COMPTON	CA CA		02/02/2018		962 088											
6480	LAS VEGAS	NV		02/07/2018		1,177,872								29, 174			
6509	BOCA RATON	FL		03/22/2018		2,409,882		-						57,612		ļ	.
6525 6542	29 PALMS	CA	· · · · · · · · · · · · · · · · · · · ·	03/30/2018				-						19,478			
6551	SAN DIEGO	CA		06/06/2018		1,145,546								38,634			
6558	AMHERST	NY		05/11/2018		743,704								39,886			
6563	PORTLAND	OR	· · · · · · · · · · · · · · · · · · ·	05/16/2018		953,930		-						32,629			
6572 6584	GREENVILLE NIAGARA FALLS	NCNY		05/16/2018 06/25/2018		940,004 1,158,363		-						49,716			
6593	ORANGE	CA		05/29/2018		1,074,995								17,723			
6594	FORT HANCOCK	TX		05/01/2018		1,449,596		-						33,905			
6608 6618	GREENSBORO YOUNGSTOWN	NCOH	·	05/09/2018		1,773,280 459,470								36,585			
6619	HURON	OH		06/11/2018		459,470								30,326			
6627	TUCSON	AZ		05/29/2018		678,044								15,575			
6645	MESA SHORELINE	AZWA	-	06/08/2018		1,696,627								39,902			
6654 6657	ROSEBURG	WAOR	·	06/01/2018		1,451,051 1,966,659											
6709	HOUSTON	TX		11/05/2018		489, 143								7,058			
6722	PENSACOLA	FL	-	07/05/2018		919,654								30,305			
6730 6753	LYNNWOOD	WATX	-	08/10/2018		1,151,958 902,285		-						38,202			
6759	DAVIE	FL.		08/29/2018		1,010,022								33,781			
6769	WILIMINGTON	DE		10/16/2018		1,064,327								34,686			
6798 6803	NAPLES KNOXVILLE	FL	·	10/05/2018		1,230,088 851,373								42,554			
6804	MOBILE	AL	·	09/14/2018		627,276		. [·			20,561		<u> </u>	
6816	FORT WORTH	TX		10/04/2018										19,756			
6823	SMYRNA	GA		11/09/2018		967,029								32,008			
6828 6829	SAFETY HARBOR	FL	· · · · · · · · · · · · · · · · · · · ·	10/16/2018		879,854 879,854		-						19,588			
6844	COLORADO SPRINGS			10/30/2018		1,871,411								61,751			
6848	MEMPHIS	TN		10/03/2018		1,327,288								29,604			
6849 6866	TUCSON	AZTX	·}	09/28/2018		1,682,788 949,183		- }			·			38, 153		·	-
6873	SUNNYVALE	CA.	<u> </u>	11/06/2018		1,573,485		. [<u> </u>			49,287		<u> </u>	
6875	ROUND ROCK	TX		11/16/2018		563,058								12,547			
6879	STAMFORD	CT		11/09/2018		457,761		- }						41,210			.
6897 6901	JACKSONVILLE	FL	· 	11/26/2018		1,422,551 2,517,218		-	<u> </u>			·		31,481		·	-
6902	MOUNTAIN VIEW	CA	-	11/06/2018	·····	2.394.682								26.709			

					All Mortgage	Loans DISPO	OSED, Trans										
1	Location		4	5	6	7			e in Book Value	e/Recorded Inv		TI.	14	15	16	17	18
	2	3				Book Value/	8	9	10	11	12	13	Book Value/				
						Recorded			Current		-		Recorded		-		
						Investment		_	Year's Other-		Total		Investment		Foreign	.	
						Excluding	Unrealized	Current	Than-	Capitalized	Change	Total Foreign			Exchange	Realized	Total
						Accrued	Valuation	Year's	Temporary	Deferred	in	Exchange	Accrued		Gain	Gain	Gain
			Loan	Date	Disposal	Interest	Increase	(Amortization)		Interest and	Book Value	Change in	Interest on	Consid-	(Loss) on	(Loss) on	(Loss) on
Loan Number	City	State	Type	Acquired	Date	Prior Year	(Decrease)	/Accretion	Recognized	Other	(8+9-10+11)	Book Value	Disposal	eration	Disposal	Disposal	Disposal
6919	CINCINNATI	OH		12/03/2018		1,078,950								24,066			
6925 6932	PORTLAND TUCSON	ORAZ		11/09/2018		1,955,232								43,529			
6937	NORTH LAS VEGAS			12/05/2018 12/13/2018		587,912								12,726 30,570			
6941	TEMPLE	TX		12/14/2018		851,433								27,002			
6948	UNIVERSITY CITY	MO.		01/02/2019		2,327,803								36,824			
6959	WESTMINSTER	MD		11/29/2018		768,534								12,055			
6984	OCEANSIDE	CA		12/11/2018		1, 174, 423								26,824			
6993	TOLEDO	OH		12/13/2018										27,322			
7005 7076	COPTAGUE BELLINGHAM	NYWA		01/08/2019		2,801,518 990,493								60,926			
7103	CORONA	CA	··[03/10/2019		1,076,745								36,181			
7104	WOOD INVILLE	WA		04/15/2019		2,856,313								254,345			
7126	SCRANTON	PA		05/17/2019		1,051,010								26,325			
7145	SCOTTSDALE	AZ		04/18/2019		1, 128, 931								37,416			
7157	BAKERSFIELD	CAAZ		05/23/2019		1,875,573							·	256,721			}
7175 7204	PHOENIX Alpharetta	AZ	···	04/29/2019		477,048								40,898			
7235	FAIRLAWN	OH		05/31/2019		1.493.599								75,251			
7241	NAPA	CA.		06/17/2019		2, 179, 636								50,417			
7247	FOLSOM	CA		06/18/2019		1,025,392								23,784			
7256	Evans ton	IL		07/30/2019		1,286,267								42,407			
7273	STUDIO CITY	CA		07/16/2019		1,984,585											
7299 7304	Austin	TX		08/21/2019		2,063,367 1,785,824								47,801			
7305	Ocala	FL		09/16/2019		2,293,780								192,027			
7308	Union Gap	WA.		08/08/2019		3,779,967								287,232			
7309	Toppenish	WA		08/08/2019		726,717								95,528			
7312	Midland	TX		08/23/2019		1,491,218								36,017			
7322 7327	Hicksville	NY		10/28/2019		1,996,166								47,044			
7339	Las Vegas	NVCA.		08/16/2019 08/12/2019		2,339,808 1,477,137								41,800			
7350	Tallahassee	FL		09/16/2019		994,629								33,016			
7358	Uvalde	TΧ		08/23/2019		3,967,610								132,941			
7368	San Jose	CA		09/20/2019		1,852,867								43,840			
7406	San Diego	CA		11/08/2019		5,584,036								85,545			
7433	Los Angeles	CA	·- 	10/16/2019		4,989,991								122,615			
7434 7476	North Versailles	PACA.		10/18/2019		531,414											
7480	Midland	TX.		11/08/2019										24,864			
7484	Las Vegas	NV		11/01/2019		1, 189, 262								131,504			
7493	Davis	CA		10/21/2019		771,821								39,004			
7511	Las Vegas	NV	···	10/03/2019		2,241,080								54,746			
7518 7560	Port Arthur North Las Vegas	TXNV	·-	12/04/2019 12/19/2019		1,475,000 1,500,000								97,748			
7570	Surprise			01/21/2020									·	43,472			·
7577	Bakersfield	CA.		01/23/2020										9,822			
7578	Rancho Mirage	CA		01/23/2020													
7583	Valencia	CA		12/30/2019		5,000,000								83,422			
7589	Richardson	TX		02/25/2020		0 475 000							·	39, 195			
7593 7597	Mesa ROSEHILL	AZCA	·	12/17/2019 02/07/2020		3, 175,000								71,034			
7603	Los Angeles	CA		01/14/2020										15,214			
7611	Lebanon	OH.		12/30/2019		1,950,000								60,113			
7623	Prairie Village	KS		01/15/2020										40,500			
7630	Lincoln	NE		01/17/2020										284,630			
7635	BURBANK	CA	·-	01/30/2020										67,590			
7638 7639	Reynoldsburg	OH	··	01/31/2020										29,228			
7642	Unicago	IL		02/12/2020									·	36,404			

SCHEDULE B - PART 3

Showing All Mortgage Loans DISPOSED. Transferred or Repaid During the Current Year

							JOLD, Halls		paid During th					1=			- 10
1	Location		4	5	6	7			e in Book Value			- 10	14	15	16	17	18
	2	3				Book Value/	8	9	10	11	12	13	Book Value/				İ
						Recorded			Current		T. (.)		Recorded		F:		İ
						Investment			Year's Other-		Total		Investment		Foreign	D. J. J.	T
						Excluding	Unrealized	Current	_ Than-	Capitalized		Total Foreign	Excluding		Exchange	Realized	Total
				5.	5	Accrued	Valuation	Year's	Temporary	Deferred	in	Exchange	Accrued		Gain	Gain	Gain
			Loan	Date	Disposal	Interest	Increase	(Amortization)		Interest and	Book Value	Change in	Interest on	Consid-	(Loss) on	(Loss) on	(Loss) on
Loan Number	City	State	Туре	Acquired	Date	Prior Year	(Decrease)	/Accretion	Recognized	Other	(8+9-10+11)	Book Value	Disposal	eration	Disposal	Disposal	Disposal
	Lakeland	FL		01/30/2020										318,659			[····
	Kirkwood Midland	MOTX		01/15/2020										44,718			[
	Plainfield	CT		02/07/2020										35,933			
	Rifle	CO.		03/31/2020										13,707			L
	Fulda	MN		01/31/2020										25,930			
	Concord	CA		02/12/2020										630,781			·
	Ravenna	OHAZ	ļ	02/12/2020										54,003			r
	Tempe Massillon	AZOH.		02/13/2020										24,731			I
	McKinney	TX		03/04/2020													
	Henderson	N		03/27/2020										67,460			L
	Elko	N		06/01/2020										56,755			ļ
	Houston	TX		03/27/2020										25,406			·
	Seattle	WA		05/04/2020										106,204			
	Seattle Orem	WA		05/04/2020 05/08/2020										166,892			ſ
	Brunswick	UI		06/26/2020										6,684			
	Portland	OR.		06/04/2020										19,975			
	Great Falls	MT.		06/08/2020										23,059			L
	North Miami Beach	FL		04/30/2020										21,254			·
	Portland	OR		08/03/2020										9,069			h
	Orlando	FL		06/01/2020 05/29/2020										31,350 31,364			ſ
	Eagle Point	FL		11/09/2020										2,807			[
	Syracuse	NY		06/30/2020										12,088			
	Tinley Park	IL		07/10/2020										39,898			L
	Pennsauken	NJ_	· · · · · · · · · · · · · · · · · · ·	08/12/2020										15,752			
	Dallas	TX		08/28/2020										16,629			h
	Lumberton	TXDE		08/10/2020										29, 192			ſ
	Newark Graham	UE		09/21/2020										8,236			I
	Memphis	TN.		08/31/2020										9,845			L
	Austin	TX		08/05/2020										16,593			ļ
	Milwaukee	WI		11/04/2020										34,503			·
	Elizabeth	00	ļ	10/06/2020										9,667			
	East Hartford	CT	<u> </u>	10/29/2020							·			10,004			ſ
	Ogden	UT	·	10/20/2020										9,762 1,725			I
	San Antonio	TX		10/12/2020										3,521			L
7993	Salt Lake City	UT		09/24/2020										4,583			ļ
	Warner Robins	GA		11/06/2020										21,520			·
	Phoenix	AZ		09/25/2020										16,367			r
	Chandler	AZDF		09/25/2020										8,638			ſ
	MITISDORO San Antonio	UETX.	·····	11/05/2020													I
	Findlay	OH.	L	10/30/2020										6, 110			L
	San Diego	CA.		01/31/2020										100,000			<u> </u>
0299999. Mortgages with	9999. Mortgages with partial repayments									•				16,755,163			
0599999 - Totals	· •					345,328,991								28,890,614			

Schedule BA - Part 1 - Other Long-Term Invested Assets Owned **NONE**

Schedule BA - Part 2 - Other Long-Term Invested Assets Acquired and Additions Made NONE

Schedule BA - Part 3 - Other Long-Term Invested Assets Disposed, Transferred or Repaid NONE

SCHEDULE D - PART 1

								Showing All Lor	na-Term BOND	S Owned Dece	mber 31 of C	Current Ye	ar								
1	2		Cod	es	6	7		Fair Value	10	11			iusted Carrvin	n Value				nterest		Da	ites
·	-	3	4	5		•	8	9	10	• • •	12	13	14	15	16	17	18	19	20	21	22
		ľ	_		NAIC		U				12	10	1-7	10	10	l ''	10	10	20		
					Desig-																
					-																
					nation,									T. (.)							
					NAIC									Total							
			_		Desig-								_	Foreign							
			F		nation								Current	Exchange							
			0		Modifier								Year's	Change							
			r		and		Rate					Current	Other-	in							
		С	е		SVO		Used to)		Book/	Unrealized	Year's	Than-	Book/				Admitted			Stated
		0	i		Admini-		Obtain			Adjusted	Valuation	(Amor-	Temporary	Adjusted		Effective		Amount	Amount		Contractual
CUSIP		d	g	Bond	strative	Actual	Fair	Fair	Par	Carrying	Increase/	tization)	Impairment	Carrying	Rate	Rate	When	Due and	Received		Maturity
Identification	Description	e	n	Char	Symbol	Cost	Value	Value	Value	Value	(Decrease)	Accretion		Value	of	of	Paid	Accrued	During Year	Acquired	Date
233383-AA-6	DY8 LEASING LLC	Ť		1	1.A	1,250,002			1,250,000	1,251,223	(200:000)	254		7 4.40	2.565			3, 117	33,265	12/03/2013	12/10/2025
71654Q-BC-5	PETROLEOS MEXICANOS	<u> </u>	C	1	1.A		102.8252		800,000	800,000					2.000	2.000		489	16, 105	06/26/2012	12/20/2022
912810-EQ-7	UNITED STATES TREASURY	SD			1.A	484, 102	115.8750		450,000	456,486		(2,236)			6.250	5.651	FA	10,623	28, 125	12/13/2000	08/15/2023
	btotal - Bonds - U.S. Governments - Issu	uer Obl	igatio	ns		2,534,103	XXX	2,655,054	2,500,000	2,507,710		(1,982)			XXX	XXX	XXX	14,229	77,495	XXX	XXX
	GN 675496 - RMBS			4	1.A		118 . 4905		10,808	11,149		(66)			6.500	5.575		59	702	08/08/2008	06/15/2038
	GN 687730 - RMBS			4	1.A		109. 0321	116, 144	106,523	108,973	ļ ļ.	(458)			6.500	5.821		577	6,923	08/08/2008	07/15/2038
	GN 688023 - RMBS			4	1.A		112.6592	142,594	126,571	128,779	·	(445)			6.000	5.490		633	7,594	12/04/2008	10/15/2038
	GN 700893 - RMBS			4	1.A	155,085	114. 5057	174,634	152,511	154,929		(62)			5.500	5.033	MON	699	8,378	12/04/2008	11/15/2038
	btotal - Bonds - U.S. Governments - Res	sidentia	al Mor	tgage-Ba	cked		1001								1001		2001				1000
	curities					405, 150		446, 178	396,413	403,831		(1,031)			XXX	XXX	XXX	1,967	23,597	XXX	XXX
	al - U.S. Government Bonds					2,939,254		3,101,232	2,896,413	2,911,541		(3,013)			XXX	XXX	XXX	16, 197	101,092	XXX	XXX
	LILE FINANCE LTD		C		1.D		104. 2820		826,974	826,983		5			3. 179	3. 178		4,016	28,019	09/17/2013	05/06/2025
	btotal - Bonds - All Other Governments -	 Issuer 	· Oblic	gations			XXX	862,385	826,974	826,983		5			XXX	XXX	XXX	4,016	28,019	XXX	XXX
	tal - All Other Government Bonds					826,972	XXX	862,385	826,974	826,983		5			XXX	XXX	XXX	4,016	28,019	XXX	XXX
1799999. Tot	tal - U.S. States, Territories and Possess	sions B	onds				XXX								XXX	XXX	XXX			XXX	XXX
2499999. Tot	tal - U.S. Political Subdivisions Bonds						XXX								XXX	XXX	XXX			XXX	XXX
	FH G02384 - RMBS			4	1.A	30,680	116.7445	35,728	30,603	30,653		(6)			6.000	5.950	MON	153	1,836	09/20/2007	11/01/2036
	FHR 3772 HB - CMO/RMBS			4	1.A		104.3899	935,671		881,007		2,671			3.500	4.546		2,614	31,358	01/10/2011	12/15/2025
	FN AB3857 - RMBS			4	1.A		104.3563	256,974	246,247	248,457		(354)			2.500	1.961		513	6, 145	11/02/2011	11/01/2026
	FN AC6299 - RMBS			4	1.A 1.A	2,112,918	112.8592	2,278,528	2,018,913 183	2,099,558	·	(4,715)			5.000	3.848		8,412	100,910	12/08/2009	12/01/2039
	btotal - Bonds - U.S. Special Revenues	Pooid	lontial	Mortgog		194	100 . 40 18	184	103	104		(1)			5.000	2.080	MUN		(1)	10/22/2009	09/01/2022
		- Resid	ientiai	wortgag	e-	0.000.550	VVV	0.507.000	0 400 070	0.050.050		(0.405)			XXX	VVV	xxx	44.000	440.040	VVV	
	cked Securities MEFA 2020-A A - ABS			Ia I	1.A FE	3,223,556 8,500,821		3,507,086 8,630,222	3,192,270 8,503,288	3,259,859 8,500,798		(2,405)			2.300	2.307		11,693 3,260	140,248 101,591	06/09/2020	XXX 02/25/2040
		Othor		Pookod		0,300,621	101.4920	0,030,222	0,303,200			(20)			2.300	2.301	MUN	3,200		06/09/2020	02/23/2040
	btotal - Bonds - U.S. Special Revenues	- Otner	Loan	-васкеи	anu	0 500 004	XXX	0.000.000	0 500 000	0 500 700		(00)			XXX	XXX	XXX	0.000	404 504	XXX	xxx
	ructured Securities					8,500,821		8,630,222	8,503,288	8,500,798		(23)						3,260	101,591		
	tal - U.S. Special Revenues Bonds			la l	40.55	11,724,377		12, 137, 307	11,695,558	11,760,657 4,006,644		(2,428)			XXX	XXX	XXX	14,953	241,839	XXX	XXX
00038A-AB-9 001192-AL-7	ABB TREASURY CENTER (USA) INC			1 2	1.G FE 2.A FE	4, 115, 560 9, 987, 104	112 1450	4,065,200	4,000,000 9,910,000			(14,295) (7,876)			4.000	3.627	JD	7,111	160,000 .384,013	04/02/2012	06/15/2021
001192-AL-7 00206R-HP-0	AT&T INC			1,4	2.A FE 2.B FE	4,015,130		4,417,304		4.005.203		(1,876)			4.050	4.003		7,200		02/04/2014	12/15/2023
002824-BF-6	ABBOTT LABORATORIES	L		1,2	2.6 FE	3,699,690		4,398,734	3,760,000	3,716,405		6,427			3.750	3.972		12,142	141,000	04/26/2018	11/30/2026
002824-BM-1	ABBOTT LABORATORIES	[1,2	1.G FE	1,981,538	114. 3797	2,230,404	1,950,000	1,968,284		(3,708)			3.875	3.646	MS	22,249	75,563	03/06/2017	09/15/2025
00287Y-AL-3	ABBVIE INC			1	2.B FE	2,981,851	104.5606	3, 136, 818	3,000,000	2,995,341		2,408			2.900	2.987		13,292	87,000	07/29/2014	11/06/2022
00287Y-CS-6	ABBVIE INC			1,2	2.A FE	4,992,348		5,001,432	4,850,000	4,877,451		(25,497)			3.450	2.892		49,268	172, 175	04/05/2016	03/15/2022
00287Y-CV-9	ABBVIE INC			1,2	2.A FE	4,017,052		4,390,844	4,000,000	4,006,774		(1,722)			3.850	3.794		6,844	158,000	11/12/2014	06/15/2024
00912X-AT-1 00912X-AV-6	AIR LEASE CORP			1,2 1,2	2.B FE 2.B FE	3,969,368 4,598,137	104. 7757	4,141,783	3,953,000 4,536,000	3,960,691 4,595,849		(2,854)			3.000	2.921		34,918	118,590	11/06/2017	09/15/2023
00912X-AV-0	AIR LEASE CORP			1,2	2.B FE	4,410,687	107 . 2041	4,802,778	4,580,000	4,395,649		(9,213)			3.023	3.908		41,108	148,850	04/25/2019	03/01/2025
00914A-AB-8	AIR LEASE CORP			1,2	2.B FE	5,029,488	100 . 3047		5,088,000	5,041,543		7,562			3.750	3.939		15,900	190,800	05/16/2019	06/01/2026
009279-AA-8	AIRBUS SE	[C	1,2	1.F FE	1,947,075	108 . 1313		1,929,000	1,940,886		(1,767)			3. 150	3.038	A0	13,672	60,764	04/21/2017	04/10/2027
010392-FQ-6	ALABAMA POWER CO			1,2	1.E FE		102.3473	6,698,631	6,545,000	6,466,171		61,164			2.450	3.449		40,534	160,353	12/21/2018	03/30/2022
01626P-AH-9	ALIMENTATION COUCHE-TARD INC		C	1,2	2.B FE	9,308,382			9,516,000	9,352,036		20,897			3.550	3.843		145,449	337,818	08/27/2018	07/26/2027
01626P-AJ-5 025537-AJ-0	ALIMENTATION COUCHE-TARD INC		C	1,2	2.B FE	4,998,092			4,992,000 10.634.500	4,993,948		(1,268)			2.700	2.673 2.619		58,032	134,784	07/31/2017	07/26/2022
025537-AJ-0 0258M0-EG-0	AMERICAN ELECTRIC POWER COMPANY INC			1,2	2.A FE 1.F FE	11,062,950		11,871,920				(50,950) 1,357	\ \		3.200	2.619		45,374	340,304	11/27/2019	11/13/2027
02665W-DJ-7	AMERICAN HONDA FINANCE CORP			1	1.F FE	6,000,294			5,685,000	6.054.678		(16,390)			2.700	1.226		20,612	01,189	02/28/2017	03/03/2022
02665W-DK-4	AMERICAN HONDA FINANCE CORP			1	1.G FE	4,998,400		5,059,755	5,000,000	4,998,654		254			0.875	0.886		21,024		07/06/2020	07/07/2023
02665W-DN-8	AMERICAN HONDA FINANCE CORP			1	1.G FE	3,999,800	101 . 1555	4,046,220	4,000,000	3,999,812		12			1.000	1.001	MS	12,333		09/08/2020	09/10/2025
03027X-AB-6	AMERICAN TOWER CORP			1	2.C FE	1,979,588		2,046,615	1,927,000	1,947,281		(9,311)			3.500	2.975		28,289	67,445	05/16/2017	01/31/2023
03027X-AD-2	AMERICAN TOWER CORP	1	Ì	11	2.C FE	4,924,420	113 0669	5.006.602	4,428,000	4.800.798		(68,899)	(I		5.000	2. 175	I F A	83.640	156,525	06/24/2020	02/15/2024

SCHEDULE D - PART 1

								Showing All Lor	ng-Term BOND:	S Owned Dece	mber 31 of	Current Yea	ar								
1	2		Cod	les	6	7		Fair Value	10	11		e in Book/Adju		g Value			I	nterest		Da	tes
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		0	Ĭ		Admini-		Obtain			Adjusted	Valuation	(Amor-	Temporary	Adjusted		Effective		Amount	Amount		Contractual
CUSIP		d		Dond	strative	Actual	Fair	Fair	Par			tization)			Data		When		Received		
	D		g	Bond			-			Carrying	Increase/		Impairment	Carrying	Rate	Rate		Due and		A	Maturity
Identification	Description	е	n	Char	Symbol	Cost	Value	Value	Value	Value	(Decrease)		Recognized	Value	of	of	Paid	Accrued	During Year	Acquired	Date
03027X-AJ-9	AMERICAN TOWER CORP	-+		1,2	2.C FE		2115.5634	2,253,486	1,950,000	1,957,397		(1,317)			4 . 400	4.314	FA	32,413		07/31/2018	02/15/2026
03027X-AL-4	AMERICAN TOWER CORP	-+		1	2.C FE		0101.9185	5,095,925	5,000,000	4,982,351		16,565			2.250	2.597	JJ	51,875		11/09/2016	01/15/2022
03027X-AM-2 03027X-AX-8	AMERICAN TOWER CORP	+		1,2	2.C FE 2.C FE		3109.7216 0108.2256	5,292,970	4,824,000 3,000,000	4,928,355 2,995,845		(16,392)			3. 125	2.719 2.775		69,513		11/20/2019	01/15/2027
03040W-AK-1	AMERICAN NOTER CAPITAL CORP	-+		1,2	2.0 FE 2.A FE		0 108.2256	9,833,742	9,000,000	2,995,845		3,661			3.850	3.897		38,042		11/08/2013	01/15/2027
032095-AB-7	AMPHENOL CORP		1	1,2	2.A FE		8102.8301		3,600,000	3,622,092		(25,561)			4.000	3.897				06/19/2015	03/01/2024
032095-AE-1	AMPHENOL CORP	1		1,2	2.A FE	7,989,90		7,992,890	7,870,000			(20, 103)			3. 125	2.861	MS	72,415		04/24/2015	09/15/2021
036752-AB-9	ANTHEM INC	1		1,2	2.B FE		6115.2631	4,287,787	3,720,000	3,905,797		(25,000)			3.650	2.823	JD	11,315		11/25/2019	12/01/2027
037833-AK-6	APPLE INC	1		1	1.B FE		0104.8562	4, 194, 248	4,000,000	3,998,670		548			2.400	2.415		15,467	96,000	04/30/2013	05/03/2023
037833-AS-9	APPLE INC			1	1.B FE		0110.0788	5.503.940	5,000,000	4,998,520		411			3.450	3.459	MN	26,354		04/29/2014	05/06/2024
038222-AJ-4	APPLIED MATERIALS INC			1.2	1.G FE		1114.4078	3,252,614	2,843,000	2,920,175		(15,755)			3.900	3.246	AO	27,719		03/02/2018	10/01/2025
038222-AL-9	APPLIED MATERIALS INC	I		1,2	1.G FE		9 113. 7250	93,255	82,000	81,809		27			3.300	3.342		677	2,706	03/28/2017	04/01/2027
04317@-AE-8	ARTHUR J. GALLAGHER & CO.			1	2.B PL		5104.2557	7,819,177	7,500,000	7,535,940		(23,458)			3.690	3.349	JD	13,069	276,750	03/29/2019	06/14/2022
046353-AL-2	ASTRAZENECA PLC		C	1	2.A FE		8 112. 4162	10,376,015	9,230,000	9,276,274		(8,675)			3.375	3.263	MN	38,939	311,513	02/24/2016	11/16/2025
05369A-AA-9	AVIATION CAPITAL GROUP LLC			1,2	2.C FE		7100.2500	4,077,168	4,067,000	4,019,394		6,029			3.500	3.695		23,724		10/11/2017	11/01/2027
05369A-AD-3	AVIATION CAPITAL GROUP LLC			1,2	2.B FE		6103.7500	5,254,938	5,065,000	5,017,567		9, 162			4. 125	4.353		87,055		08/08/2018	08/01/2025
05369A-AF-8	AVIATION CAPITAL GROUP LLC			1,2	2.B FE		6 104.5000	4,221,800	4,040,000	4,010,999		8,576			4.375	4.628		74, 137		11/28/2018	01/30/2024
05523U-AK-6	BAE SYSTEMS HOLDINGS INC	-∔		1	2.B FE		0111.0795	5,553,975	5,000,000	4,999,818		44			3.800	3.801		44,333		09/30/2014	10/07/2024
05565Q-DA-3	BP CAPITAL MARKETS PLC		C	1	1.F FE		1111.5083	2, 152, 110	1,930,000	1,969,783		(8,765)			3.506	2.981		19,548		10/02/2017	03/17/2025
05565Q-DN-5	BP CAPITAL MARKETS PLC		C	1,2	1.F FE		3112.5207	7,296,967	6,485,000	6,461,252		3, 108			3.279	3.340		60,249		02/01/2018	09/19/2027
05600L-AB-2	BMW FINANCE NV		C	1,2	1.F FE		6105.6801	2,538,436	2,402,000	2,400,268		453			2.400	2.421		21,938		08/07/2019	08/14/2024
06051G-HM-4	BANK OF AMERICA CORP			1,2,5	1.G FE		0119.0031	2,380,062	2,000,000	2,000,000					4.271	4.272		37,490		07/18/2018	07/23/2029
06051G-HT-9	BANK OF AMERICA CORP			1,2,5	1.F FE		0112.6584	3,379,752	3,000,000	3,000,000					3.559	3.560		20, 168		04/17/2019	04/23/2027
06051G-HW-2 06051G-JD-2	BANK OF AMERICA CORP BANK OF AMERICA CORP			1,2,5	1.F FE		0106.5219 0101.5905	2, 130, 438	2,000,000 7,500,000	2,000,000 7,500,000					2.456	2.457		9,415		10/17/2019 06/16/2020	10/22/2025 06/19/2026
06406F-AA-1	BANK OF NEW YORK MELLON CORP			2,2,3	1.F FE		4100.4554	1,406,376	1,400,000	1,399,924		264			2.500	2.519		7,389		02/16/2016	04/15/2021
06406F-AE-3	BANK OF NEW YORK MELLON CORP			2	11.F FE		2100.4554	3,560,712	3,280,000	3,079,507		31.542			2.450	3.662	FΔ	29,912		03/15/2018	08/17/2026
06406G-AA-9	BANK OF NEW YORK MELLON CORP			2	1.F FE		0111.7379	5,586,895	5,000,000	4,819,010		19,772			3.000	3.533	AO	25,417	150,000	01/25/2017	10/30/2028
06406R-AB-3	BANK OF NEW YORK MELLON CORP			2.5	1.F FE		7113.8742	9,096,271	7,988,000	8,721,426		(58,518)			3.442	1.827		109,979		06/25/2020	02/07/2028
06406R-AH-0	BANK OF NEW YORK MELLON CORP			_, •	1.E FE		0119.5712	5,978,560	5,000,000	5,052,186		(6, 112)			3.850	3.686		33,688		08/06/2018	04/28/2028
06406R-AJ-6	BANK OF NEW YORK MELLON CORP				1.E FE		7107.9019	1,722,114	1,596,000	1,596,710		(256)			3.450	3.432		21,413		08/28/2018	08/11/2023
07177M-AL-7	BAXALTA INC			1,2	2.B FE		0 103.8441	5, 192, 205	5,000,000	5,069,439		(51,473)			3.600	2.516		4,000		09/28/2016	06/23/2022
07274E-AG-8	BAYER US FINANCE LLC			1	2.A FE	3,030,08	0108.9553	3,268,659	3,000,000	3,012,490		(3,092)			3.375	3.256	AO	23,344	101,250	10/15/2014	10/08/2024
07274N-AU-7	BAYER US FINANCE II LLC			1	2.A FE	993,57	0101.0848	1,010,848	1,000,000	999,434		1,030			2.750	2.850	JJ	12,681	27,500	12/02/2014	07/15/2021
07274N-AW-3	BAYER US FINANCE II LLC			1,2	2.A FE		5109.1432	5,511,732	5,050,000	5,017,392		7,985			3.375	3.561		78,591	170,438	04/11/2016	07/15/2024
07274N-AX-1	BAYER US FINANCE II LLC			1,2	2.A FE		105.9156	8,430,882	7,960,000	7,641,146		67,248			2.850	3.874		47,893		09/21/2015	04/15/2025
07330N-AT-2	TRUIST BANK			2	1.F FE		0105.8716	10,587,160	10,000,000	9,986,490		3,260			2.150	2. 186		14,931		12/03/2019	12/06/2024
075887-BA-6	BECTON DICKINSON AND CO			1	2.C FE		0102.2480	13,292,240	13,000,000	13,035,505		(40,818)			3. 125	2.798		59,809		12/30/2014	11/08/2021
084659-AD-3	BERKSHIRE HATHAWAY ENERGY CO			1,2	1.G FE		0109.9774	19,893,812	18,089,000	18,751,581		(163,361)			3.500	2.489		263,798		12/03/2019	02/01/2025
099724-AJ-5	BORGWARNER INC			1,2	2.B FE		0110.1417	14,318,421	13,000,000	13,079,994		(18,659)			3.375	3.209		129, 188		04/28/2015	03/15/2025
10373Q-AE-0	BP CAPITAL MARKETS AMERICA INC			1,2	1.F FE		0119.2369	3,577,107	3,000,000	3,000,000		(4.450)			4.234	4.234		19,406		11/01/2018	11/06/2028
10373Q-AL-4	BP CAPITAL MARKETS AMERICA INC			1	1.F FE		8 105 . 4368	2,063,398	1,957,000	1,967,924		(4,450)			2.750	2.505	MIN	7,624		09/07/2017	05/10/2023
10373Q-AV-2 10373Q-AY-6	BP CAPITAL MARKETS AMERICA INC			1,2	1.F FE		0110.3732	2,869,703	2,600,000	2,513,455 7,748,150		12,597			3.017	3.636	JJ	35,953		04/16/2018	01/16/2027
103/3Q-AY-6 10373Q-AZ-3	BP CAPITAL MARKETS AMERICA INC			1,2	1.F FE 1.F FE		9107.9923	8,288,409 5,630,561	7,675,000 4,956,000			(22,089)			3.224	2.903	AU	52,925		03/29/2019	04/14/2024 04/14/2027
10373Q-AZ-3 10373Q-BA-7	BP CAPITAL MARKETS AMERICA INC BP CAPITAL MARKETS AMERICA INC			1,2	1.F FE 1.F FE		8113.6110 0103.5031		2,500,000	2,500,000		2,786			2.520	2.519	MC	38,034	177,821	03/09/2018	04/14/2027
11271R-AA-7	BROOKFIELD FINANCE LLC			1,2	1 . F FE 1 . G FE		0103.5031			6,954,409		(9.253)			4.000	2.519		69.240		04/18/2017	04/01/2024
12189L-AQ-4	BURLINGTON NORTHERN SANTA FE LLC			1,2	1.6 FE		0109.7544	1,083,219	1,000,000			(9,253)			3.850	3.846		12,833		08/13/2013	09/01/2023
12189L-AT-8	BURLINGTON NORTHERN SANTA FE LLC	·†		1.2	1.G FE		0109.5195	6.549.266	5.980.000	5,964,616		3.881			3.400	3.475		67.773		08/10/2015	09/01/2023
124857-AM-5	VIACOMCBS INC	1		1.2	2.B FE		4109.4772	7.571.443	6.916.000	6,942,174		(7,266)			3.700	3.579		96,670		05/05/2017	08/15/2024
124857-AS-2	VIACOMOBS INC	1	1	1,2	2.B FE		1103.3099	2,075,496	2,009,000	2,000,941		3,643			2.500	2.696		18,974		09/18/2017	02/15/2023
124857-AX-1	VIACOMCBS INC	.I.	1	1,2	2.B FE		6114.0527	2,429,323	2,130,000	1,996,719		14.715			3.700	4.710		6,568		11/02/2018	06/01/2028
124857-AY-9	VIACOMCBS INC	T	1	1.2	2.B FE		2104.6534	1,055,953	1,009,000	1,005,006		1.571		,	2.900	3.071		2,438		11/13/2017	06/01/2023

SCHEDULE D - PART 1

								Showing All Lor	ng-Term BOND	S Owned Dece	mber 31 of	Current Ye	ar								
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		0	ĭ		Admini-		Obtain			Adjusted	Valuation	(Amor-	Temporary	Adjusted		Effective		Amount	Amount		Contractual
CUSIP		-		Dond	-	Actual	Fair	Fair	Par			tization)			Doto		When		Received		
	D d. P	d	g	Bond	strative		-	_		Carrying	Increase/		Impairment	Carrying	Rate	Rate		Due and		A	Maturity
Identification	Description	е	n	Char	Symbol	Cost	Value	Value	Value	Value	(Decrease)	Accretion	Recognized	Value	of	of	Paid	Accrued	During Year	Acquired	Date
12527G-AC-7	CF INDUSTRIES INC]	3.A FE	8,423,76	104. 5000	8,882,500	8,500,000	8,476,837		9,016			3.450	3.569		24,438	293,250	05/06/2015	06/01/2023
125523-BR-0	CIGNA CORP			1	2.B FE		0103.6311	1,036,311	1,000,000	1,013,123		(11,316)			3.900	2.704		14,733	39,000	04/22/2013	02/15/2022
125523-BV-1 125523-BX-7	CIGNA CORP			1,2	2.B FE 2.B FE		50105.5312 70108.9889	5,276,560	5,000,000 1,000,000	4,998,416		616 938			3.000	3.013		69,167	150,000	06/29/2016	07/15/2023
12626P-AM-5	CRH AMERICA INC			1,2	2.B FE		0108.9889	7,722,838	6,863,000	6,905,545		(9,874)			3.500	3.606				08/05/2014	05/15/2024
12626P-AM-5	CRH AMERICA INC			1,2	2.A FE		70111.5751		10,094,000			(9,874)			3.400	3.705		49,573	343, 196	11/27/2018	05/09/2027
12636Y-AC-6	CRH AMERICA FINANCE INC			1,2	2.A FE		38116.3355	2,341,834	2,013,000	2,003,328		1, 129			3.950	4.027		19,216	79,514	03/27/2018	04/04/2028
126408-HE-6	CSX CORP	1		1,2	2.A FE	2,379,8		2,814,883	2,580,000	2,431,594		22,432			2.600	3.706		11, 180	67,080	08/20/2018	11/01/2026
126650-BZ-2	CVS HEALTH CORP	1		1,2	2.B FE		20 103. 1040	3,116,397	3,000,000	2,970,803		14,461			2.750	3.277		6,875	82,500	09/13/2013	12/01/2022
126650-CL-2	CVS HEALTH CORP	[1,2	2.B FE		301131261	2,262,522	2.000,000	1,989,878		1.990			3.875	3.998	JJ	34,660	77,500	07/13/2015	07/20/2025
126650-CU-2	CVS HEALTH CORP			1.2	2.B FE		00 109.8591	5,492,955	5,000,000	5,051,153		(9, 106)			2.875	2.662	JD	11,979	143,750	09/23/2016	06/01/2026
126650-CX-6	CVS HEALTH CORP			1,2	2.B FE		17 118 . 9938	4,599,110	3,865,000	4,423,322		(41,325)			4.300	2.066		44,319	83,098	06/16/2020	03/25/2028
126650-DF-4	CVS HEALTH CORP			1,2	2.B FE		66 110 . 3586	5,421,918	4,913,000	4,959,499		(7,713)			3.000	2.812	FA	55,681	147,390	10/22/2019	08/15/2026
126940-AA-2	CONTROL PASS-THRU TRUST SERIES 2016-A (A				2.A		08109.3936	5,034,787	4,602,450	4,520,980		6,073			3.573	3.774	MON	9,593	219,261	04/01/2019	09/10/2031
127097-E@-8	CABOT OIL & GAS CORPORATION			1	2.B		50111.1751	7 ,782 ,258	7,000,000	7,019,339		(4,742)			3.670	3.590		73,502	256,900	03/29/2019	09/18/2024
134429-BF-5	CAMPBELL SOUP CO			1,2	2.C FE		. 112.6350	5,270,192	4,679,000	4,907,472		(52,969)			3.950	2.666		54,419	184,821	11/20/2019	03/15/2025
134429-BG-3	CAMPBELL SOUP CO	- ‡		1,2	2.C FE		117 . 3337	18,415,524	15,695,000	17,973,877		(135,560)			4.150	1.909		191,784	325,671	09/09/2020	03/15/2028
136385-AT-8	CANADIAN NATURAL RESOURCES LTD		C	1,2	2.B FE		30 107 . 1250	1,071,250	1,000,000	1,008,476		(2,593)			3.800	3.504		8,022	38,000	07/30/2014	04/15/2024
136385-AW-1	CANADIAN NATURAL RESOURCES LTD		C	1,2	2.B FE		104 . 5000	2,572,268	2,461,500	2,504,948		(12,501)			2.950	2.025		33,483	36,307	06/01/2020	01/15/2023
136385-AX-9	CANADIAN NATURAL RESOURCES LTD		C	1,2	2.B FE		112 . 1250	3,998,378	3,566,000	3,415,827		19,702			3.850	4.616		11,441	137,291	11/29/2018	06/01/2027
13645R-AS-3	CANADIAN PACIFIC RAILWAY CO		C	1,2	2.A FE		108 . 0050	2,241,104	2,075,000	2,023,910		11,430			2.900	3.553		25,073	60, 175	03/16/2018	02/01/2025
14040H-BD-6	CAPITAL ONE FINANCIAL CORP				2.B FE		106.9988	3,119,015	2,915,000	2,941,452		(10,224)			3.500	3.113		4,534	102,025	02/24/2017	06/15/2023
14040H-CA-1 14149Y-AY-4	CAPITAL ONE FINANCIAL CORP			2	2.A FE		3 109. 2765	4,910,886	4,494,000	4,487,807		1,859			3.900	3.948		74,001	175,266	01/24/2019	01/29/2024
14149Y-BA-5	CARDINAL HEALTH INC	-+		1.2	2.B FE 2.B FE		30105.8902 10109.5107	2,329,584	2,200,000 211,000,000	2,211,931		(5, 181)			3.200	2.944		20,729	70,400	02/26/2016 07/09/2015	03/15/2023
14149Y-BE-7	CARDINAL HEALTH INC	-+		1,2	2.B FE		10109.3107	3,385,986	3,000,000	2,996,488		672			3.750	3.777			112,500	06/17/2015	09/15/2025
141781-BG-8	CARGILL INC			1,2	12.B FE		761061115	3,186,528	3,003,000	3,001,315		741			3.750	3.277	MS	32.533	97,598	03/01/2018	03/01/2023
161175-AY-0	CHARTER COMMUNICATIONS OPERATING LLC			1.2	2.C FE		21116.2067	8.042.666	6.921.000	7,238,761		(66,868)			4.908	3.744	.I.I	149,083	339,683	07/30/2019	07/23/2025
161175-BQ-6	CHARTER COMMUNICATIONS OPERATING LLC			1.2	2.0 FE		00110.8211	3,324,633	3,000,000	2,998,205		531			4.500	4.521		56,250	135,000	06/28/2018	02/01/2024
16412X-AG-0	CHENIERE CORPUS CHRISTI HOLDINGS LLC			1,2	2.0 FE		00118.0000	8,850,000	7,500,000	8,088,533		(86,247)			5. 125	3.657		1,068	384,375	11/13/2019	06/30/2027
166764-AH-3	CHEVRON CORP	Ī		1.2	11.C FE		33 106 . 1320	11, 196, 926	10.550.000	10,546,007		1, 185			3. 191	3.204		6.546	336,651	03/02/2016	06/24/2023
166764-BD-1	CHEVRON CORP			1,2	1.C FE		28111.4360	5,516,082	4,950,000	4,953,953		(746)			3.326	3.308		20,122	164,637	03/02/2016	11/17/2025
166764-BK-5	CHEVRON CORP			1,2	1.C FE	10,000,00	00104.8810	10,488,100	10,000,000	10,000,000					2.566	2.566	MN	32,075	256,600	05/09/2016	05/16/2023
16829@-AA-1	Chick-fil-A, Inc.			1	1.G IF	7,500,00	00102.9433	7,720,747	7,500,000	7,500,000					1.480	1.480	AO	19, 117		10/16/2020	10/29/2023
17252M-AN-0	CINTAS CORPORATION NO 2			1,2	1.G FE		33115.1173	4,872,340	4,232,500	4,550,682		(48,869)			3.700	2.349		39, 151	156,603	11/22/2019	04/01/2027
172967-HD-6	CITIGROUP INC				2.A FE		75 109. 8613	3,845,146	3,500,000	3,483,141		5,556			3.875	4.058		24,865	135,625	01/17/2014	10/25/2023
172967-KN-0	CITIGROUP INC				2.A FE		00112.6509	4,517,301	4,010,000	4,005,427		770			3.400	3.424		22,723	136,340	04/26/2016	05/01/2026
172967-KY-6	CITIGROUP INC			1,2	2.A FE		50111.5020	5,575,100	5,000,000	4,998,281		266			3.200	3.207		31, 111	160,000	10/17/2016	10/21/2026
17325F-AS-7	CITIBANK NA			1,2	1.E FE		29 109 . 2250	5,438,313	4,979,000	4,974,711		1,301			3.650	3.680		79,761	181,734	01/15/2019	01/23/2024
189054-AT-6	CLOROX CO			1,2	1.G FE		103 . 8380	8,826,230	8,500,000	8,450,912		27,586			3.050	3.402		76,335	259,250	08/27/2015	09/15/2022
189054-AU-3	CLOROX CO			1,2	1.G FE		39110.4976	2, 176, 803	1,970,000	1,977,386		(1,824)			3.500	3.392		3,064	68,950	03/06/2015	12/15/2024
19123M-AB-9	COCA-COLA EUROPEAN PARTNERS PLC			1,2	2.A FE		100.8016	3,024,048	3,000,000	3,000,969		(2,503)			3.250	3. 164		35,750	97,500	06/12/2014	08/19/2021
196500-AA-0 20030N-BN-0	COLORADO INTERSTATE GAS COMPANY LLC	·+····		1,2	2.B FE		70113.5210	4,510,189	3,973,000	3,946,368		4, 108			4. 150	4.285	FA	62,288	164,880	01/12/2017	08/15/2026
20030N-BN-0 20030N-BY-6	COMCAST CORP			1,2	1.G FE 1.G FE		70111.1596	8,892,768	1,218,000	7,996,840		1.920				3.384	FA	102,000	270,000	05/20/2015	08/15/2025
20826F-AQ-9	CONOCOPHILLIPS CO			1,2	1.F FE	۱, ۱۹/ ,۵:	50112.5692 11117.4031					(45, 177)			3.300	3.446		53,053	40, 194	01/26/2017	02/01/2027
219350-AZ-8	CORNING INC	·+		1,2	1.F FE		701079699	1.079.699				(45, 177)			3.700	3.726		4,728	37.000	11/13/2013	11/15/2023
224044-CA-3	COX COMMUNICATIONS INC	†		1,2	2.A FE		0 107 . 9699	5,258,750	5,000,000	4,994,038		2,269			2.950	3.726		4,728	147,500	04/24/2013	06/30/2023
224044-CG-0	COX COMMUNICATIONS INC	· † · · · ·		1.2	2.B FE		05112.3936	4,723,903	4.203.000	4,030,970		26,260			3.350	4. 164		41.458	147,300	09/13/2018	09/15/2026
224044-CH-8	COX COMMUNICATIONS INC	1		1.2	2.B FE		19108.3812	1.084.896	1.001.000	1,000,266		188			3. 150	3. 172		11,912	31,532	07/24/2017	08/15/2024
224044-CJ-4	COX COMMUNICATIONS INC	1		1,2	2.B FE		13112.9172	13,414,563	11,880,000	11,921,286		(8, 170)			3.130	3.420		157,080	415,800	11/19/2019	08/15/2027
225401-AB-4	CREDIT SUISSE GROUP AG	Ι.	C.	1,2	2.A FE		11 103. 1997	11,354,031	11,002,000	11,002,454	[(429)			3.574	3.570		187,868	393,211	01/06/2017	01/09/2023
225401-AC-2	CREDIT SUISSE GROUP AG	Ι	C	1.2	2.A FE	2,000.00	00115.6066	2,312,132	2,000,000						4.282	4.282		40,917	85,640	01/04/2017	01/09/2028

SCHEDULE D - PART 1

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Identification	Description	е	n	Char	Symbol		Value	Value	Value	Value	(Decrease)	Accretion	Recognized	Value	of	of	Paid	Accrued	During Year	Acquired	Date
22822R-BB-5	CROCAS 2015-1 C22 - RMBS	- ‡	-	. 1	1.F FE .	8,987,999			8,846,000	8,882,309		(25,585)			3.222	2.916		12,667	285,018	02/13/2017	05/15/2042
22822V-AE-1	CROWN CASTLE INTERNATIONAL CORP			1,2	2.C FE .	1,300,489			1,306,000	1,302,446		499			4.000	4.050		17,413	52,240	01/30/2017	03/01/2027
233331-AS-6	DTE ENERGY CO	-+		1,2	2.B FE .	3,006,690			3,000,000	3,002,534		(712)			3.500	3.473		8,750	105,000	05/07/2014	06/01/2024
233851-CK-8 24422E-UE-7	DAIMLER FINANCE NORTH AMERICA LLC JOHN DEERE CAPITAL CORP			P	2.A FE .	2,453,025			2,500,000 4,001,000	2,491,713 4,000,221		9,805 170			2.200 3.450	2.608		9,319	55,000 138,035	11/22/2016	10/30/2021
25156P-AV-5	DEUTSCHE TELEKOM INTERNATIONAL FINANCE B			1.2	1.F FE .	3,999,760 1,983,789			4,001,000	4,000,221					3.450	3.455		41,410 14,976	52,856	03/13/2018	03/13/2025
25 156P-BB-8	DEUTSCHE TELEKOM INTERNATIONAL FINANCE B	†	٠	1,2	2.B FE .					2,048,673					4.375	4.495			52,856	12/04/2018	06/21/2028
25243Y-AY-5	DIAGEO CAPITAL PLC		C	1.2	1.G FE .	2,000,529			2,005,000	2,002,588		835			3.500	3.547			70,175	05/15/2018	09/18/2023
25389J-AQ-9	DIGITAL REALTY TRUST LP		0	1.2	2.B FE .		117.0306		3,750,000	4,066,304		(65,243)			4.750	2.744		44,531	178, 125	10/16/2019	10/01/2025
25389J-AR-7	DIGITAL REALTY TRUST LP	1		1.2	2.B FE	17,247,029			17,047,000	17,228,081		(27,004)			3.700	3.501		238.279	630,739	11/21/2019	08/15/2027
25389J-AS-5	DIGITAL REALTY TRUST LP	1		1.2	2.B FE .	1,499,949			1,501,000	1,500,588		189			2.750	2.764		17.199	41,278	08/02/2017	02/01/2023
254687-CZ-7	WALT DISNEY CO	. [1,2	2.A FE .	1,997,084			1,919,000	1,961,240		(11,414)			3.700	3.024		20,906	71,003	09/28/2017	09/15/2024
254687-DK-9	WALT DISNEY CO			1,2	2.A FE .	8,512,303	112.6631	9,544,818	8,472,000	8,507,183		(6,026)			3.375	3.291	MN	36,536	285,930	04/01/2019	11/15/2026
25468P-CL-8	TWDC ENTERPRISES 18 CORP		.	. 1	2.A FE .	7,036,195				6,928,627		(55,898)			3.750	2.913		21,578	258,938	01/16/2019	06/01/2021
25468P-DK-9	TWDC ENTERPRISES 18 CORP	- 4		. 1	2.A FE .	1,495,966	110.5841	1,700,783	1,538,000	1,509,534		5,013			3.000	3.397		17,687	46,140	03/19/2018	02/13/2026
25470D-AL-3	DISCOVERY COMMUNICATIONS LLC			1,2	2.C FE .	3,623,482			3,233,000	3,557,473		(60,621)			4.900	2.716		48,405	158,417	11/26/2019	03/11/2026
256677-AE-5	DOLLAR GENERAL CORP			1,2	2.B FE .	6,996,627			6,904,000	6,967,827		(9,381)			3.875	3.702		56,479	267,530	11/01/2017	04/15/2027
256677-AF-2	DOLLAR GENERAL CORP	- ‡	-	1,2	2.B FE .	2,999,967			3,003,000	3,000,733		260			4. 125	4. 137		20,646	123,874	03/26/2018	05/01/2028
256746-AF-5	DOLLAR TREE INC			1,2	2.B FE .	2,950,263			2,990,000	2,968,835		8,358			3.700	4.016		14, 136	110,630	09/26/2018	05/15/2023
256746-AG-3	DOLLAR TREE INC			1,2	2.B FE .	16,060,878			15,546,000	16,012,209		(64,300)			4.000	3.203		79,457	551,100	06/19/2020	05/15/2025
256746-AH-1 257375-AN-5	DOLLAR TREE INC			1,2	2.B FE .	4,000,942 4,996,750			3,992,000	3,998,989		(802)			4.200	4. 172		21,424 15.972	167,664	04/09/2018	05/15/2028
25746U-BP-3	DOMINION ENERGY INC		-	1,2	2.A FE .				2,000,000	1,974,354		14,403			2.750	3.533		15,972	55,000	02/13/2014	09/15/2022
25746U-CE-7	DOMINION ENERGY INC			1,2	2.B FE .	1,970,669			1,934,000	1,955,203		(4,256)			3.900	3.634		18,857	75,426	02/15/2017	10/01/2025
25746U-CJ-6	DOMINION ENERGY INC	1		1,2	2.B FE .	4,992,850			5,000,000	4,999,083		1,450			2.000	2.030		37,778	100,000	08/04/2016	08/15/2021
25746U-CK-3	DOMINION ENERGY INC	1		1.2	2.B FE	2,644,540			2,875,000	2,705,308		26,394			2.850	4.034		30,954	81,938	08/16/2018	08/15/2026
26875P-AK-7	EOG RESOURCES INC]	1.2	1.G FE .		104 . 3771	4,776,296	4,576,000	4,501,236		32.234			2.625	3.402		35,369	120, 120	01/16/2019	03/15/2023
26875P-AP-6	EOG RESOURCES INC			1,2	1.G FE .				13,033,000	13,261,935		(42,959)			4.150	3.746	JJ	249,401	540,870	03/04/2019	01/15/2026
26884T-AL-6	ERAC USA FINANCE LLC			1,2	2.A FE .	7,797,654				7,714,500		(16,350)			3.850	3.600		37,634	294,525	08/31/2015	11/15/2024
27409L-AA-1	EAST OHIO GAS CO			1,2	1.F FE .	2,499,349			2,482,000	2,497,503		(1,846)			1.300	1.153		1,434	16,043	06/17/2020	06/15/2025
278062-AC-8	EATON CORP			. 1	2.A FE .	5,879,653			6,000,000	5,972,384		14,352			2.750	3.009		27,042	165,000	11/07/2013	11/02/2022
278865-BD-1	ECOLAB INC			1,2	1.G FE .	4,939,247			5,217,000	4,998,206		27,039			3.250	3.949		14, 129	169,553	10/05/2018	12/01/2027
28370T-AG-4	KINDER MORGAN ENERGY PARTNERS LP			1,2	2.B FE .	6,215,848			5,650,000	6, 131, 484		(84,364)			4.300	1.462		40,492	121,475	06/10/2020	05/01/2024
29250N-AR-6	ENBRIDGE INC		. U	1,2	2.B FE .	1,983,599			1,950,000	1,973,444		(3,270)			3.700	3.486		33,269	72,150	09/26/2017	07/15/2027
29273R-BK-4 29278N-AH-6	ENERGY TRANSFER OPERATING LP		-	1,2	2.0 FE .	2,998,691 1,974,984			2,979,000 1,982,000	2,994,904		(2,259)			4.200	4.100 4.578		26,414	125, 118	04/16/2019	04/15/2027
29379V-BE-2	ENERGY TRANSFER OPERATING LP ENTERPRISE PRODUCTS OPERATING LLC			1.2	2.6 FE .	2,992,782						(15,425)			3.750	3.131		40.786		10/04/2019	04/15/2024
29379V-BH-5	ENTERPRISE PRODUCTS OPERATING LLC			1.2	2.A FE .	1,991,252			2,024,000	2,001,667					3.700	3.131		28,291	74,888	03/23/2018	02/15/2026
29379V-BL-6	ENTERPRISE PRODUCTS OPERATING LLC			1,2	2.A FE .	1,990,954			1,894,000	1,959,716		(9,977)			3.950	3.296		28,263	74,813	09/27/2017	02/15/2027
30040W-AF-5	EVERSOURCE ENERGY]	1.2	2.A FE .	2,000,003			2,014,000	2,002,233		1.166			4.250	4.335		21,399	85,595	12/10/2018	04/01/2029
30161M-AL-7	EXELON GENERATION COMPANY LLC			1,2	2.B FE .	2,977,436			2,858,000	2,888,333		(24,215)			4.250	3.346		5,398	121,465	02/16/2017	06/15/2022
311900-C*-3	Fastenal Company			. 1	1.G	15,000,000	102. 0227	15,303,408	15,000,000	15,000,000					1.690	1.690	JAJ0	49,996	89, 183	06/10/2020	06/24/2023
31428X-AS-5	FEDEX CORP		.	. 1	2.B FE .	921,580	103 . 5881		1,000,000			9,625			2.625	3.673	FA	10,938	26,250	10/01/2013	08/01/2022
31428X-AY-2	FEDEX CORP			. 1	2.B FE .				4,000,000	4,041,249		(12,620)			4.000	3.638	JJ	73,778	160,000	04/23/2014	01/15/2024
31428X-BM-7	FEDEX CORP			1,2	2.B FE .	2,990,734			3,008,000	2,994,144		1,980			3.300		MS	29,228	99,264	04/11/2019	03/15/2027
31620M-AY-2	FIDELITY NATIONAL INFORMATION SERVICES I			1,2	2.B FE .	3,988,771			3,995,000	3,990,252		548			4.250	4.269		21,695	169,788	07/02/2018	05/15/2028
33767B-AB-5	FIRSTENERGY TRANSMISSION LLC		-	1,2	3.A FE .	1,999,820			2,000,000	2,000,070		11			4.350	4.350		40,117	87,000	05/14/2014	01/15/2025
337738-AS-7	FISERV INC		-	1,2	2.B FE .				8,000,000			(37,977)			2.750	0.830		110,000	444 470	09/25/2020	07/01/2024
337738-AT-5 337738-BB-3	FISERV INC			1,2	2.B FE .	3,499,755 4,996,700			3,500,000 5,000,000	3,499,818		30			3.200	3.201		56,000 9.375	114, 178 61, 875	06/10/2019	07/01/2026
340711-AV-2	FLORIDA GAS TRANSMISSION COMPANY LLC			1,2	2.B FE .	4,996,700			5,000,000	4,997,008		308			3.875	3.870		9,375		05/11/2020	06/01/2027
340711-AV-2	FLORIDA GAS TRANSMISSION COMPANY LLC		1	1.2	2.B FE .	6,979,621			6,841,000	6,920,454		(203)			4.350	4.050		137,219	297,584	06/01/2017	07/15/2025
341081-FM-4	FLORIDA POWER & LIGHT CO		1	1.2	1.D FE	1,980,225			2,032,000	1.995.345		6.721			3. 125	3.528		5.292	63,500	09/13/2018	12/01/2025

SCHEDULE D - PART 1

								Showing All Lo	ng-Term BOND	S Owned Dece	mber 31 of	Current Ye	ar								
1	2		Coc	les	6	7		Fair Value	10	11			usted Carryin	g Value			I	nterest		Da	ites
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CUSIP		ď	g	Bond	strative	Actual	Fair	Fair	Par	Carrying	Increase/	tization)	Impairment	Carrying	Rate	Rate	When	Due and	Received		Maturity
Identification	Description	e		Char	Symbol	Cost	Value	Value	Value	Value	(Decrease)	Accretion	Recognized	Value	of	of	Paid	Accrued	During Year	Acquired	Date
34490@-AB-0	National Football League Trust U.S. XI		- ''	Ullai	1.F FE		00104.0350	11,859,995	11,400,000	11,400,000	(Decrease)	Accietion	Recognized	value	2.680	2.718		74,683	39,039	07/17/2020	10/05/2025
345397-WF-6	FORD MOTOR CREDIT COMPANY LLC				3.B FE		50 103.0150		5,000,000	5,031,458		(17,445)			4.250	3.867	MC	59,618	212,500		
345397-XA-6	FORD MOTOR CREDIT COMPANY LLC	-†			3.B FE		31 100 . 8753	5, 150, 750				(13,622)			3.219	3.867	.I.I			02/09/2016 02/06/2015	09/20/2022
345397-XZ-1	FORD MOTOR CREDIT COMPANY LLC	1			3.A FE		00 101. 1353	7,079,471	7,000,000	7,024,987		(10, 193)			3.219	2.937		34,314	216,720	06/28/2016	05/04/2023
34959J-AG-3	FORTIVE CORP	1		1.2	2.B FE		021111772	3,346,434	3,010,000	3,003,754		1,033			3.150	3. 192		4,214	94,815	06/20/2017	06/15/2026
361448-AQ-6	GATX CORP	[.		1	2.B FE		00106.8331	534 . 166	500,000	502,889		(1,217)			3.900	3.629		4,929	19,500	06/02/2014	03/30/2023
369550-BG-2	GENERAL DYNAMICS CORP			1,2	1.F FE		32111.6383	7,445,158	6,669,000	6,619,705		10,225			3.500	3.685		29,825	233,415	11/30/2018	05/15/2025
37045X-AW-6	GENERAL MOTORS FINANCIAL COMPANY INC			1,2	2.C FE	7, 139, 18	102.9236	7,204,652	7,000,000	7,030,181		(26,328)			3.450	3.053		54,338	241,500	08/23/2016	04/10/2022
37045X-BM-7	GENERAL MOTORS FINANCIAL COMPANY INC			1,2	2.C FE	10,115,5	. 101.0288	10,102,880	10,000,000	10,010,897		(24,912)			3.200	2.944	JJ	155,556	320,000	07/25/2016	07/06/2021
37045X-CR-5	GENERAL MOTORS FINANCIAL COMPANY INC			1,2	2.C FE	2,969,6	31111.6277	3,322,040	2,976,000	2,971,933		1,205			5. 100	5.149		69, 142	151,776	01/14/2019	01/17/2024
375558-AZ-6	GILEAD SCIENCES INC			1,2	2.A FE		76110.4836	2,693,590	2,438,000	2,470,079		(7,738)			3.500	3.134		35,554	85,330	04/09/2019	02/01/2025
375558-BF-9	GILEAD SCIENCES INC			1,2	2.A FE		35113 . 4279	8,948,894	7,889,500	8,255,854		(69,408)			3.650	2.633		95,989	287,967	11/05/2019	03/01/2026
375558-BM-4	GILEAD SCIENCES INC			1,2	2.A FE		95110.3933	9,268,621	8,396,000	8,523,090		(21,016)			2.950	2.656		82,561	247,682	11/26/2019	03/01/2027
377372-AM-9	GLAXOSMITHKLINE CAPITAL INC			1	1.F FE		34112.6316	16,791,119	14,908,000	15,032,426		(26, 181)			3.625	3.417		69,053	540,415	04/01/2019	05/15/2025
377373-AG-0	GLAXOSMITHKLINE CAPITAL PLC		C	1,2	1.F FE		108 . 0032	5,428,241	5,026,000	5,008,377		4,822			3.000	3.109		12,565	150,780	03/18/2019	06/01/2024
38141E-C2-3	GOLDMAN SACHS GROUP INC			1,2	2.A FE		109.4372	5,477,332	5,005,000	4,970,527		8,939			3.850	4.062		92,599	192,693	10/04/2018	07/08/2024
38141G-GS-7 38141G-WQ-3	GOLDMAN SACHS GROUP INC			1,2,5	2.A FE		23105.6453 25109.2324	1,073,356	1,016,000	1,041,986 3,592,118		(23,000)			5.750 3.272	3.280		25,478	58,420	02/19/2019 10/10/2019	01/24/2022
38145G-AH-3	GOLDMAN SACHS GROUP INC			1,2,5	2.A FE		501121801	2,243,602	2,000,000	1,980,623		(23,215)			3.272	3.685		8.750	70,000	04/24/2019	11/16/2026
40052V-AC-8	GRUPO BIMBO SAB DE CV			1,2	2.B FE		10 109.3801	8,750,408	8,000,000	7,996,895		816			3.875	3.887		3,444	310,000	06/26/2014	06/27/2024
418056-AT-4	HASBRO INC			1.2	2.0 FE		60 100 . 4792	1,507,188	1,500,000	1,500,001		(131)			3.150	3.142		6,038	47,250	05/08/2014	05/15/2021
423012-AD-5	HEINEKEN NV		С	1	2.A FE		00104.5282	5,226,410	5.000.000	5,000,375		(161)			2.750	2.747		34,375	137.500	11/06/2012	04/01/2023
423012-AF-0	HEINEKEN NV		C	1.2	2.A FE		33113.4228	2,240,100	1,975,000	1,986,901		(1,515)			3.500	3.401		29, 186	69, 125	05/04/2017	01/29/2028
437076-BC-5	HOME DEPOT INC			1,2	1.F FE		72 109 . 6093	3,200,592	2,920,000	2,944,963		(8,098)			3.750	3.436		41,367	109,500	12/11/2018	02/15/2024
443510-AF-9	HUBBELL INC			1	2.A FE		75 105.7115	2,642,788	2,500,000	2,521,001		(10,746)			3.625	3.159		11,580	90,625	01/19/2012	11/15/2022
445658-CE-5	J B HUNT TRANSPORT SERVICES INC			1,2	2.A FE	9,985,2	50103.9524	10,395,240	10,000,000	9,996,402		2, 125			3.300	3.323	FA	124,667	330,000	08/19/2015	08/15/2022
445658-CF-2	J B HUNT TRANSPORT SERVICES INC			1,2	2.A FE		114. 9370	10,344,330	9,000,000	9,314,416		(58,362)			3.875	3. 106	MS	116,250	348,750	11/21/2019	03/01/2026
448055-AJ-2	HUSKY ENERGY INC		C	1,2	2.B FE	2,077,1	102.7488	2,054,976	2,000,000	2,012,153		(11,291)			3.950	3.352		16,678	79,000	09/24/2014	04/15/2022
448055-AK-9	HUSKY ENERGY INC	+	C	1,2	2.B FE		106 . 8750	1,068,750	1,000,000	1,015,077		(4,625)			4.000	3.474		8,444	40,000	10/22/2014	04/15/2024
45167R-AF-1	I DEX CORP			1,2	2.B FE		95 102. 5877	8,032,617	7,830,000	7,845,645		(22,026)			4.200	3.904		14,616	328,860	03/10/2015	12/15/2021
454889-AS-5	INDIANA MICHIGAN POWER CO			1,2	1.G FE		00116.1558	2,323,116	2,000,000	1,995,166		557			3.850	3.888		9,839	77,000	04/30/2018	05/15/2028
456873-AB-4 456873-AE-8	TRANE TECHNOLOGIES LUXEMBOURG FINANCE SA	+		1,2	2.B FE		110.4934	3,613,134	3,270,000	3,252,589		4, 161			3.550	3.700 3.465		19,348	116,085	10/07/2015	11/01/2024
458140-AS-9	INTEL CORP	+		1,2	2.B FE 1.E FE		24112.8562 13112.7406	5,625,882 11,606,645	4,985,000 10,295,000	4,992,949 10,644,350		(1,427)			3.500	2.861		48,465	174,475	04/10/2019	03/21/2026
458140-AU-4	INTEL CORP	-†		1,2	1.E FE		95 109. 0978	2,334,693	2,140,000	2,015,307					2.600	3.808			55,640	11/08/2018	05/19/2026
458140-BD-1	INTEL CORP	1		1,2	1.E FE		19 107 . 6510	3,233,836	3,004,000	3,003,655		97			2.875	2.879		11,995	86,365	05/08/2017	05/19/2020
460146-CL-5	INTERNATIONAL PAPER CO			1.2	2.B FE		24114.3478	10,885,911	9,520,000	9,505,621		2.540			3.800	3.833		166.812	361,760	05/20/2015	01/15/2026
460146-CP-6	INTERNATIONAL PAPER CO			1,2	2.B FE		75110.0929	5,482,626	4,980,000	4,968,462		1,690			3.000	3.042		56,440	149,400	08/02/2016	02/15/2027
465685-AJ-4	ITC HOLDINGS CORP	[1,2	2.B FE		0109.0239	1,090,239	1,000,000	998,722		341			3.650	3.690		1,622	36,500	05/28/2014	06/15/2024
46625H-JD-3	JPMORGAN CHASE & CO	1			1.F FE	4,476,0	15 104 . 4308	4,699,386	4,500,000	4,496,948		2,743			4.500	4.566		88,313	202,500	01/13/2012	01/24/2022
46625H-KC-3	JPMORGAN CHASE & CO	4		2	1.F FE		109. 3677	7,655,739	7,000,000	6,998,948		269			3. 125	3.129		96,007	218,750	04/23/2015	01/23/2025
46625H-QW-3	JPMORGAN CHASE & CO	4		2	1.F FE		66111.7401	6,844,081	6,125,000	6,008,457		19,246			3.300	3.694		50,531	202, 125	11/15/2018	04/01/2026
478375-AE-8	JOHNSON CONTROLS INTERNATIONAL PLC		C	. 1	2.B FE		52100.5001	2,010,002	2,000,000	1,999,727		1,651			4.250	4.336	MS	28,333	85,000	12/23/2016	03/01/2021
478375-AR-9	JOHNSON CONTROLS INTERNATIONAL PLC		C	1,2	2.B FE		95113.9394	10,528,001	9,240,000	9,244,546		(826)			3.900	3.889	FA	137 , 137	360,360	12/23/2016	02/14/2026
486606-J#-4	KAYNE ANDERSON ENERGY INFRASTRUCTURE FUN			1	1.A FE		110.9959	7, 103, 739	6,400,000	6,476,261		(16,219)			3.670	3.370			239,680	03/29/2019	04/16/2025
49338C-AB-9	KEYSPAN GAS EAST CORP	-+		1,2	1.G FE		16 109 . 8997	7,270,964	6,616,000	6,526,844		14,270			2.742	3.003		68,533	181,411	05/19/2017	08/15/2026
494550-BM-7	KINDER MORGAN ENERGY PARTNERS LP	-+		1,2	2.B FE		52105.2700	3, 178, 101	3,019,000	3,011,329		3,421			3.450	3.575		39,348	104, 156	02/16/2017	02/15/2023
50077L-AD-8 50077L-AS-5	KRAFT HEINZ FOODS CO			1,2	3.A FE		50104.3881 50106.9727	5,219,405	5,000,000 2,000,000	4,806,509		31,638			3.000	3.797		12,500	150,000	01/24/2017 06/04/2018	06/01/2026
501044-CS-8	KROGER CO			1,2	3.A FE		50 106.9727	2, 139, 454				1,665			3.850	3.913		48.125	115,500	05/04/2018	08/01/2023
501044-CX-7	KROGER CO			1,2	2.A FE		20100.0785	1,000,785	1,000,000	1,000,000		(421)			3.830	3.913		48, 125	33,000	04/09/2014	01/15/2021
501044-DC-2	KROGER CO			1,2	2.A FE		331121688	12,679,561	1,304,000	1,,000,000		17,441			3.500	3.693		164,850	395,640	04/29/2019	02/01/2026
501044-DE-8	KROGER CO			1.2	2.A FE		7109.3557	10,721,233	9.804.000			44 . 454			2.650			54,848	259,806	11/14/2019	10/15/2026

SCHEDULE D - PART 1

								Showing All Lo	ng-Term BOND:	S Owned Dece	mber 31 of	Current Yea	ar								
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CUSIP		d	g	Bond	strative	Actual	Fair	Fair	Par	Carrying	Increase/	tization)	Impairment	Carrying	Rate	Rate	When	Due and	Received		Maturity
Identification	Description	е	n	Char	Symbol	Cost	Value	Value	Value	Value	(Decrease)		Recognized	Value	of	of	Paid	Accrued	During Year	Acquired	Date
50152#-AE-7	KWIK TRIP, INC.			1	2.C PL		106.8487	3,526,007	3,300,000	3,365,741		(1,843)			3.150	2.750	MN	10,684	51,975	10/07/2020	05/24/2026
524660-AW-7 524660-AX-5	LEGGETT & PLATT INCLEGGETT & PLATT INC			1,2	2.C FE 2.C FE	955, 110	103.4584	1,034,584	1,000,000 5,000,000	990,668 5,074,788		5,464			3.400	4.000		12,844	34,000	08/27/2013	08/15/2022
524660-AX-5 55616X-AN-7	MACY'S RETAIL HOLDINGS LLC			1,4	4.A FE		99.8750	3,295,875	3,300,000	5,074,788		(19,085)			3.800	3.359		24,278	113,850	12/07/2015	01/15/2024
559222-AR-5	MAGNA INTERNATIONAL INC	- †		1.2	1.G FE		99.8750	8,848,185				(20, 147)			4.150	3.471			320,380	01/06/2016	10/01/2025
565849-AK-2	MARATHON OIL CORP	1	· ~	1,2	2.C FE		102.7500	4, 110, 000	4,000,000			19,771			2.800	3.340		18,667	112,000	09/09/2013	11/01/2022
565849-AP-1	MARATHON OIL CORP			1,2	2.0 FE		110.6250	2,765,625	2,500,000	2,604,454		(14,512)			4.400	3.651		50,722	110,000	07/16/2019	07/15/2027
571676-AA-3	MARS INC			1,2	1.F FE	1,986,420	108 . 1905	2,163,810	2,000,000	1,989,976		2, 195			2.700	2.826	AO	13,500	54,000	05/16/2019	04/01/2025
571903-AM-5	MARRIOTT INTERNATIONAL INC			1,2	2.C FE	7,898,587	101. 1554	7,890,121	7,800,000	7,810,060		(18,269)			3. 125	2.883	AO	51,458	243,750	10/29/2015	10/15/2021
571903-AN-3	MARRIOTT INTERNATIONAL INC			1,2	2.C FE		100 . 1291	6,027,772	6,020,000	6,019,534		2,828			2.875	2.923	MS	57,692	173,075	09/09/2015	03/01/2021
571903-AS-2	MARRIOTT INTERNATIONAL INC			1,2	2.C FE		106 . 4864	4,259,456	4,000,000	3,992,268		1,280			3. 125	3.164		5,556	125,000	06/07/2016	06/15/2026
57636Q-AB-0 577081-AY-8	MASTERCARD INC			1	1.E FE 4.C FE		109.4242	2, 139, 243	1,955,000	1,961,996 1,971,886		(2,014)			3.375	3.258		16,495 18.550	65,981	03/29/2018	04/01/2024
58013M-EY-6	MCDONALD'S CORP	-+		1,2	4.6 FE		101.2500		2,000,000 2,954,000	2,982,227		(5,258)			3.150	3.822		45,844	63,000	02/09/2018	03/15/2023
581557-BE-4	MCKESSON CORP			1,2	2.8 FE		109. 6262	1,096,262	1,000,000	1,009,145		(2,886)			3.796	3.469		45,644	37,960	07/22/2014	03/15/2024
58155Q-AG-8	MCKESSON CORP			1.2	2.B FE		103.0202	3,970,992	3,800,000	3,820,161		(9,925)			2.850	2.571		31,888	108,300	04/26/2013	03/15/2023
58174#-AD-6	McKinsey & Company, Inc.			1	1.E PL		. 103.3480	3,100,440	3,000,000	3,000,000		(0,020)			2.400	2.400		4,000	36,000	05/22/2020	06/11/2023
58174#-AE-4	McKinsey & Company, Inc.			1	1.E PL		105.6660	2,852,982	2,700,000	2,700,000					2.520	2.520		3,780	34,020	05/22/2020	06/11/2025
58507L-AC-3	MEDTRONIC GLOBAL HOLDINGS SCA		C	1,2	1.G FE		112.6588	3,327,941	2,954,000	2,989,665		(5,321)			3.350	3. 128		24,740	98,959	04/03/2019	04/01/2027
59524Q-AA-3	MID-ATLANTIC INTERSTATE TRANSMISSION LLC			1,2	2.B FE		111.7529	2,954,747	2,644,000	2,970,670		(24,876)			4.100	2.215		13,852	54,202	05/27/2020	05/15/2028
59833C-AA-0	MIDWEST CONNECTOR CAPITAL COMPANY LLC			1,2	2.B FE		102.4867	8,560,714	8,353,000	8,384,962		(9,454)			3.900	3.770		81,442	325,767	04/02/2019	04/01/2024
61746B-DQ-6	MORGAN STANLEY			1	2.A FE		110.5348	2, 100, 161	1,900,000	2,072,278		(26,823)			3.875	1.090		12,680	36,813	06/18/2020	04/29/2024
61746B-ED-4 61746B-EF-9	MORGAN STANLEY			4	2.A FE 2.A FE		101.9380	2,561,702 2,864,605	2,513,000 2,500,000	2,510,636 2,484,042		2,635			2.625	2.735 3.744		8,063	65,966 90,625	11/14/2016 02/13/2017	11/17/2021
628530-AV-9	MYLAN INC			1	2.0 FE		104.9610	2,099,220	2,000,000	1,953,868		2,309			3. 125	4.320		28,819	62,500	08/05/2014	01/20/2027
637417-AF-3	NATIONAL RETAIL PROPERTIES INC			1 2	2.A FE		105. 6366	1,655,326	1,567,000	1,570,217		(1,484)			3.300	3. 197	AO	10,917	51,711	04/05/2017	04/15/2023
637417-AH-9	NATIONAL RETAIL PROPERTIES INC			1.2	2.A FE		112. 6389	4,478,523	3,976,000	3,967,035		1.397			4.000	4.046	MN	20,322	159,040	11/29/2018	11/15/2025
637432-NM-3	NATIONAL RURAL UTILITIES COOPERATIVE FIN			1,2	1.E FE		102.6235	3,079,731	3,001,000	3,000,786		159			2.400	2.406	AO	13,204	72,024	04/18/2017	04/25/2022
641062-AE-4	NESTLE HOLDINGS INC			1,2	1.D FE		112.2500	9,020,410	8,036,000	7,998,428		7, 172			3.500	3.608		75,784	281,260	10/30/2018	09/24/2025
651229-AQ-9	NEWELL BRANDS INC			1,2	3.B FE		106.0000	7,220,720	6,812,000	6,868,949		(14, 178)			4.000	3.755		22,707	272,480	02/02/2017	12/01/2024
651229-AV-8	NEWELL BRANDS INC			1,2	3.B FE		104.8750	4,967,929	4,737,000	4,802,287		(29,877)			4.350	3.656		51,515	194,217	03/27/2017	04/01/2023
65339K-BH-2	NEXTERA ENERGY CAPITAL HOLDINGS INC			1,2	2.A FE		111.7840	3,912,440	3,500,000	3,491,787		1,414			3.250	3.299		28,438	113,750	03/28/2019	04/01/2026
65364U-AB-2 65364U-AK-2	NIAGARA MOHAWK POWER CORP NIAGARA MOHAWK POWER CORP			1,2	1.G FE 1.G FE		104.2531	5,629,667 6,553,472	5,400,000 5,970,000	5,351,160 5,957,682		24,479 3,021			2.721	3.214 3.567		13,469 52,357	146,934	08/11/2015	11/28/2022
655664-AP-5	NORDSTROM INC			1,2	3.A FE		109.7734		2,700,000			(35,872)			4.000	2.629		52,357		05/31/2012	10/01/2024
664397-AM-8	EVERSOURCE ENERGY			1.2	2.A FE		101. 2300	7,532,474	6,910,000	7,062,370		(37,818)			3.150	2.536		100,368	217,665	07/12/2016	01/15/2025
666807-BE-1	NORTHROP GRUMMAN CORP			1	2.B FE		100.6175	4,024,700	4,000,000	4,005,232		(25,661)			3.500	2.842		41,222	140,000	08/25/2015	03/15/2021
666807-BG-6	NORTHROP GRUMMAN CORP			1	2.B FE		107.3212	1,073,212	1,000,000			6,330			3.250	3.972		13,542	32,500	12/11/2013	08/01/2023
666807-BN-1	NORTHROP GRUMMAN CORP			1,2	2.B FE	13,881,692	112.0885	14,887,034	13,281,500	13,806,000		(69,651)		L	3.250	2.612	JJ	199,038	431,649	12/03/2019	01/15/2028
674599-CR-4	OCCIDENTAL PETROLEUM CORP			1,2	3.C FE		93.5000	1,870,000	2,000,000	1,998,879		182			3.200	3.211		24, 178	65,244	08/06/2019	08/15/2026
674599-DA-0	OCCIDENTAL PETROLEUM CORP			1,2	3.B FE		96.0000	9,417,600	9,810,000	9,936,779		(36,022)			3.450	3.035		156,061	338,445	04/23/2015	07/15/2024
68389X-BB-0	ORACLE CORP	+		1,2	1.G FE		102.7218	3,904,456	3,801,000	3,770,652		21,382			2.500	3.100	MN	12, 142	95,025	01/16/2019	05/15/2022
68389X-BM-6 69352P-AD-5	ORACLE CORP	+		1,2	1.G FE		109.3362	2,691,857	2,462,000 2,685,000	2,310,518 2,713,817		24,069			2.650	3.896	JJ	30,084	65,243	11/26/2018	07/15/2026
69352P-AD-5 69352P-AE-3	PPL CAPITAL FUNDING INCPPL CAPITAL FUNDING INC			1,2	2.A FE 2.A FE		104. 5028	2,805,900		2,713,817		(23,024)			3.500	3.286 2.570	JD	5,012	112,770	08/19/2015	06/15/2022
69352P-AF-0	PPL CAPITAL FUNDING INC			1.2	2.A FE		104. 0003	7,980,036	7,510,000	7,103,521		(67,789)			3.400	2.370	.ID	21,278	245,000	08/31/2016	06/01/2023
69352P-AK-9	PPL CAPITAL FUNDING INC	L.		1.2	2.A FE		100.2300	3. 157. 256	2.890.000	2,927,189		(11,747)			3.950	3.489		33.612	114, 155	11/17/2015	03/15/2024
69353R-EQ-7	PNC BANK NA	I		2	1.F FE		110.8835	1,086,658	980,000			(2,544)			3.250	2.949		2,654	31,850	12/07/2017	06/01/2025
69353R-EY-0	PNC BANK NA			2	1.F FE	4,000,031	101.6989	4,074,058	4,006,000	4,004,832		1,217			2.550	2.582	JD	6,243	102, 153	12/06/2016	12/09/2021
69353R-FJ-2	PNC BANK NA			2	1.F FE		113.8052	3,698,669	3,250,000	3,401,350		(19,592)			3.250	2.518		46,651	105,625	12/02/2019	01/22/2028
713448-CM-8	PEPSICO INC	4		1,2	1.E FE		109. 2075	2, 184, 150	2,000,000	1,998,795		354			3.600	3.620		24,000	72,000	02/25/2014	03/01/2024
717081-DV-2	PFIZER INC			1	1.F FE		110 . 3693	3,376,197	3,059,000	2,993,072		10,982			2.750	3. 186		6,543	84, 123	02/26/2019	06/03/2026
723787-AM-9	PIONEER NATURAL RESOURCES CO	L	1	1,2	2.B FE	.L14,865,306	116.2500	16,429,613	14, 133, 000	14,679,096	L	(100,427)	L		4.450	3.562	JJ	290,001	588,846	02/04/2020	01/15/2026

SCHEDULE D - PART 1

								Showing All Lor	ng-Term BONDS	S Owned Dece	mber 31 of (Current Ye	ar								
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		0	i		Admini-		Obtain			Adjusted	Valuation	(Amor-	Temporary	Adjusted		Effective		Amount	Amount		Contractual
CUSIP		d	g	Bond	strative	Actual	Fair	Fair	Par	Carrying	Increase/	tization)	Impairment	Carrying	Rate	Rate	When	Due and	Received		Maturity
Identification	Description	e	n	Char	Symbol	Cost	Value	Value	Value	Value	(Decrease)	Accretion	Recognized	Value	of	of	Paid	Accrued	During Year	Acquired	Date
74151#-AM-0	PricewaterhouseCoopers LLP	е	- "	Ullai	1.F IF	5,000,000			5,000,000	5,000,000	(Decrease)	Accretion	Recognized	value	3.200		MS	49,778	During real	06/09/2020	09/15/2027
74131#-AM-0 742718-FG-9	PROCTER & GAMBLE CO		-	.	1.D FE	2,000,945			2,006,000	2,001,454		510			2.800	2.840		14,978	28,084	03/23/2020	03/25/2027
742741-AA-9	PROCTER & GAMBLE CO	·			1.D FE		99.9880	2,230,590	2,006,000			(5,670)			9.360	0.000	.I.I	14,309	28,084	11/24/2008	01/01/2021
	PROLOGIS LP			1.2	1.G FE	2,944,037		3,369,773	2,965,000	2,949,959		2.784			3.750	3.866		18.531	111, 188	10/25/2018	11/01/2025
74340X-BH-3	PROLOGIS LP	1	1	1,2	1.G FE	1,000,152		1,194,852	1,007,000	1,001,565		594			3.875	3.957		11,490	39,021	06/11/2018	09/15/2028
74460D-AC-3	PUBLIC STORAGE	I]	1,2	1.F FE	7,865,700		8,385,668	7,500,000	7,811,816		(44,251)			3.094	2.395		68,326	232,050	10/31/2019	09/15/2027
75513E-CG-4	RAYTHEON TECHNOLOGIES CORP			1,2	2.A FE	2,999,288		3,215,788	2,986,000	2,992,469		(1,855)			3.200	3. 125		28,135	98,538	04/06/2017	03/15/2024
75625Q-AD-1	RECKITT BENCKISER TREASURY SERVICES PLC		. C	1,2	1.G FE	17,253,613	. 106 . 4587	18 , 177 , 823	17,075,000	17,261,371		(22,875)			2.750	2.391	JD	6,522	469,563	06/15/2020	06/26/2024
75884R-AV-5	REGENCY CENTERS LP			1,2	2.A FE	5,726,108		6,277,703	5,704,000	5,719,878		(2,377)			3.600	3.547		85,560	205,344	04/30/2019	02/01/2027
75884R-AX-1	REGENCY CENTERS LP		.	. 1,2	2.A FE	2,000,733		2,287,768	2,004,000	2,001,532		289			4. 125	4.145		24,340	82,665	03/09/2018	03/15/2028
75886A-AJ-7	REGENCY ENERGY PARTNERS LP			. 1,2	2.C FE	10,368,120			9,979,000	10 , 145 , 136		(60,010)			4.500	3.818		74,843	449,055	02/03/2017	11/01/2023
75886A-AQ-1	REGENCY ENERGY PARTNERS LP			. 1,2	2.C FE	3,960,823		3,941,080	3,718,000	3,788,709		(45,078)			5.000	3.686		46,475	185,900	12/13/2016	10/01/2022
	REPUBLIC SERVICES INC			. 1,2	2.B FE	1,971,920			2,115,000	2,006,574		17,330			2.900	3.946		30,668	61,335	12/13/2018	07/01/2026
775109-BE-0 78355H-KG-3	ROGERS COMMUNICATIONS INC			. 1,2 1,2	2.A FE 2.B FE	4,955,580 1,999,587		5,452,225	4,830,000	4,897,396 2,002,555		(13,009)			3.625	3.303		7,782	175,088	03/21/2016	12/15/2025
78355H-KK-4	RYDER SYSTEM INC			1,2	2.B FE	7,210,961		2, 124, 085	2,005,000 6,802,500			(56,332)			3.400	1.969		22,723		02/26/2018	12/01/2023
78355H-KL-2	RYDER SYSTEM INC			1,2	2.B FE	4,995,300			5,000,000	4,996,989					3.650	3.670	MS	52,215	182,500	02/25/2019	03/18/2024
78355H-KP-3	RYDER SYSTEM INC	1		1.2	2.B FE	5,097,348		5,596,388	5,100,000	5,097,785		380			2.900	2.908	.ID	12.325	154.884	11/06/2019	12/01/2026
785592-AJ-5	SABINE PASS LIQUEFACTION LLC			1.2	2.C FE	2,995,462		3,190,860	2,799,000	2,912,179		(33, 144)			5.750	4.352		20.565	160,943	05/23/2018	05/15/2024
785592-AM-8	SABINE PASS LIQUEFACTION LLC			1,2	2.C FE	7,901,171		8,303,356		7,569,175		(100,841)			5.625	3.933		133,781	401,344	09/22/2017	03/01/2025
785592-AS-5	SABINE PASS LIQUEFACTION LLC			1,2	2.C FE	1,992,206			1,910,000	1,968,875		(8,924)			5.000	4.383		28,119	95,500	04/10/2018	03/15/2027
785592-AV-8	SABINE PASS LIQUEFACTION LLC			1,2	2.C FE	2,901,068		3,079,673	2,547,500	2,829,459		(50,872)			5.875	3.445	JD	416	149,666	07/26/2019	06/30/2026
806851-AE-1	SCHLUMBERGER HOLDINGS CORP			. 1,2	2.A FE	3,994,600		4, 162, 304	4,000,000	3,998,355		791			3.625	3.647		4,028	145,000	12/10/2015	12/21/2022
806854-AD-7	SCHLUMBERGER INVESTMENT SA		. C	. 1,2	1.F FE	3,989,509		4,294,867	4, 190,000	4, 139, 924		30,356			2.400	3.180		41,900	100,560	09/11/2015	08/01/2022
806854-AH-8	SCHLUMBERGER INVESTMENT SA		. C	. 1,2	1.F FE		. 108 . 3628	3,066,667	2,830,000	2,888,723		(20,905)			3.650	2.837		8,608	103,295	04/03/2017	12/01/2023
822582-BD-3	SHELL INTERNATIONAL FINANCE BV		. C	-[]	1.D FE	12,048,450		13,288,824	12,000,000	12,023,202		(4,889)			3.250	3.202		54, 167	390,000	05/06/2015	05/11/2025
824348-AW-6 824348-BF-2	SHERWIN-WILLIAMS COSHERWIN-WILLIAMS CO			1.2	2.C FE 2.C FE	7,803,103 2,959,143		8,403,163	7,406,500 2,970,000	7,748,386 2,963,151		(50,422)			3.450	2.634		21,294 54.095	255,524	12/05/2019 08/09/2017	06/01/2027
82481L-AB-5	SHIRE ACQUISITIONS INVESTMENTS IRELAND D			1 2	2.6 FE	2,745,909		2,791,523	2,970,000	2,963,131		1,204			2.400	2.471		17,999	117,315	10/11/2016	09/23/2021
82481L-AC-3	SHIRE ACQUISITIONS INVESTMENTS IRELAND D		. C	1 2	2.B FE	3,984,520	106 0232	4,240,928	4,000,000	3,993,604		2,228			2.875	2.937		31,306	115,000	10/25/2016	09/23/2023
82620K-AE-3	SIEMENS FINANCIERINGSMAATSCHAPPIJ NV		C	1,2	1.E FE	2,982,354		3,232,320	2,912,000	2.953.616		(8.744)			3.250	2.902		8.938	94,640	07/28/2017	05/27/2025
82620K-AK-9	SIEMENS FINANCIERINGSMAATSCHAPPIJ NV		. C		1.E FE	1,999,762		2,234,277	2,145,000	2,063,361		28,392			2.000	3.489		12,632	42,900	09/13/2018	09/15/2023
82620K-AL-7	SIEMENS FINANCIERINGSMAATSCHAPPIJ NV		. C	. 1	1.E FE	4,373,151	. 107 . 7848	5, 193, 072	4,818,000	4,486,806		50,544			2.350	3.680		23,903	113,223	09/26/2018	10/15/2026
82620K-AS-2	SIEMENS FINANCIERINGSMAATSCHAPPIJ NV		. C	. 1	1.E FE	9,059,528	107.9532	9,687,720	8,974,000	9,015,810		(12,296)			3. 125	2.971		81,794	280,438	04/06/2017	03/16/2024
82620K-AU-7	SIEMENS FINANCIERINGSMAATSCHAPPIJ NV		. C	. 1	1.E FE	4,000,955		4,534,397	4,005,000	4,002,432		366			3.400	3.412		39,716	136, 170	03/07/2017	03/16/2027
832696-AB-4	J M SMUCKER CO	.		. [1	2.B FE	2,965,515	. 102 . 4417	2,817,147	2,750,000	2,771,089		(26,307)			3.500	2.507		20,319	96,250	12/05/2012	10/15/2021
833034-AH-4	SNAP-ON INC	+		. []	1.G FE	2,174,623			2,000,000	2,013,188		(19,160)			6. 125	5.099		40,833	122,500	06/11/2010	09/01/2021
842587-CU-9	SOUTHERN CO	-+	-	. 1,2	2.B FE	4,995,750			5,000,000	4,998,452		588			2.950	2.963		73,750	147,500	05/19/2016	07/01/2023
854502-AK-7 855244-AG-4	STANLEY BLACK & DECKER INCSTARBUCKS CORP			. 1,2	1.G FE 2.A FE	10,505,366 4,871,600		11,868,607		10,506,503					3.400	3.407		119,113	357,340	03/07/2019	03/01/2026
855244-AQ-2	STARBUCKS CORP	-+		1,2 1,2	2.A FE	2,985,754		3, 147, 545	2,990,000			561			2.700	3.301		42.923	113,620	01/09/2019	06/15/2022
863667-AF-8	STRYKER CORP			1,2	2.A FE	7,694,204		7,938,217		7,470,976		(51,967)			3.375	2.589		31,481	246,375	08/08/2016	05/15/2024
863667-AH-4	STRYKER CORP			1,2	2.A FE		111.8839	2,159,359	1,930,000	1,967,051		(7,449)			3.375	2.925		10.856	65, 138	04/27/2016	11/01/2025
863667-AN-1	STRYKER CORP]	1,2	2.A FE	4,971,810		5,544,795	4,890,000	4,937,894		(8,754)			3.500	3.285		50,394	171, 150	11/16/2016	03/15/2026
867224-AA-5	SUNCOR ENERGY INC		. C	1,2	2.A FE	4,931,206		5,311,061	4,815,000	4,889,327		(18,815)			3.600	3. 152	JD	14,445	173,340	04/01/2019	12/01/2024
867914-BM-4	TRUIST FINANCIAL CORP			2	1.G FE	2,996,160	102.3338	3,070,014	3,000,000	2,999,192		734			2.700	2.726	JJ	34,650	81,000	11/28/2016	01/27/2022
871829-BC-0	SYSCO CORP			1,2	2.C FE	9,990,394		10,983,426	9,900,000	9,951,753		(8,823)			3.300	3. 192		150,645	326,700	06/23/2016	07/15/2026
872540-AP-4	TJX COMPANIES INC			. 1,2	1.F FE		. 104 . 4800	1,044,800	1,000,000	999,778		90			2.500	2.510		3, 194	25,000	04/29/2013	05/15/2023
872540-AQ-2	TJX COMPANIES INC			. 1,2	1.F FE	4,911,667		5,634,206	5,225,000	4,983,714		37,641			2.250	3. 133		34,616	117,563	06/03/2019	09/15/2026
872540-AS-8	TJX COMPANIES INC			1,2	1.F FE	3, 182, 914		3,209,257	2,777,000	3, 152, 684		(30,230)			3.750	1.433		21,985	56,119	06/24/2020	04/15/2027
87264A-BH-7 87305Q-CD-1	T-MOBILE USA INC	+		1,2	2.0 FE	2,494,675 2,568,950			2,500,000	2,495,047		372 (11,447)		·	2.050	2.080 2.561		26,622 9,743		06/18/2020	02/15/2028
87305Q-CF-6	TTX CO	+			1.F FE				2,500,000 7,000,000	2,522,182 7.004.448		(11,447)			3.050	4.127				09/21/2016	11/15/2022
010000-01-0	11A VV			. []	agranded the sail	, 000, 210, 000	100.0144		, ,000,000			(1,0/0)	4		. 100	+. 14/	·			11 U2 / U7 / 4U I4	0 1/ 10/ 2024

SCHEDULE D - PART 1

								Showing All Lor	ng-Term BOND	S Owned Dece	mber 31 of	Current Yea	ar								
1	2		Cod	les	6	7		Fair Value	10	11		e in Book/Adju		y Value			I	nterest		Da	ites
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		С	е		SVO		Used to)		Book/	Unrealized	Year's	Than-	Book/				Admitted			Stated
		0	l i		Admini-		Obtain			Adjusted	Valuation	(Amor-	Temporary	Adjusted		Effective		Amount	Amount		Contractual
CUSIP		d	g	Bond	strative	Actual	Fair	Fair	Par	Carrying	Increase/	tization)	Impairment	Carrying	Rate	Rate	When	Due and	Received		Maturity
Identification	Description	e		Char	Symbol	Cost	Value	Value	Value	Value	(Decrease)		Recognized	Value	of	of	Paid	Accrued	During Year	Acquired	Date
87305Q-CH-2	TTX 00	-	- "	1	1.F FE		001112483	8.899.864	8.000.000	8,169,478	(Decrease)	(38,996)	Recognized	value	3.600	3.037		132,800	288.000	06/06/2019	01/15/2025
874060-AW-6	TAKEDA PHARMACEUTICAL CO LTD			1.2	2.B FE		3 124. 1771	6,972,544	5,615,000	6,947,643		(14,410)			5.000	1.681		27,295	200,000	12/04/2020	11/26/2028
87612E-BE-5	TARGET CORP		0	1	1.G FE		52110.1851	3,244,951	2,945,000	2,860,886		14,456			2.500	3.090		15,543	73,625	03/12/2019	04/15/2026
87971M-BF-9	TELUS CORP		. C	1.2	2.A FE		7114.4306	5.553.317	4.853.000	4,909,619		(7,687)			3.700	3.497		52.871	179,561	07/12/2017	09/15/2027
883556-BM-3	THERMO FISHER SCIENTIFIC INC			1,2	2.A FE		1113.2436	2,307,905	2,038,000	2,009,297		5, 149			3.650	3.966		3,306	74,387	06/27/2018	12/15/2025
886546-AB-6	TIFFANY & CO	[1,2	2.B FE		9 109.5079	4,380,316	4,000,000	4,001,649		(422)			3.800	3.788		38,000	152,000	05/08/2015	10/01/2024
89147L-J*-2	TORTOISE ENERGY INFRASTRUCTURE CORPORATI			1	1.A FE		131102152	1,493,637	1,355,200	1,361,661		(1,325)			3.480	3.380		2,227	56,340	03/29/2019	06/14/2025
89153V-AG-4	TOTAL CAPITAL INTERNATIONAL SA		C	1	1.D FE	2,999,22	109.6147	3,288,441	3,000,000	2,999,737		80			3.700	3.703		51, 183	111,000	08/12/2013	01/15/2024
892331-AC-3	TOYOTA MOTOR CORP		C		1.E FE		0 107 . 7957	2, 155, 914	2,000,000	2,000,000					3.419	3.419		30,581	68,380	07/10/2018	07/20/2023
892331-AF-6	TOYOTA MOTOR CORP		C		1.E FE		0106.0947	3, 182, 841	3,000,000	3,000,000		ļ			2.358	2.358		35, 174	70,740	06/25/2019	07/02/2024
89236T-DW-2	TOYOTA MOTOR CREDIT CORP			1	1.E FE	1,994,33	107 . 5059	2, 124, 317	1,976,000	1,985,219		(2,645)			2.900	2.751		11,779	57,304	05/22/2017	04/17/2024
89236T-EW-1	TOYOTA MOTOR CREDIT CORP			1	1.E FE		3111.4262	3,350,586	3,007,000	3,002,367		989			3.400	3.439		21,868	102,238	04/10/2018	04/14/2025
89236T-FQ-3	TOYOTA MOTOR CREDIT CORP				1.E FE		00100.0107	13,001,391	13,000,000	12,999,924		3,957			3.050	3.081		190,540	396,500	01/03/2019	01/08/2021
89236T-FS-9 893045-AE-4	TOYOTA MOTOR CREDIT CORP			1	1.E FE		0108.5225	3,255,675	3,000,000 2,000,000	2,999,495		156			3.350	3.356		48,296	100,500	01/03/2019	01/08/2024
893526-DM-2	TRANS ALLEGHENY INTERSTATE LINE COTRANSCANADA PIPELINES LTD	+		1,2	2.B FE 2.A FE		0109.2444 0103.2572	2, 184, 888	2,000,000	1,999,308 1,997,445		1,561			3.850	3.859		6,417	77,000	12/08/2014 04/22/2013	06/01/2025
89352H-AT-6	TRANSCANADA PIPELINES LTD			1,2	2.A FE		33 118 . 4976	4,242,214	3,580,000	3,808,737		(43,232)			4.875	3.418			174,525	03/24/2017	01/15/2026
89352H-AV-9	TRANSCANADA PIPELINES LTD			1.2	2.A FE		13117.5262	5,852,805	4.980.000	4,921,399					4.250	4.439		27.044	211,650	10/10/2018	05/15/2028
893574-AK-9	TRANSCONTINENTAL GAS PIPE LINE COMPANY L			1,2	2.B FE		6115.2809	3,478,025	3,017,000	3,004,324		1.490			4.000	4.068		35.534	120,680	03/15/2018	03/15/2028
902133-AM-9	TYCO ELECTRONICS GROUP SA		С	1,2	2.A FE		0102.5723	2,051,446	2,000,000	2,006,338		(7,263)			3.500	3.118		28,778	70,000	05/19/2015	02/03/2022
902133-AR-8	TYCO ELECTRONICS GROUP SA			1,2	1.G FE		0108.4791	1,084,791	1,000,000			393			3.450	3.496		14,375	34,500	07/28/2014	08/01/2024
902494-BJ-1	TYSON FOODS INC			1,2	2.B FE		33 114. 9119	13,704,393	11,926,000	11,984,220		(10,374)			4.000	3.892		159,013	477,040	03/19/2019	03/01/2026
902613-AC-2	UBS GROUP AG		C	2,5	1.G FE		00100.8000	1,008,000	1,000,000	1,000,000					1.364	1.364	JJ	5,721		07/27/2020	01/30/2027
90331H-NV-1	US BANK NA			2	1.D FE		0 107 . 4348	5,371,740	5,000,000	4,997,332		980			3.400	3.422		74, 139	170,000	07/19/2018	07/24/2023
90351D-AB-3	UBS GROUP AG		C		1.G FE		0114.5140	6,052,065	5,285,000	6,005,320		(62,230)			4. 125	1.154		58,741	109,003	07/29/2020	09/24/2025
90352J-AC-7	UBS GROUP AG		C	2	1.G FE		3116.3410	1,981,287	1,703,000	1,981,055		(2,838)			4.253	1.496		19,717		12/04/2020	03/23/2028
904764-AX-5	UNILEVER CAPITAL CORP			1,2	1.E FE		106.7470	5,390,724	5,050,000	5,024,923		7,075			2.600	2.757		20,424	131,300	05/02/2017	05/05/2024
904764-BB-2	UNILEVER CAPITAL CORP			1,2	1.E FE		2110.7489	4,454,321	4,022,000	4,006,623		3,330			3.375	3.473		37,329	135,743	04/03/2018	03/22/2025
904764-BC-0 907818-EH-7	UNILEVER CAPITAL CORP			1,2	1.E FE 2.A FE		23116.1309	5,854,159	5,041,000 2,075,000	4,984,539 2,006,956		6,723 11,870			3.500	3.678 3.449		48,520 19,021	176,435	09/14/2018	03/22/2028
907818-EH-7 91159H-HR-4	U.S. BANCORP			2	2.A FE		35109.0714 17112.1889	2,263,232	2,075,000	2,006,956					2.750	3.449		19,021		02/16/2018	03/01/2026
91159H-HX-1	U.S. BANCORP			2	1.E FE		171121889 301063979					7,204			2.400	2.421			95,918	07/24/2019	07/30/2024
913017-CY-3	RAYTHEON TECHNOLOGIES CORP			1.2	2.A FE		119.0064	2,969,210	2,495,000	2,943,087		(9,620)			4. 125	1.612		12,865	51,459	10/27/2020	11/16/2028
91324P-CV-2	UNITEDHEALTH GROUP INC			1	1.G FE		14112.0118	2,281,680	2,037,000	1,992,291		7,707			3.100	3.566		18,593	63,147	03/02/2018	03/15/2026
91324P-CY-6	UNITEDHEALTH GROUP INC	.1.		1	1.G FE		35114.0178	2,341,926	2,054,000	2,005,834		6,675			3.375	3.798		14,635	69,323	06/06/2018	04/15/2027
91533B-AA-8	VIATRIS INC	I		1	2.C FE		0101.0274	5,051,370	5,000,000	4,998,412		562			1. 125	1.147		1,406	28,125	06/17/2020	06/22/2022
92343V-BR-4	VERIZON COMMUNICATIONS INC			1	2.A FE	3, 145, 20	0 112.5605	3,376,815	3,000,000	3,046,130		(15,837)			5. 150	4.537	MS	45,492	154,500	11/19/2013	09/15/2023
92343V-EN-0	VERIZON COMMUNICATIONS INC			1	2.A FE		111.0073	2,775,183	2,500,000	2,522,644		(5,069)	ļ		3.376	3.140	FA	31,884	84,400	04/15/2019	02/15/2025
92553P-AT-9	VIACOMCBS INC			1,2	2.B FE	5, 152, 17	0108.7091	5,435,455	5,000,000	5,045,610		(17,651)			4.250	3.852		70,833	212,500	04/04/2014	09/01/2023
92553P-AX-0	VIACOMCBS INC			1,2	2.B FE		108.9545	9,696,951	8,900,000	8,892,076		2, 124			3.875	3.903		86,219	344,875	04/23/2014	04/01/2024
927804-FZ-2	VIRGINIA ELECTRIC AND POWER CO			1,2	1.F FE		9116.5025	3,069,841	2,635,000	2,959,963		(26,835)			3.800	1.909		25,033	50,065	05/14/2020	04/01/2028
92826C-AD-4	VISA INC			1,2	1.D FE		01121122	5,605,610	5,000,000	4,858,996		25,454			3. 150	3.780		7,438	157,500	10/10/2018	12/14/2025
928668-AR-3	VOLKSWAGEN GROUP OF AMERICA FINANCE LLC			[]	2.A FE		3 109. 9802	5,532,004	5,030,000	5,012,191		5,720			4.250	4.383		28,503	213,775	11/07/2018	11/13/2023
928668-AZ-5	VOLKSWAGEN GROUP OF AMERICA FINANCE LLC			1	2.A FE		103. 6582	2,073,164	2,000,000	1,999,566		242			2.700	2.713		14,250	54,000	09/19/2019	09/26/2022
928668-BB-7 928668-BN-1	. VOLKSWAGEN GROUP OF AMERICA FINANCE LLC			1 2	2.A FE 2.A FE		14110.1946 50100.5754	2,865,060 3,017,262	2,600,000 3,000,000	2,622,538 2,989,501		(3,659)			3.200	1.679		21,956 5,010	83,200	09/25/2019	09/26/2026
928668-BN-1 92890H-AC-6	. VOLKSWAGEN GROUP OF AMERICA FINANCE LLC			1,2	2.A FE		0100.5754		4,000,000			1.501			3.750	3.794			150.000	09/10/2014	09/17/2024
931142-ED-1	WALMART INC			1,2	1.0 FE		0105.3950	4,215,800		6,986,507		2,727			3.750	3.794			248,500	06/20/2018	06/26/2025
931422-AH-2	WALGREEN CO			1	2.B FE		39104.3369	8.232.181	7.890.000	7,875,919		7.889			3.100	3.337		72.018	244,590	07/22/2015	09/15/2022
931427-AF-5	WALGREENS BOOTS ALLIANCE INC			1.2	2.B FE		0102.0408	5,612,244	5.500.000	5,496,073		4,338			3.300	3.383		21,679	181,500	09/01/2015	11/18/2021
94974B-GH-7	WELLS FARGO & CO			,	2.A FE		3108.4452	6,528,401	6,020,000	6,011,033		2,008			3.000	3.039		66,220	180,600	02/11/2015	02/19/2025
96950F-AM-6	WILLIAMS COMPANIES INC	[1,2	2.B FE		5110.1915	7,601,010	6,898,000	6,925,413		(8,984)	l		4.300	4. 150		96,400	296,614	11/28/2018	03/04/2024
96950F-AQ-7	WILLIAMS COMPANIES INC			1.2	2.B FE		8110.6301	4,535,834	4,100,000	4,153,074		(12.834)			3.900			73,732	159,900	04/03/2019	01/15/2025

SCHEDULE D - PART 1

								Showing All Lon	g-Term BOND	S Owned Dece	mber 31 of	Current Ye	ar								
1	2		Cod	les	6	7		Fair Value	10	11	Change	e in Book/Adi	usted Carrying	g Value			ıl	nterest		Da	tes
		3	4	5			8	9			12	13	14	15	16	17	18	19	20	21	22
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			0		Modifie	er						_	Year's	Change							
			r		and		Rate					Current	Other-	in							
		С	е		SVO		Used to			Book/	Unrealized	Year's	Than-	Book/				Admitted			Stated
		0	i		Admini	-	Obtain			Adjusted	Valuation	(Amor-	Temporary	Adjusted		Effective		Amount	Amount		Contractual
CUSIP		d	g	Bond	strative	e Actual	Fair	Fair	Par	Carrying	Increase/	tization)	Impairment	Carrying	Rate	Rate	When	Due and	Received		Maturity
Identification	Description	е	n	Char	Symbo	ol Cost	Value	Value	Value	Value	(Decrease)	Accretion	Recognized	Value	of	of	Paid	Accrued	During Year	Acquired	Date
976657-AL-0	WEC ENERGY GROUP INC			1,2	2.A FE			1,879,119	1,687,000	1,688,865		(391)			3.550	3.522	JD	2,662	106,145	06/04/2015	06/15/2025
C6574#-AE-6	The North West Company Inc.	ļ		1	2.C	3,300,000	108 . 1420	3,568,687	3,300,000	3,300,000					2.880	2.880	JD	3,960	47,520	05/06/2020	06/16/2027
C9716#-AB-5	WASTE CONNECTIONS, INC.		C	1	2.A FE			5,049,966	4,800,000	4,920,118		(8,714)			2.750	1.688		11,000	66,000	10/07/2020	06/01/2023
G4706#-AB-4	ICON Investments Five Unlimited Company		C	[]	2.B	4,400,000		4,503,109	4,400,000	4,400,000					2.320	2.320		6,522		07/09/2020	12/08/2023
N7660#-AS-6 PP1F2E-09-7	SHV Nederland BV	†	Ú	I!	2.C IF			14,241,898 3,142,170	14,000,000	14,000,000 3,000,000					2.170 3.000	2.170		59,072 6,250	52,500	09/24/2020	10/21/2025
PPE508-XB-5	Wheels, Inc	·	C	ļ¦	1.F		104.7390		4,750,000	4,750,000					1.970	1.970		5,250	52,500	06/18/2020	12/02/2023
PPEA16-PX-8	Waters Corporation	İ	J	li	2.0	7,100,000		7,100,000	7,100,000	7.100.000					1.680	1.680		, ,500		11/19/2020	03/02/2026
	btotal - Bonds - Industrial and Miscellaneo	us (I	Inaffil	iated) - I		,,,		, , , , ,	,,	,,							7				
	oligations	ع) دد.	····		23401	1,909,468,968	XXX	2,052,941,849	1,886,439,400	1,903,416,503		(2.654.476)			XXX	XXX	XXX	16.593.835	60.466.315	XXX	XXX
	CWHL 2007-10 A4 - CMO/RMBS	1		4	1.D FM			260,054	378,015	209,581		(13,996)			5.500	11.030		1,733	21,479	03/26/2008	07/25/2037
17322N-AA-2	CMLTI 2014-J1 A1 - CMO/RMBS			4	1.D FM	2,525,709		2,592,823	2,515,490	2,521,867		(378)			3.500	3.470		7,337	101,223	06/24/2014	05/25/2044
17323E-AD-5	CMLTI 2014-J2 A1 - CMO/RMBS			4	1.D FM		102.2276	371,738	363,638	365,680		(90)			3.500	3.451		1,061	15,262	10/24/2014	11/25/2044
46639G-AL-0	JPMMT 2013-1 1A2 - CMO/RMBS			4	1.D FM		102. 9070	1,246,767	1,211,548	1, 171,523		(5,050)			3.000	4.059		3,029	43,490	08/13/2014	03/25/2043
46640B-AC-8	JPMMT 2013-2 A2 - CMO/RMBS	ļ		4	1.D FM		103.3528	1,247,883	1,207,400	1,235,989		5,678			3.500	2.855		3,522	48, 107	02/04/2016	05/25/2043
46644V-BK-1	JPMMT 154 2A3 - CMO/RMBS			4	1.D FM	4,264,863	101 . 6239	4,342,944	4,273,548	4, 264, 929		14			3.000	3.020		10,684	141, 102	07/21/2015	06/26/2045
81745B-AB-1	SEMT 2013-6 A2 - CMO/RMBS			4	1.D FM			1,637,272	1,593,928	1,594,395		180			3.000	2.947		3,985	51,606	04/28/2016	05/26/2043
822804-AJ-9 92212K-AB-2	SAFT 2013-1 A2 - CMO/RMBS	·		4	1.D FM	938,483	103.1023	999,091 7,472,525		944,967 7,500,000		718			3.500	3.646		2,826 5.483	40,538 23,990	06/27/2013	07/31/2043
92212K-AC-0	VDC 202 A2 - RMBS			4	1.G FE				10,000,000	10,000,000					1.992	1.992		8,853	38,733	09/22/2020	09/15/2045
	btotal - Bonds - Industrial and Miscellaneo	ous (l	Inaffil	iated) -			.,			,,											
	esidential Mortgage-Backed Securities	,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,		iatou		29,849,946	XXX	30, 185, 358	30,012,595	29,808,932		(12,924)			XXX	XXX	XXX	48,512	525,530	XXX	XXX
05491U-BD-9	BBCMS 2018-C2 A5 - CMBS			4	1.D FM		119. 7430	5,987,150	5,000,000	5, 122, 084		(13,926)			4.314	3.959		17,975	215,700	12/04/2018	12/15/2051
05550M-AV-6	BBCMS 2019-C3 XA - CMBS	[3	1.A FE		9.0870	2,578,745		2,543,659		(285, 491)			1.345	4.418		31,800	405,307	05/21/2019	05/17/2052
06539L-AX-8	BANK 2018-BNK13 A2 - CMBS			4	1.D FM			3, 185, 400	3,000,000	3, 150, 566		(38, 106)			4.074	1.715		10,185	61,110	06/05/2020	08/17/2061
06540V-BC-8	BANK 2019-BNK24 XA - CMBS	ļ		3	1.A FE		5.0020	2,711,857		2,706,313		(273,722)			0.648	3.947		29,276	384,529	12/12/2019	11/17/2062
06541R-BC-6	BANK 2019-BNK23 XA - CMBS			3	1.A FE		5.3660	2,929,929		2,925,277		(294,045)			0.699	3.951		31,794	414,949	11/22/2019	12/17/2052
08160B-AD-6 08162U-AW-0	BMARK 2018-B5 A4 - CMBS	 		4	1.D FM 1.D FM		119.3060	3,579,180	3,000,000	3,111,166 3,073,404		(13, 154)			4.208	3.648		10,519 10,579	126,228 126,951	01/04/2019	07/17/2051
12531W-AZ-5	BMARK 2018-B8 A5 - CMBS	†		4	1.D FM	5,983,992	119.9710	3,599,130 6,228,378	5,810,000	5,867,880		(25,006)			3.688	3.876		10,579	214,273	12/10/2018 01/22/2016	01/18/2052
12591K-AC-9	COMM 2013-CCRE12 ASB - CMBS	t		4	1.D FM		1072010	2.437.332	2,333,603	2,345,647		(5,498)			3.623	3.184		7.046	87,903	10/24/2013	10/15/2046
17321J-AE-4	CGCMT 2013-GC15 AAB - CMBS	I		4	1.D FM		104.4880	3,951,776	3,782,038	3,800,616		(8,663)			3.942	3.493		12,424	165,090	09/18/2013	09/12/2046
17328F-BB-0	CGCMT 2019-GC41 XA - CMBS			3	1.A FE		6.9170	1,690,383		1,722,577		(205,275)			1.059	3.830	MON	21,558	274,932	08/06/2019	08/10/2056
36250W-AG-5	GSMS 2015-590M B - CMBS	ļ		4	1.D FM		103. 8640	3,571,883	3,439,000	3,465,730		(5,563)			3.805	3.661		10,906	133,049	10/23/2015	10/15/2035
46591E-AY-3	JPMCC 2019-COR5 XA - CMBS	ļ		3	1.A FE		9.6600	2,579,968		2,527,432		(300, 127)			1.497	4. 156		33,319	422,592	06/13/2019	06/14/2052
55352N-AJ-6	MSCCG 2015-ALDR B - CMBS	ł		4	1.D FM		89.3210	3, 126, 235	3,500,000	3,499,392		(227)			3.462	3.527		10,097	123, 181	05/19/2015	06/08/2035
556227-AG-1 61691A-BJ-1	MAD 2015-11MD C - CMBS			4	1.D FM			5,476,105 .5,084,674	5,140,000 4,760,752	5,055,871 4,804,579		15,285			3.555	4.002		15,226 14,385	185,762 172,625	09/15/2015	09/12/2035
61761A-BJ-1	MSBAM 2012-C5 A4 - CMBS	t		4	1.D FM					4,804,579		(19,294)			3.020	2.945		6.484	77,812	07/13/2012	08/17/2045
61766C-AF-6	MSC 2016-UBS9 ASB - CMBS	I		4	1.D FM		103.1900	2,326,133	250,000	252,613		(1,072)			3.340	2.888		696	8,350	02/19/2016	03/17/2049
61766E-BC-8	MSBAM 2016-C29 ASB - CMBS			4	1.D FM		106.8120	2,072,153	1,940,000	1,961,011		(8,346)			3.140	2.687		5,076	60,916	04/22/2016	05/17/2049
78419C-AE-4	SGCMS 2016-C5 ASB - CMBS	ļ		4	1.D FM	3,089,904	105. 9720	3, 179, 160	3,000,000	3,034,641		(12,929)			2.895	2.440		7,238	86,850	07/01/2016	10/13/2048
92938E-AT-0	WFRBS 2013-C16 ASB - CMBS	ļ		4	1.D FM		104 . 6230	3,014,231	2,881,041	2,894,719		(7,221)			3.963	3.513		9,515	124,622	09/16/2013	09/17/2046
95002B-AF-0	WFCM 2019-C53 XA - CMBS	ł		1,3	1.A FE	3,487,373	6.8370	2,994,245		3,079,388		(407, 101)			1.021	3.994		37,274	478,300	10/23/2019	10/17/2052
95002M-AY-5	WFCM 2019-C52 XA - CMBS		ln off'	3	1.A FE	1,980,948	10.6360	1,729,308		1,714,696		(209,524)			1.618	3.937	MUN	21,918	276,377	08/06/2019	08/16/2052
	btotal - Bonds - Industrial and Miscellaneo	us (L	mattil	iated) -			V////		pr			/0 :== :::::			V0.07	1001	1004			V0.07	V0.07
	mmercial Mortgage-Backed Securities			T _a	0 :	74, 175, 662	XXX	74,502,875	53,286,435	71,114,576		(2, 133, 186)			XXX	XXX	XXX	373,146	4,627,406	XXX	XXX
023761-AA-7 02376A-AA-7	AMERICAN AIRLINES 2017-1 CLASS AA PASS T			I	2.A FE		98.6413	3,269,959	3,315,000	3,315,000					3.650 3.350	3.649		45,710 12,231	120,998	01/04/2017 07/31/2017	08/15/2030
02377B-AB-2	AMERICAN AIRLINES 2017-2 PASS THROUGH TR	†		l;	2.A FE				1,729,389 842,725	1,729,389		879			3.350	3.349				03/16/2018	03/22/2029
03027W-AJ-1	AMTT 2013-2A 2A - RMBS	†		1.2	1.A FE			2,086,758	2,049,000	2,023,092		11,081			3.070	3.696		2,796	62,904	06/11/2018	03/22/2029
03027W-AK-8	AMETOW 2018-1 A - RMBS	[2	1.A FE			2,207,345	2,000,000	2,000,000					3.652	3.680		3,246	73,040	03/29/2018	03/15/2048
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SCHEDULE D - PART 1

								Showing All Lor	ng-Term BOND:	S Owned Dece	mber 31 of (Current Ye	ar								
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CUSIP		d	g	Bond	strative	Actual	Fair	Fair	Par	Carrying	Increase/	tization)	Impairment	Carrying	Rate	Rate	When	Due and	Received		Maturity
Identification	Description	е	n	Char	Symbol	Cost	Value	Value	Value	Value	(Decrease)	Accretion	Recognized	Value	of	of	Paid	Accrued	During Year	Acquired	Date
03066F-AF-8	AMCAR 2017-4 B - ABS			. 4	1.A FE	15,474,630			15,725,875	15,694,434		110,603			2.360	3.241		13,402	371,131	01/24/2019	12/19/2022
03666L-AA-0	ANTR 201 A1 - CDO		. C	. 4	1.A FE	15,000,000		15, 103, 730	15,000,000	15,000,000					2. 125	2.127	J A J 0	56,677		09/18/2020	10/23/2031
05072M-AA-1	AUDAX 4 A - CDO		. C	. 4	1.A FE	5,000,000			5,000,000	5,000,000					2. 132	2.162	JAJ0	8,588		10/30/2020	10/15/2031
05377R-DL-5	AESOP 192 A - ABS		-1	. 4	1.A FE	5,498,055			5,500,000	5,498,649		357			3.350	3.381		5,630	184, 190	04/16/2019	09/22/2025
09260R-AA-4	ELB A1 - CDO		- C	- 4	1.A FE	7,500,000			7,500,000	7,500,000			ļ		2. 129	2.133		12,862		10/22/2020	12/15/2031
09627F-AC-4	BLUEM XXV A2 - CDO		. C	- 4	1.A FE	8, 125, 000									1.887	1.883		33,217	343,910	05/24/2019	07/15/2032
12530M-AA-3	SORT 2020-1 A1 - ABS			. 4	1.D FE	7, 156, 852		7,284,890	7, 157,841	7, 156, 858		6			1.690	1.690		5,376	47,715	07/14/2020	07/15/2060
12547L-AA-6 12547L-AC-2	CIFC 2020-II A1 - CD0	-+	٠	· [4 ······	1.A FE		100 . 2034	9,519,319 5,387,748	9,500,000 5,375,000	9,500,000 5,375,000					1.906 2.156	1.933 2.187		65,391 41,850		07/20/2020	08/24/2032
12807C-AA-1	CAI 2020-11 A - ABS			. 4	1.A FE				4,893,750	4.892.662		17			2.136	2.187		41,850	31,989	09/01/2020	09/25/2045
15673E-AA-1	CERB 28 A - CD0			. 4	1.A FE	7,000,000				7,000,000					2.258	2.21		27,606		09/01/2020	10/15/2031
22822R-BH-2	CROCAS 2018-2 C - RMBS		. 0	. 4	1.F FE	2,000,000			2,000,000	2,000,000					4.241	4.276		3,770	84,820	06/26/2018	07/15/2048
23291G-AC-8	DLL 2019-1 A3 - ABS			Δ	1.A FE	3,835,284		3,886,387	3,835,415	3,835,383		52			2.890	2.909		3.387	110,843	04/05/2019	04/20/2023
24737B-AA-3	DAL 2019-1 AA - ABS			1	1.F FE	2,500,000		2,556,648	2,500,000	2,500,000					3.204	3.200		14,685	80,100	03/06/2019	10/25/2025
26244K-AN-6	DRSLF 41 AR - CDO		С	4	1.A FE	11,842,200		11,940,510	12,000,000	11,674,877		(153.787)			1.207	1.958		31,379	280,202	01/29/2019	04/15/2031
26245R-AA-8	DRSLF 58 A1 - CD0			4	1.A FE				23,630,000	22,954,055		(316,825)			1.218	1.975		59,150		01/18/2019	07/17/2031
26245X-AA-5	DRSLF 86 A - CDO		C	4	1.A FE	4,000,000		4,010,331	4,000,000	4,000,000					1.884	1.886		26,791		08/19/2020	07/17/2030
29414H-AA-9	EOLE FINANCE SPC	1	. C	1	1.C FE	1,281,771		1,310,800	1,281,771	1,281,779		4			2.341	2.340		3.084	31,672	02/26/2013	02/24/2024
31738K-AA-7	FASST 2020-JR4 Class A1 - ABS			. 4	1.A PL	13,965,600		14, 155, 192	14, 198, 772	13,966,690		1,091			2.000	2.074	MON	4,733	50,619	10/15/2020	10/25/2050
33767J-AC-6	FKH 2020-SFR2 B - RMBS			. 4	1.C FE	4,999,941		4,967,350	5,000,000	4,999,911		(30)			1.567	1.563	MON	6,529	8,923	10/02/2020	10/19/2037
33830P-AG-6	MORGN I B2 - CD0		. C	. 4	1.C FE	11, 150, 000	100 . 9185	11,252,413	11, 150,000	11, 150,000					3.710	3.727		87,329	341,274	11/22/2019	01/15/2033
34531F-AC-7	FORDR 2017-REV1 C - ABS			. 4	1.F FE	2,000,087	102. 2370	2,045,763	2,001,000	2,000,781		189			3.010	3.039	MON	2,677	60,230	02/22/2017	08/15/2028
34961C-AC-3	FCO 2020-13A B1 - CD0		. C	. 4	1.C FE	5,000,000		4,982,125	5,000,000	5,000,000					3.026	3.030		32,780	41,084	06/29/2020	07/17/2028
36256X-AE-2	GMCAR 2019-1 A4 - ABS			. 4	1.A FE	18,170,696		19,012,320	18, 175,000	18, 172, 920		1, 122			3.110	3. 137		23,552	565,243	01/08/2019	07/16/2024
38177K-AA-0	GOCAP 49 A1 - CDO		. C	. 4	1.A FE	5,000,000			5,000,000	5,000,000					2.775	2.808		65,521		06/30/2020	07/20/2032
38217T-AA-3	G00DG 2020-1 A - ABS			. 4	1.A FE		101. 4890	4,956,595	4,883,874	4,880,997		(434)			2.630	2.633		27, 116	27, 142	07/23/2020	04/15/2055
55280Q-AL-1	MCFCL V AR - CDO			. 4	1.A FE	3,000,000			3,000,000	3,000,000					1.768	1.772	JAJ0	10,758	86,553	06/28/2019	04/20/2029
55316A-AD-2	MMAF 2017-B A4 - ABS			. 4	1.A FE	1,996,222		2,075,811	2,053,000	2,035,975		19,601			2.410	3.436		2, 199	49,477	12/06/2018	11/15/2024
55316A-AE-0	MMAF 2017-B A5 - ABS			. 4	1.A FE	4,530,813		4,856,707	4,602,000	4,561,569		17,630			2.720	3. 153		5,563	125, 174	03/15/2019	06/15/2040
55817E-AC-0	MDPK XXXVII A2 - CDO			- 4	1.A FE	5, 100, 000		5, 108, 600	5, 100, 000	5,100,000					1.887	1.891		20,850	213,337	05/24/2019	07/15/2032
55820B-AA-5 55820B-AC-1	MDPK XLV A - CDOMDPK XLV B - CDO			- 4	1.A FE	6,750,000 3,000,000		6,767,075		6,750,000 3,000,000					1.918	1.945		63,307		06/16/2020	07/15/2031
55954E-AQ-2	MAGNE 17R AR - CDO		· [· · · · · ·	· [1.0 FE	5,957,400						(80,290)			1.318	2.452			145,732	06/16/2020	07/15/2031
56847P-AA-1	MFIT 2019-PVT Class A - ABS	+		·[;	1.A FE	9,995,674		5,964,598	10,000,000	9,995,909		(80,290)			3.470	3.474		10,603		12/16/2019	12/20/2034
60700D-AE-8	MMAF 18A A5 - ABS		1	٠ اــٰ	1.A FE	3,999,272		4,325,355	4,000,000	3,999,673		168			3.610	3.474		8,423	144,400	05/22/2018	03/10/2042
60700F-AJ-2	MMAF 2019-A A5 - ABS	1	1	4	1.A FE	3,916,780		4,237,543	3,917,000			47			3.080	3.101		7,038	120,644	03/22/2010	11/12/2041
61034W-AA-9	MCMML X A - CDO	1	C	4	1.A FE	10,000,000			10,000,000	10,000,000		+1			2.730	2.739		97.050	120,044	07/29/2020	08/20/2031
61034W-AC-5	MCMML X B - CDO	1	C	4	1.0 FE	5,000,000		4,966,617	5,000,000	5,000,000					3.430	3.444		60.969		07/29/2020	08/20/2031
62946A-AD-6	NPRL 2017-1 A2 - ABS	.I]	4	1.F FE	1,999,883		2,101,442	2,000,000	1,999,889		2			4.219	4.219		2,578	84,380	11/10/2017	10/21/2047
63935C-AB-7	NAVSL 2019-F A2 - ABS			. 4	1.A FE	4,998,189		5, 152, 793	5,000,000	4,998,599		344			2.600	2.622		5,778	130,000	10/17/2019	08/15/2068
63941J-AA-6	NAVSL 2019-G A - ABS			. 4	1.A FE	3, 188, 751		3,264,361	3,188,762	3,188,766		11			2.400	2.412		3,401	77,168	12/03/2019	10/15/2068
65341K-BG-0	NFMOT 191 A2 - ABS			. 4	1.A FE	11,998,198	102.9838	12,358,061	12,000,000	11,998,808		345			3.210	3.235	MON	17,120	385,200	03/04/2019	02/15/2024
65341K-BK-1	NFMOT 192 A2 - ABS		.	. 4	1.A FE	2,999,305	102.7922	3,083,765	3,000,000	2,999,580		228			2.070	2.087	MON	2,760	62, 100	10/08/2019	10/15/2024
67098U-AC-9	OAKC 6 B - CDO		. C	. 4	1.C FE			5,017,840	5,000,000	5,000,000					2.434	2.478		52,745		07/01/2020	07/20/2031
67108B-BQ-7	OZLMF A1AFR - CDO		. C	. 4	1.A FE		100.0000	7,582,954	7,582,954	7,582,954					2. 159	2. 193		12,733		11/18/2020	07/30/2031
67112R-AE-4	OAKC 3 A2 - CDO			. 4	1.A FE	5,000,000		5,001,337	5,000,000	5,000,000					1.868	1.873		18,943	210,148	05/21/2019	07/20/2032
67181D-AA-9	OAKIG 201 A1 - CMBS			. 4	1.A FE	6,989,551			6,989,827	6,989,561	ļ ļ	10			1.850	1.851		3,951	13,290	11/06/2020	10/11/2025
67400E-AG-9	OAKCL 193 C1 - CDO			. 4	1.F FE	5,400,000			5,400,000	5,400,000					3.218	3.232		35,241	287, 173	07/17/2019	07/21/2031
67577M-AA-1	OCT45 45 A - CDO		. C	- 4	1.A FE	1,977,471		2,099,330	2,097,000	1,985,216		7,745			1.567	2.658		7,119	49,535	03/17/2020	10/15/2032
67591V-AC-9	OCT37 37 A1B - CDO			. 4	1.A FE	2,460,000		2,465,555	2,500,000	2,428,831		(29, 397)			1.465	2.022		6,815	63,667	05/07/2019	07/25/2030
68218F-A*-2	OMNI Ireland T3 DAC Class AE - ABS	-+	-1	· [4 ·······	1.B FE	15,000,000		15,000,000	15,000,000	15,000,000			ļ		2.705	2.743		47,346		11/16/2020	03/19/2029
68218F-AM-3 682696-AA-7	OMNI Ireland T3 DAC Class AAA12 - ABS		-1	. 4	1.A PL	5,000,000 4,999,573			5,000,000 5,000,000	5,000,000		12.842			1.875 1.750	1.902		10,940 4,132	27,465	11/16/2020	03/19/2029
082696-AA-/	OMFIT 2020-2 A - ABS		.1	. 14	.LI.A FE	4.999.5/3 l	101.6681	5,083,407	5.000.000	5.012.415	1	12.842	L	L	1	2.586	MUN	4.132	27.465	UB/ 13/2U2U	U9/ 14/2U35

SCHEDULE D - PART 1

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CUSH Processor Control Contro		С	е		SV	0		Used to)		Book/	Unrealized	Year's	Than-	Book/				Admitted			Stated
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### September Control	Identification Description	е	_	Char	Sym	bol C	Cost	Value	Value	Value	Value	(Decrease)	Accretion	Recognized		of	of	Paid	Accrued	During Year	Acquired	Date
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88919-4-6 10C 200-1 4 - 48 C 2 1.5 FE 17.683 580 102.892 17.681 580 1.73 1.75 1.75 1.75 50.388 32.893 2.70 1.72 10M 1.441 12.154 11.72/4200 16.892 11.75 1.75 1.75 1.75 1.75 1.75 1.75 1.7				4																		
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949469-A-9 ILIGR 2019-A A - RIBES 4 1.4 FE 3,739,285 10,0041 3,927,350 3,739,476 3,739,486 8 2,000 2,000 1001 4,654 1,104 10,4705 0,07/30/2019 0,0617/50/2019	94354K-AA-8 WAAV 191 A - ABS			4						2,807,277	2,807,156	ļ [3			3.597	3.597	MON	4,488		09/30/2019	
## PEGIL-D-B Tritor 1/4 Finance III 2017-2 - MS				4	1.A	E	3,739,28	85 105. 0241	3,927,350	3,739,476	3,739,298		8			2.800				104,705	06/03/2019	06/15/2038
3599999. Subtotal - Bonds - Industrial and Miscellaneous (Unaffiliated) - Other Loan-Backed and Structured Securities 475,895,067 XXX 483,745,599 477,178,314 475,000,953 (477,761) XXX XXX XXX XXX XXX XXX XXX				4																		
Loan-Backed and Structured Securities			C	4,5			8,673,18	8097.5336	8,673,988	8,893,333	8,673,790		609			1.795	2.532	MON	4,878	(1,083)	12/23/2020	08/20/2024
389999. Total - Industrial and Miscellaneous (Unaffiliated) Bonds 2,489,389,643 XXX 2,641,375,681 2,446,916,743 2,479,840,964 3,2650,635 4,570,101 4,670,000 4,500,000 4,600,000		ous (L	Jnaffil	iated) -	Other																	
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883568-AC-2 TRANSCANDA TRUST		ated)	Bond	S																		
4299999. Subtotal - Bonds - Hybrid Securities - Issuer Obligations 6,494,054 XXX 6,891,941 6,483,000 6,486,443 (7,150) XXX			C	2,5	2.0	ቼ∤							58			6.250						
4899999. Total - Hybrid Securities 6,494,054 XXX 6,891,941 6,483,000 6,486,443 (7,150) XXX XXX XXX XXX XXX XXX XXX XXX XXX X				2,5	2.0	t			,	,			,									
5599999. Total - Parent, Subsidiaries and Affiliates Bonds XXX		Oblig	gations	S					/ . / .	, .,	, , ,		. , . ,									
6099999. Subtotal - SVO Identified Funds							6,494,0		6,891,941	6,483,000	6,486,443		(7,150)						111,710	362,751		
6099999. Subtotal - SVO Identified Funds	5599999. Total - Parent, Subsidiaries and Affiliates Bo	onds						XXX		-						XXX	XXX	XXX			XXX	XXX
6599999. Subtotal - Unaffiliated Bank Loans XXX 7699999. Total - Issuer Obligations 1,919,324,098 XXX 2,063,351,229 1,896,249,373 1,913,237,639 (2,663,603) XXX XXX XXX XXX XXX XXX XXX	6099999. Subtotal - SVO Identified Funds							XXX				İ				XXX	XXX	XXX			XXX	XXX
7699999. Total - Issuer Obligations 1,919,324,098 XXX 2,063,351,229 1,896,249,373 1,913,237,699 (2,663,603) XXX	6599999. Subtotal - Unaffiliated Bank Loans																					
7799999. Total - Residential Mortgage-Backed Securities 33,478,653 XXX 34,138,652 33,601,278 33,472,622 (16,360) XXX XXX <td></td> <td></td> <td></td> <td></td> <td></td> <td>1.</td> <td>919,324.09</td> <td></td> <td>2,063,351.229</td> <td>1,896,249.373</td> <td>1,913,237.639</td> <td></td> <td>(2,663,603)</td> <td></td> <td></td> <td></td> <td></td> <td></td> <td>16,723,791</td> <td>60,934.579</td> <td></td> <td></td>						1.	919,324.09		2,063,351.229	1,896,249.373	1,913,237.639		(2,663,603)						16,723,791	60,934.579		
7899999. Total - Commercial Mortgage-Backed Securities 74,175,662 XXX 74,502,875 53,286,435 71,114,576 (2,133,186) XXX		ties											() - , - ,									
7999999. Total - Other Loan-Backed and Structured Securities 484,395,888 XXX 492,375,821 485,681,602 484,001,751 (477,784) XXX XXX XXX 1,479,357 9,205,653 XXX XXX 8099999. Total - SVO Identified Funds XXX XXX XXX XXX XXX XXX XXX XXX XXX X						İ		_														
809999. Total - SVO Identified Funds			tios								, , , .											
		Cull	แซอ				404,080,80		482,013,821	400,001,002	404,001,731		(411,184)						1,4/8,33/	9,200,003		
									1													
	8199999. Total - Affiliated Bank Loans							XXX								XXX	XXX	XXX			XXX	XXX
8299999. Total - Unaffiliated Bank Loans XXX XXX XXX XXX XXX XXX XXX XXX XXX X																						
839999 - Total Bonds 2,511,374,300 XXX 2,664,368,547 2,468,818,688 2,501,826,587 (5,290,934) XXX XXX XXX XXX 18,638,468 75,457,014 XXX XXX XXX	8399999 - Total Bonds					2,	511,374,30	00 XXX	2,664,368,547	2,468,818,688	2,501,826,587		(5,290,934)			XXX	XXX	XXX	18,638,468	75,457,014	XXX	XXX

1A\$346,575,605	1B\$31,996,752	1C\$80,913,016	1D\$111,368,253	1E\$
2A\$435,731,803	2B\$526,312,209	2C\$		
3A\$35,200,316	3B\$26,639,472	3C\$1,998,879		
4A\$3,299,974	4B\$	4C\$1,971,886		
5A\$	5B\$	5C\$		
6 \$				

Schedule D - Part 2 - Section 1 - Preferred Stocks Owned **NONE**

Schedule D - Part 2 - Section 2 - Common Stocks Owned $\ensuremath{\textbf{N}}$ $\ensuremath{\textbf{O}}$ $\ensuremath{\textbf{N}}$ $\ensuremath{\textbf{E}}$

		Sho	wing All Lo	ng-Term Bonds and Stocks ACQUIRED During Current Year				
1	2	3	4	5	6	7	8	9 Paid for Accrued
CUSIP Identification	Description	Foreign	Date Acquired	Name of Vendor	lumber of Shares of Stock	Actual Cost	Par Value	Interest and Dividends
57563N-AD-0	MASSACHUSETTS EDL FING AUTH - ABS	·····		RBC CAPITAL MARKETS		9,997,098	10,000,000	
3199999. Subto	otal - Bonds - U.S. Special Revenues					9,997,098	10,000,000	
	AMERICAN HONDA FINANCE CORP		09/17/2020	TD Securities		6,071,068	5,685,000	27,091
	AMERICAN HONDA FINANCE CORP		07/06/2020	BNP PARIBAS SECURITIES BOND		4,998,400	5,000,000	
	AMERICAN HONDA FINANCE CORP		09/08/2020	MERRILL LYNCH PIERCE FENNER JP MORGAN CHASE BANK/HSBCSI		3,999,800 2,952,332	4,000,000 2,595,000	
	ANTR 201 A1 - CD0	С	06/24/2020	BNP PARIBAS SECURITIES BOND		2,952,332	2,595,000	47,210
	AUDAX SENIOR DEBT CLO IV LLC - CDO	C	10/30/2020	MERRILL LYNCH PIERCE FENNER		5,000,000	5,000,000	
	BANK OF AMERICA CORP		06/16/2020	MERRILL LYNCH PIERCE FENNER		7,500,000	7,500,000	
06406R-AB-3	BANK OF NEW YORK MELLON CORP		06/25/2020	Barclays Capital MORGAN STANLEY CO		6,782,940	6,000,000	
06539L-AX-8 06541R-BC-6	BANK 2018-BNK13 A2 - CMBS BANK 19BN23 XA - CMBS		06/05/2020	MORGAN STANLEY CO MORGAN STANLEY CO		3,188,672	3,000,000	2,716
	BLACKROCK ELBERT CLO V LIMITED - COO	С	10/22/2020	BANK OF NEW YORK/NATIXIS, NEW		7,500,000	7,500,000	
12530M-AA-3	SORT 201 A1 - RMBS		07/14/2020	GOLDMAN		7,498,964	7,500,000	
	CIFC 202 A1 - CDO	C	07/20/2020	. RBC CAPITAL MARKETS, LLC		9,500,000	9,500,000	
	CIFC 202 A2 - C00	C	07/20/2020	. RBC CAPITAL MARKETS, LLC		5,375,000	5,375,000	04 700
	CVS HEALTH CORP	C	06/16/2020	Various WELLS FARGO SECURITIES		4,464,647 4,998,871	3,865,000 5,000,000	34,780
134429-BG-3	CAMPBELL SOUP CO	v	09/01/2020	Various		4,998,871		320.933
136385-AW-1	CANADIAN NATURAL RESOURCES LTD	C	06/01/2020	R. W. PRESSPRICH		2,517,450	2,461,500	27,835
15673E-AA-1	CERBERUS LOAN FUNDING XXVIII L P - CDO	C	09/24/2020	BANK OF NEW YORK/NATIXIS, NEW		7,000,000	7,000,000	
	Chick-fil-A, Inc.		10/16/2020	WELLS FARGO		7,500,000	7,500,000	
256746-AG-3 26245X-AA-5	DOLLAR TREE INC		06/19/2020	US BANCORP INVESTMENTS INC.		3,984,784	3,537,000	14,934
27409L-AA-1	DRSLF 2020-86A A - CDO	C	08/19/202006/17/2020	GOLDMAN		4,000,000 2,499,349	4,000,000 2,482,000	.269
	KINDER MORGAN ENERGY PARTNERS LP		06/10/2020	CITIGROUP GLOBAL MARKETS, INC		6,215,848	5,650,000	27,669
311900-0*-3	Fastenal Company		06/10/2020	DIRECT		15,000,000	15,000,000	
31738K-AA-7	FASST 2020-JR4 Class A1 - ABS		10/15/2020	RAYMOND JAMES & ASSOCIATES INC.		14,753,670	15,000,000	
	FKH 2020-SFR2 B - RIMBS		10/02/2020	MORGAN STANLEY CO		4,999,941	5,000,000	
	FISERV INC		09/25/2020	BNY/SUNTRUST CAPITAL MARKETS		8,554,320	8,000,000	53,778
	FISERV INC		05/11/2020	WELLS FARGO SECURITIES MERRILL LYNCH PIERCE FENNER		4,996,700 11,400,000	5,000,000 11,400,000	
34961C-AC-3	FORTRESS CREDIT OPPORTUNITIES XIII CLO L	C	06/29/2020	BANK OF NEW YORK/NATIXIS, NEW		5,000,000	5,000,000	
	GOCAP A1 - CDO	C	06/30/2020	Wells Fargo		5,000,000	5,000,000	
	GOODG 201 A - ABS		07/23/2020	DEUTSCHE BANK SECURITIES, INC.		4,997,499	5,000,000	
50152#-AE-7	KWIK TRIP, INC.		10/07/2020	Not Available		3,367,584	3,300,000	45,623
	MDPK 45 A - CDO	C	06/16/2020	MERRILL LYNCH PIERCE FENNER MERRILL LYNCH PIERCE FENNER		6,750,000	6,750,000	
	MDPK 45 B - CDO	U	05/22/2020	MERRILL LYNCH PIERCE FENNER		3,000,000	3,000,000	
	McKinsey & Company, Inc.		05/22/2020	MERRILL LYNCH PIERCE FEWER		2,700,000	2,700,000	
59524Q-AA-3	MID-ATLANTIC INTERSTATE TRANSMISSION LLC		05/27/2020	JP MORGAN CHASE BANK/HSBCSI		2,995,546	2,644,000	4,216
	MONROE CAPITAL MML CLO X, LTD CDO	C	07/29/2020	DEUTSCHE BANK SECURITIES, INC.		10,000,000	10,000,000	
	MONROE CAPITAL MML CLO X, LTD CDO	C	07/29/2020	DEUTSCHE BANK SECURITIES, INC.		5,000,000	5,000,000	
	MORGAN STANLEY OAKC 6 B - CDO		06/18/2020	MERRILL LYNCH PIERCE FENNER MERRILL LYNCH PIERCE FENNER		2,099,101 5.000.000	1,900,000	10,839
	OZLMF A1AFR - CDO	C	11/18/2020	CITIGROUP GLOBAL MARKETS INC.		7,582,954	7,582,954	
	OAKIG 201 A1 - CMBS		11/06/2020	CREDIT SUISSE SECURITIES (USA)		6,999,724	7,000,000	
67577M-AA-1	OCT45 45 A - CDO	C	03/17/2020	MORGAN STANLEY CO		1,977,471	2,097,000	22,449
	ONNI Ireland T3 DAC Class AE - ABS		11/16/2020	Not Available		15,000,000	15,000,000	
	OMNI Ireland T3 DAC Class AAA12 - ABS		11/16/2020	CITIGROUP GLOBAL MARKETS, INC		5,000,000	5,000,000	
	OMFIT 2020-2 A - ABS		08/13/2020	CITIGROUP GLOBAL MARKETS INC.		4,999,573 1,994,229	5,000,000	4,675
	PricewaterhouseCoopers LLP		06/09/2020	US BANCORP INVESTMENTS INC.		5,000,000	5.000.000	4,075
742718-FG-9	PROCTER & GAMBLE CO		03/23/2020	CITIGROUP GLOBAL MARKETS INC.		2,000,945	2,006,000	
75625Q-AD-1	RECKITT BENCKISER TREASURY SERVICES PLC	C	06/15/2020	JP MORGAN SECS INC., - FIXED INCOME		5,292,150	5,000,000	65,313
78355H-KK-4	RYDER SYSTEM INC		07/13/2020	JP MORGAN CHASE BANK/HSBCS1		5,212,201	4,802,500	
	TJX COMPANIES INC. T-MOBILE USA INC		06/24/2020	GOLDMAN DEUTSCHE BANK SECURITIES, INC.		3, 182, 914 2, 494, 675	2,777,000 2,500,000	24,588
	TAKEDA PHARMACEUTICAL CO LTD	C	06/18/202012/04/2020	DECISONE BANK SECURITIES, INC.		2,494,675	2,500,000 5,615,000	3,247
	TMCL 2020-1 A - RMBS	C	12/04/2020	Various				
89680H-AA-0	TCF 201 A - RMBS		08/25/2020	RBC CAPITAL MARKETS, LLC		4,999,068	5,000,000	
	UBS GROUP AG	C	07/27/2020	UBS		1,000,000	1,000,000	
	UBS GROUP AG	C	07/29/2020	BNP PARIBAS SECURITIES BOND		6,067,550	5,285,000	
	UBS GROUP AG	C	12/04/2020	BNP PARIBAS SECURITIES BOND		1,983,893	1,703,000	15,089
913017-CY-3	RAYTHEON TECHNOLOGIES CORP		10/27/2020	Barclays Capital		2,952,708	2,495,000	

E13.1

ANNUAL STATEMENT FOR THE YEAR 2020 OF THE First Symetra National Life Insurance Company of New York

SCHEDULE D - PART 3

Showing All Long-Term Bonds and Stocks ACQUIRED During Current Year

1	2	3	4	5	6	7	8	9
								Paid for Accrued
CUSIP			Date		Number of Shares			Interest and
Identification	Description	Foreign	Acquired	Name of Vendor	of Stock	Actual Cost	Par Value	Dividends
91533B-AA-8	UPJOHN INC		06/17/2020	GOLDMAN		4,997,850	5,000,000	
92212K-AB-2	VDC 201 A1 - RMBS		09/22/2020	GUGGENHE I M		7,500,000		
92212K-AC-0	VDC 202 A2 - RMBS			GUGGENHE I M		10,000,000	10,000,000	
927804-FZ-2	VIRGINIA ELECTRIC AND POWER CO		05/14/2020	GOLDMAN		2,986,799	2,635,000	13,073
928668-BN-1	VOLKSWAGEN GROUP OF AMERICA FINANCE LLC			JP MORGAN CHASE BANK/HSBCS1		2,989,350	3,000,000	
C6574#-AE-6	The North West Company Inc.		05/06/2020	DIRECT		3,300,000	3,300,000	
C9716#-AB-5	WASTE CONNECTIONS, INC.	. C	10/07/2020	DIRECT		4,928,832	4,800,000	55,367
G4706#-AB-4	ICON Investments Five Unlimited Company	. C	07/09/2020	_CITIGHOUP GLOBAL MARKETS, INC		4,400,000	4,400,000	
N7660#-AS-6	SHV Nederland BV	C	09/24/2020	DIRECT		14,000,000	14,000,000	
000000-00-0	Wheels, Inc		04/15/2020	DIRECT HSBC SECURITIES INC.		3,000,000	3,000,000	
PPE508-XB-5 PPEA16-PX-8	Intertek Finance PIc		06/ 18/2020	MSBU SECURITIES INC.		4,750,000 7,100,000	4,750,000 7,100,000	
PPEG1L-JG-6	Waters Corporation Triton TAL Finance III 2017-2 - ABS		10/00/0000	MIZUHO SECURITIES CREDIT SUISSE SECURITIES (USA)				4.006
	otal - Bonds - Industrial and Miscellaneous (Unaffiliated)		12/23/2020	. CHEDIT SUISSE SECURITIES (USA)		452,776,606	441,439,198	1.071.945
						.,-,,	,,	, , , ,
	I - Bonds - Part 3					462,773,704	451, 439, 198	1,071,945
8399998. Tota	I - Bonds - Part 5							
8399999. Tota	I - Bonds					462,773,704	451,439,198	1,071,945
8999997. Tota	I - Preferred Stocks - Part 3						XXX	
8999998. Tota	I - Preferred Stocks - Part 5						XXX	
8999999. Tota	I - Preferred Stocks						XXX	
9799997. Tota	I - Common Stocks - Part 3						XXX	
9799998. Tota	I - Common Stocks - Part 5						XXX	
9799999. Tota	I - Common Stocks						XXX	
	I - Preferred and Common Stocks						XXX	
9999999 - Tot	als					462.773.704	XXX	1.071.94

The control of the						Showing All I	Long-Term E	onds and Sto	cks SOLD, I	REDEEMED	or Otherwis	se DISPOS	ED OF Dur	ing Current	Year						
Cut Cut	1	2	3	4												16	17	18	19	20	21
Column											11	12	13	14	15						
Cube Part															Total						
Company Part													Current	Total	Foreign						
Description Po													Year's	Change in	Exchange	Book/				Interest/	
Column										Prior Year		Current	Other-	Book/	Change in	Adjusted	Foreign			Stock	Stated
Second S										Book/	Unrealized	Year's	Than-	Adjusted	Book/	Carrying	Exchange			Dividends	Con-
Second Description Open	CUSIP					Number of				Adjusted	Valuation	(Amor-	Temporary	Carrying	Adjusted	Value at	Gain	Realized	Total Gain	Received	tractual
Section Description Special Special Special Special Special Special	Identi-		For-	Disposal	Name	Shares of	Con-			Carrying	Increase/	tization)/	Impairment	Value	Carrying	Disposal	(Loss) on	Gain (Loss)	(Loss) on	During	Maturity
2006 15 15 15 15 15 15 15 1	fication	Description	eign	Date	of Purchaser	Stock	sideration	Par Value	Actual Cost	Value				(11+12-13)		Date	Disposal	on Disposal	Disposal	Year	Date
1982-1995 1982					. Paydown		250,000	250,000		250 , 156						250,000				2,805	
1,000 1,00																				<u>11</u>	
1967-1969 1968 1969 19																					
Product Prod																					
Commonweal Com			С									(950)	'	(950)							
1989-996 1989-996			3				, ,		,	,		(1.331))	(1.331)		, ,					
1,000,000 1,000				11/06/2020 .	. Paydown							(1,001		(1,001)							
1922-1-1-1-1-1-1-1-1-1-1-1-1-1-1-1-1-1-1	1099999. 9							173,020	173,020												
1879-24-9-16 1879-250-9-16	3128LX-UH-1	FH G02384 - RMBS					6,899	6,899	6,916	6,912						6,899				220	11/01/2036
1967-196-196-196-196-196-196-196-196-196-196											ļ				-						
1989-1997 Print																					
1989 1999																					
31909009 Subtral Format Subtral Format Subtral Format Subtral Format Subtral										20,404			'								
200714-1-4 All 10			nues	+IZ/ ZO/ ZOZO .	. Taydomi					1 316 777			1								
100777-1-1-1-1-1-1-1-1-1-1-1-1-1-1-1-1-1			T	07/03/2020	Call @ 100 00								1					(66 173)	(66, 173)		
1007014-1-1 48EF 100 48EF 1				05/14/2020 .	. Maturity @ 100.00																
202714-1-7 AFFICAN AFFICEN 18.0 10 19.0												(61,090)		(61,090)							
2007Fe-3-2 ABRICAN AIR LES IG - 98 50 50 50 50 50 50 50 5																					
Comparing Marks 2071-46 Mark 2071-47 Marks 2071-47 M																					
0.00289-1-6-6																					
CFF CFF																		(22.185)	(22.185)		
09885-86-7 4096-3-MIRS-HILLAND 00 0.875/2020 0.81 0.00 0.3 (08), 220 3.000, 000 3.001, 307 3.003, 308 1.1 2001 3.001, 300 0.00 5.5 (0.000 0.5 (0.5 (0.5 (0.5 (0.5 (0.5 (0.5 (0.5			1		CORPORATE													, , , , ,	(==,,		
6855F7-Let All P2015-AV A CBS Display Disp				08/18/2020 .																	
65778-C-0.																		(1,837)	(1,837)		
6955 First 500 50			-										'								
12889-A-7 BUN INGTON MERFERN SWITA FE LLC 56-727/2002 Call ** 10.00 0.00 .1,000,000 .1,000,000 .1,000,000 .2,700 .2,731 .1,000,000 .2,731 .2,731 .1,000,000 .2,730 .2,731 .2,731 .1,000,000 .2,730 .2,731 .2,731 .1,000,000 .2,730 .2,731																					
125552-0-1-0-0-0-0-0-0-0-0-0-0-0-0-0-0-0-0-0-																					
125523—9-1-1 CIGN CORP 99/15/2020 Maturi Ity # 100.00 1.000.000 1.007.700 1.009.000 1.007.700 1.009.000 1.007.700 1.009.000 1.007.700 1.009.000 1.007.700 1.009.000 1.																					
125914-A-9 COMM 2013-OOFET ASS - OMS 12010/2020 Paydorn 725,069						-					ļ				-		ļ				
126690-6-1-7 OS: FELIN COPP 07/20/2020 Maturity # 100.00 5.000,000 5.000,000 4.996.300 4.996.300 4.996.377 4.23 4.23 5.000,000 1.976/2020 1.976/20				1.09/15/2020 .		+															
16898-A-2 CMRD_RPSS_THQ_TRAST SERIES 2016-4 (A 121/10/2002 Paydon 291 669 291 669 291 669 296 709/10/2002 291 699 29																				14,699 1/10 000	10/ 15/2046
12807C-Ab-1 CAI 2020-1 A - ABS			1	1.12/10/2020		1															
144429-A1-5 CAMPBEL SUP CO	12807C-AA-1	CAI 2020-1 A - ABS	. C	12/25/2020 .	Paydown		106,250	106,250	106,226							106,250				498	09/25/2045
17321-AE-4 OGUIT 2013-GCT5 AAB - OIBS 1.2701/2020 Paydoin 1.370, 629 1.370, 629 1.411,715 1.380, 500 (9,872) (19,872) 1.370, 629 1.370, 629 1.370, 629 1.370, 629 1.370, 629 1.722, 471 1.7222, 471 1.722, 471 1.7222, 471 1.7				01/16/2020 .	. Call @ 100.00		2,060,431	2,000,000	1,989,800												04/15/2021
1722/H-A-P-2 OM.T1 2014-JR A1 - GM/PMBS 12/01/2020 Paydonn 1,720,471 1,720,471 1,727,460 1,725,016 1,4,545 1,4,545 1,725,016 1,4,545 1,725,016 1,4,545 1,725,016 1,725																		(40,575)	(40,575)		
17328-40-5 OILT 2014-12 A1 - CIMO/RIBIS											<u> </u>				}		ļ				
19128 -AA-1 COCA-COLA EUROPEAN PARTNERS PLC C 09/15/2020 Maturity @ 100.00 4,000,000 3,867,320 3,988,317 11,683 4,000,000 5,000,000 220303-AP-5 C 10/25/2020 C 1 @ 100.00 1,104,310 1,072,000 1,081,080 1,077,973 (463) 4,683 1,077,509 1,075,009 1,081,080 1,077,509 1,072,000 1,081,080 1,077,509 1,072,000 1,081,080 1,077,509 1,072,000 1,081,080 1,077,509 1,072,000 1,081,080 1,077,509 1,072,000 1,081,080 1,077,509 1,072,000 1,081,080 1,077,509 1,072,000 1,081,080 1,077,509 1,072,000 1,081,080 1,077,509 1,072,000 1,081,080 1,077,509 1,072,000 1,081,080 1,077,509 1,072,000 1,081,080 1,077,509 1,072,000 1,081,080 1,077,509 1,072,000 1,081,080 1,077,509 1,072,000 1,081,080 1,077,509 1,072,000 1,081,080 1,077,509 1,072,000 1,081,080 1,077,509 1,072,000 1,081,080 1,077,509 1,072,000 1,081,080 1,077,500 1,072,000 1,081,080 1,072,000 1,081,080 1,072,000 1,081,080 1,072,000 1,081,080 1,072,000 1,081,080 1,072,000 1,081,080 1,072,000 1,081,080 1,072,000 1,081,080 1,072,000 1,081,080 1,072,000 1,081,080 1,072,000 1,081,080 1,072,000 1,081,080 1,072,000 1,081,080 1,072,000 1,081,080 1,072,000 1,081,080 1,082,000 1						-															
20000H-CO-2 COMCAST CORP COMCA			C																		
225460-AF-4 OREDIT SUISSE AG (NEW YORK BRANCH) 08/05/2020 Maturity @ 100.00 5,000,000 5,000,000 4,993,600 4,999,581 419 419 5,000,000 22891-AC-8 DL 2019-1 A3 - ABS 12/20/2020 Paydorn 5,600,000 5,000,000 4,993,600 4,999,581 419 419 5,000,000 5,000,000 22891-AC-8 DL 1019-1 A3 - ABS 12/20/2020 Maturity @ 100.00 4,100,000 4,100,000 4,902,005 4,999,013 987 4,100,000 5,000,000 4,903,000 4,903,000 5,000,000 4,903,000 5,000,000 4,903,000 5,000,000 4,903,000 5,000,000 4,903,000 5,000,	20030N-CQ-2	COMCAST CORP		02/18/2020 .	. Call @ 100.00		1, 104, 310	1,072,000	1,081,080	1,077,973		(463)		(463)		1,077,509				46,693	10/01/2021
23291G-AC-8 DLL 2019-1 A3 - ABS 12/20/2020 Paydown 664,585 664,585 664,562 664,570 1.5 1.5 664,585 664,585 664,585 23385-CA-O DAIMLER FINANCE NORTH AIMERICA LLC JB/03/2020 Maturity @ 100.00 4,100,000 4,100,000 4,100,000 4,920,005 4,999,013 987 987 4,100,000 1.94,992 1.982,997			. C															77,260	77,260		
233851-CA-O DAIMLER FINANCE NORTH AMERICA LLC D8/03/2020 Maturity @ 100.00 4, 100,000 4, 092,005 4, 099,013 987 987 987 4, 100,000 0 25389.AK-2 DIGITAL REALTY TRUST LP 07/29/2020 Call @ 100.00 1,994,954 1,882,000 1,999,419 1,928,967 (10,736) (10,736) 1,918,230 (36,230) (36,230) (36,230) 170, 185 10/01/2022 26208H-AD-6 DRIVE 2019-1 A3 - ABS 07/15/2020 Paydown 27,000,000 27,000,000 26,999,039 26,999,819 181 181 27,000,000 27,000,000 26,999,039 26,999,819 181 181 27,000,000 27,000,0																					
25389_AK-2 DIGITAL REALTY TRUST LP						-					····						····				
260584-AD-6 DRIVE 2019-1 A3 - ABS						†					<u> </u>				}		····	(36 230)	(36 230)		
26208N-AD-6 DRIVE 2019-1 A3 - ABS			1																		
26884T-AA-0	26208N-AD-6	DRIVE 2019-1 A3 - ABS		07/15/2020 .	Paydown		27,000,000	27,000,000	26,999,039	26,999,819		181		181		27,000,000				252,479	10/17/2022
29414H-AA-9 EULE FINANCE SPC C 11/24/2020 Paydown 376,641			.					2,000,000													
31738K-AA-7 FASST 2020-JR4 Class A1 - ABS											ļ				-		ļ	(52,551)	(52,551)		
			. C			-				3/6,642			'								
			1			1				2.000.000		13, 138		13, 138							

Showing All Long-Term Bonds and Stocks SQLD, REDEEMED or Otherwise DISPOSED OF During Current Year

					Showing All L	ong-Term E	Sonds and Sto	ocks SOLD, F	REDEEMED	or Otherwis	se DISPOS	ED OF Dur	ing Current	Year						
1	2	3	4	5	6	7	8	9	9 10 Change In Book/Adjusted Carrying Value						16	17	18	19	20	21
										11	12	13	14	15						
														Total						
												Current	Total	Foreign					Bond	
												Year's			Book/				Interest/	
									Drier Veer		0		Change in	Exchange		Foreign				Ctatad
									Prior Year		Current	Other-	Book/	Change in	Adjusted	Foreign			Stock	Stated
									Book/	Unrealized	Year's	Than-	Adjusted	Book/	Carrying	Exchange			Dividends	Con-
CUSIP					Number of				Adjusted	Valuation	(Amor-	Temporary	Carrying	Adjusted	Value at	Gain	Realized	Total Gain	Received	tractual
Identi-		For-	Disposal	Name	Shares of	Con-			Carrying	Increase/	tization)/	Impairment	Value	Carrying	Disposal	(Loss) on	Gain (Loss)	(Loss) on	During	Maturity
fication	Description	eign	Date	of Purchaser	Stock	sideration	Par Value	Actual Cost	Value	Decrease	Accretion	Recognized	(11+12-13)	Value	Date	Disposal	on Disposal	Disposal	Year	Date
34959J-AF-5	FORTIVE CORP		11/09/2020 .	Call @ 100.00		13,639,667	13,500,000	13,546,876	13,516,924		(10,663))	(10,663)		13,506,261		(6,261)	(6,261)	428,717	06/15/2021
361448-AV-5	GATX CORP		03/30/2020 .	Maturity @ 100.00		7,050,000	7,050,000		7,052,346		(2,346))	(2,346)		7,050,000				91,650	03/30/2020
38217T-AA-3	G00DG 2020-1 A - ABS		12/15/2020 .	Paydown		116,126	116, 126	116,068			58		58		116, 126				602	
40052V-AA-2	GRUPO BIMBO SAB DE CV	. C	06/30/2020	Maturity @ 100.00		850,000	850,000	931,583	857,540		(7,540)		(7,540)		850,000				20,719	
437076-AT-9	HOME DEPOT INC		06/10/2020 .	Call @ 100.00		2,800,000	2,800,000	2,733,332	2,794,280		3,674		3,674		2,797,954		2,046	2,046		09/15/2020
456873-AA-6	INGERSOLL-RAND LUXEMBOURG FINANCE SA	. C	04/08/2020 .	Call @ 100.00		6,000,000	6,000,000	6,070,380	6,003,622		(3,622)		(3,622)		6,000,000		0.040	0.040		05/01/2020
460146-CJ-0 46639G-AL-0	INTERNATIONAL PAPER CO JPMMT 2013-1 1A2 - CMO/RMBS		12/09/2020 .	Call @ 100.00 Paydown		2,228,840 912,941	2,000,000 912,941	1,992,520 873,407	1,996,359 887,069		728 25,872				1,997,087 912,941		2,913	2,913		06/15/2024
46640B-AC-8	JPMMT 2013-1 1A2 - CMO/RMBS		12/01/2020 .	Paydown	†	916,768	912,941	937.395	934,793		(18,026)		(18,026)	-	912,941	l		·····		05/25/2043
46644V-BK-1	JPMMT 154 2A3 - CMO/RMBS	1	12/01/2020 .	Paydown		1,974,750	1,974,750	1,970,737	1,970,763				3,987		1,974,750	<u> </u>				06/26/2045
478375-AD-0	JOHNSON CONTROLS INTERNATIONAL PLC	C	03/30/2020 .	Maturity @ 100.00		3,000,000	3,000,000	3.170.347	3,013,297		(13, 297)		(13,297)		3,000,000				75,000	
50075N-BA-1	MONDELEZ INTERNATIONAL INC		.02/10/2020	Maturity @ 100.00		6,000,000	6,000,000	7,215,000	6,020,872		(20,872)		(20,872)		6,000,000					02/10/2020
50077L-AS-5	KRAFT HEINZ FOODS CO		09/02/2020 .	GOLDMAN		1,076,270	1,000,000	998,030	998,598		259		259		998,857	ļ	77,413	77,413	28,778	06/15/2023
548661-CQ-8	LOWES CO INC		04/15/2020 .	Maturity @ 100.00		5,000,000	5,000,000	5,099,150	5,000,000						5,000,000					04/15/2020
58155Q-AD-5	MCKESSON CORP		11/25/2020 .	Call @ 100.00		1,000,000	1,000,000	1, 103, 170	1,015,605		(15,605)		(15,605)		1,000,000					03/01/2021
585055-BC-9	MEDTRONIC INC		10/29/2020	Call @ 100.00		2,218,600	2,000,000	1,996,120	1,998,278		318		318		1,998,596		1,404	1,404		03/15/2024
60689L-AE-5	MMAF 2013-A A5 - ABS		05/11/2020 .	Paydown		1,666,983	1,666,983	1,666,879	1,666,981		2		2		1,666,983					06/09/2033
61691A-BJ-1 63941J-AA-6	MSC 2015-UBS8 ASB - CMBS		12/01/2020 . 12/15/2020 .	Paydown				91,924 1,811,231	90,431		(1,183))	(1, 183)						3,223	
67181D-AA-9	OAKIG 201 A1 - CMBS		12/13/2020 .	Paydown		10, 173	1,611,236	1,611,231	1,011,204		4				1,611,236				20,339	10/13/2006
687846-AE-5	OSCAR 2017-1 A4 - ABS	D	12/10/2020	Paydown		1,019,487	1,019,487	1,019,391	1,019,438		49		49		1,019,487					05/10/2024
68784A-AE-6	OSCAR 172 A4 - ABS	D	12/10/2020	Paydown		302,730	302,730				21		21		302,730					12/10/2024
68784U-AE-2	OSCAR V A4 - ABS		12/15/2020	Paydown		2,875,276	2,875,276	2,863,371	2,869,697		5,579		5,579		2,875,276					12/15/2023
742741-AA-9	PROCTER & GAMBLE PROFIT SHARING TRUST &		07/01/2020 .	Paydown		569,500	569,500	664,436	580,062		(10,562)		(10,562)		569,500					01/01/2021
74340X-AW-1	PROLOGIS LP		09/02/2020	Call @ 100.00		3,093,971	2,784,000	3,036,648	2,939,143		(30,553)		(30,553)		2,908,590		(124,590)	(124,590)		08/15/2023
758202-AK-1	RELX CAPITAL INC		01/27/2020 .	Call @ 100.00		2,077,160	2,000,000	1,926,580	1,973,686		713		713		1,974,399		25,601	25,601	95,389	
78355H-KA-6	RYDER SYSTEM INC		07/29/2020 .	Call @ 100.00		4,982,000	4,982,000	5,008,704	4,985,348		(3,348))	(3,348)		4,982,000				132,092	
78472C-AA-6 81745B-AB-1	SCLP 2019-1 A - ABS		12/25/2020 .	Paydown		8, 196, 668 813, 220	8, 196, 668 813, 220	8, 196, 649 813, 927	8, 196,663 813.374		(153)		(153)		8, 196, 668 813, 220					02/25/2028
822804-AJ-9	SAFT 2013-0 A2 - CMO/RMBS		12/01/2020	Paydown		906,496	906,496				22,973		22,973		906,496					07/31/2043
82481L-AB-5	SHIRE ACQUISITIONS INVESTMENTS IRELAND D	C	08/03/2020	Call @ 100.00		7,607,227	7,445,000	7,450,496	7.446.934		(704)		(704)		7 . 446 . 231		(1,231)	(1,231)		09/23/2021
827304-AA-4	SLVRR 2019-1 A - ABS	C	12/15/2020	Pavdown		132.003	132.003	132.003	132.003			,	(,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,		132.003		(1,201)	(1,201)		07/15/2044
83405R-AB-3	SCLP 2018-1 A2 - ABS		12/25/2020	Paydown		9,597,384	9,597,384	9,558,421	9,579,252		18,132		18, 132		9,597,384					02/25/2027
85208H-AA-1	SPRTE 2017-1 A - ABS	. C	12/15/2020 .	Paydown	.	136,786	136,786	136,274	136,392	l	394		394	ļ[136,786	ļ		ļ [2,251	12/15/2037
854502-AD-3	STANLEY BLACK & DECKER INC		11/27/2020 .	Call @ 100.00		2,097,064	2,000,000	2,050,560	2,015,857		(5,013)		(5,013)		2,010,845		(10,845)	(10,845).	160,058	
86803N-AA-5	SNSTR 181 A - ABS		11/20/2020 .	Paydown		191,371	191,371	191,316	191,315		56		56		191,371				6,524	
87305Q-CK-5	TTX CO		06/15/2020 .	Maturity @ 100.00		5,000,000	5,000,000	4,998,100	4,999,826		174		174		5,000,000					06/15/2020
87612E-AV-8	TARGET CORP		01/23/2020 .	Call @ 100.00	-	5,050,074	5,000,000	4,985,650	4,999,094		123		123	}	4,999,217	}	783	783		07/15/2020
88315L-AA-6 88315L-AE-8	TMCL 2018-1 A - RMBS		09/21/2020 .	Paydown		1,816,654	1,816,654 485,393	1,795,181	1,795,884		20,770		20,770		1,816,654 485,394					07/20/2043
89147L-J*-2	TORTOISE ENERGY INFRASTRUCTURE CORPORATI	· · · · · · · · · · · · · · · · · · ·	12/20/2020 .	Call @ 100.00	-	2,873,247	2.844.800	2,860,361	2.861.144		(775)		(775)		2.860.369	····	(15.569)	(15.569)		06/14/2025
89613D-AA-1	TAH 2017-SFR1 A - RMBS	-	10/01/2020	Paydown		2,675,247	2,844,800	2,600,501	26,557		15		15		2,000,509		(15,509)	(10,003)	446	
89679H-AN-5	TCF 182 A - ABS	1	09/21/2020 .	Paydown		2,550,000	2,550,000	2,549,091	2,549,123		877		877		2,550,000				76,991	
89680H-AA-0	TCF 2020-1 A - ABS		12/20/2020 .	Paydown		106,256	106,259	106,240			17		17		106,256				367	09/20/2045
90931L-AA-6	UNITED AIRLINES INC - ABS		07/07/2020 .	Paydown		150,857	150,857	151,080	151,055		(198)		(198)		150,857			ļ [01/07/2030
91324P-CM-2	UNITEDHEALTH GROUP INC		07/15/2020 .	Maturity @ 100.00		15,447,000	15,447,000	15,389,537	15,425,823		21 , 177	ļ	21, 177		15,447,000	ļ				07/15/2020
91830M-AA-4	VNDO 2013-PENN A - CMBS		11/17/2020 .	Paydown	-	2,000,000	2,000,000	2,059,993	2,006,838		(6,838))	(6,838)		2,000,000	ļ				12/14/2029
92211M-AC-7	VDC 2018-1 A2 - ABS		10/05/2020 .	Paydown	-	2,053,741	1,963,333	1,963,333	1,963,333						1,963,333					02/16/2043
92211M-AE-3	VDC 2018-2 A2 - ABS		12/15/2020 .	Paydown		30,000 947,627	30,000	30,000	30,000		(6,874)	·	(6.074)		30,000	····		······	682	
92938E-AT-0 931142-CZ-4	WFRBS 2013-C16 ASB - CMBS	-	12/01/2020 .	Paydown		5,000,000	947,627 5,000,000	976,049 4.867.050	954,501 4,987,314		(6,874)		(6,874)		947,627	<u> </u>			22, 126	
94106L-BH-1	WASTE MANAGEMENT INC		10/25/2020 .			3,030,000	3,000,000	4,867,050	2.999.303		12,080		12,000			····	643	643		
94354K-AA-8	WAAV 191 A - ABS		12/15/2020	Pavdown		139.023	139.023	139.017	2,999,303		6		6		139.023					09/15/2044
94946D-AA-9	WLKRG 2019-A A - RMBS		12/15/2020	Paydown		828,990	828,990	828,947	828,949		41		41		828,990					06/15/2038
97063Q-AA-0	WESTF 2017-A A - ABS		12/15/2020 .	Paydown		208,691	208,691	214,960	208,552		139		139		208,691					08/15/2042
976657-AL-0	WEC ENERGY GROUP INC		12/31/2020 .	Call @ 100.00		364,017	323,000	323,756	323,432		(75))	(75)		323,357		(357)	(357)	6,736	06/15/2025
982526-AQ-8	WM WRIGLEY JR CO		110/21/2020	Maturity @ 100.00	1	2,000,000	2,000,000	1,998,020	1,999,769		231	L	231	L	2,000,000	L	L	L		10/21/2020

SCHEDULE D - PART 4

Showing All Long-Term Bonds and Stocks SOLD, REDEEMED or Otherwise DISPOSED OF During Current Year

1	2	6	7	8	9	10		Change In Bo	ok/Adjusted (Carrying Value	9	16	17	18	19	20	21			
										11	12	13	14	15						
														Total						
												Current	Total	Foreign					Bond	
												Year's	Change in	Exchange	Book/				Interest/	
									Prior Year		Current	Other-	Book/	Change in	Adjusted	Foreign			Stock	Stated
									Book/	Unrealized	Year's	Than-	Adjusted	Book/	Carrying	Exchange			Dividends	Con-
CUSIP		_			Number of	_			Adjusted	Valuation	(Amor-	Temporary	Carrying	Adjusted	Value at	Gain	Realized	Total Gain	Received	tractual
Identi-			Disposal	Name	Shares of	Con-			Carrying	Increase/	tization)/	Impairment	Value	Carrying	Disposal	(Loss) on	Gain (Loss)		During	Maturity
fication	Description	eign		of Purchaser	Stock	sideration	Par Value	Actual Cost	Value	Decrease	Accretion	Recognized	(11+12-13)	Value	Date	Disposal	on Disposal	Disposal	Year	Date
3899999. St	ubtotal - Bonds - Industrial and Misc	ellane	eous (Unaffil	iated)		276,731,417	273,704,567	277, 135, 120	272, 112, 557		(145,210)		(145,210)		273,929,163		(375, 162)	(375, 162)	9,394,488	XXX
8399997. To	otal - Bonds - Part 4					280,413,807	277,386,957	280,816,371	274,321,147		(167,687)		(167,687)		277,611,553		(375, 162)	(375, 162)	9,449,756	
8399998. To	otal - Bonds - Part 5																			XXX
8399999. To	otal - Bonds					280,413,807	277,386,957	280,816,371	274,321,147		(167,687)		(167,687)		277,611,553		(375, 162)	(375, 162)	9,449,756	XXX
8999997. To	otal - Preferred Stocks - Part 4						XXX													XXX
8999998. To	otal - Preferred Stocks - Part 5						XXX													XXX
8999999. To	otal - Preferred Stocks						XXX													XXX
9799997. To	otal - Common Stocks - Part 4						XXX													XXX
9799998. To	otal - Common Stocks - Part 5						XXX													XXX
9799999. To	otal - Common Stocks						XXX													XXX
9899999. Total - Preferred and Common Stocks						XXX													XXX	
9999999 - T	otals					280,413,807	XXX	280,816,371	274,321,147		(167,687)		(167,687)		277,611,553		(375, 162)	(375, 162)	9,449,756	XXX

Schedule D - Part 5 - Long Term Bonds and Stocks Acquired and Fully Disposed Of **NONE**

Schedule D-Part 6-Section 1-Valuation of Shares of Subsidiary, Controlled or Affiliated Companies

NONE

Schedule D - Part 6 - Section 2

NONE

Schedule DA - Part 1 - Short-Term Investments Owned

NONE

Schedule DB - Part A - Section 1 - Options, Caps, Floors, Collars, Swaps and Forwards Open NONE

Schedule DB - Part A - Section 2 - Options, Caps, Floors, Collars, Swaps and Forwards Terminated **NONE**

Schedule DB - Part B - Section 1 - Futures Contracts Open

NONE

Schedule DB - Part B - Section 1B - Brokers with whom cash deposits have been made **NONE**

Schedule DB - Part B - Section 2 - Futures Contracts Terminated **N O N E**

Schedule DB - Part D - Section 1 - Counterparty Exposure for Derivative Instruments Open NONE

Schedule DB - Part D-Section 2 - Collateral for Derivative Instruments Open - Pledged By NONE

Schedule DB - Part D-Section 2 - Collateral for Derivative Instruments Open - Pledged To **NONE**

Schedule DB - Part E - Derivatives Hedging Variable Annuity Guarantees as of December 31 of Current Year

NONE

Schedule DL - Part 1 - Reinvested Collateral Assets Owned

NONE

Schedule DL - Part 2 - Reinvested Collateral Assets Owned NONE

SCHEDULE E - PART 1 - CASH

1	2	3	4	5	6	7
			Amount of Interest	Amount of Interest		
		Rate of		Accrued December 31		
Depository	Code	Interest	Year	of Current Year	Balance	*
Bank of America Covina, CA					341,071	XXX
JP Morgan New York, NY					14,528,044	
US Bank Seattle, WA					545,611	XXX
0199998 Deposits in 1 depositories which do not exceed the						
allowable limit in any one depository (See instructions) - open						
depositories	XXX	XXX			72, 137	XXX
0199999. Totals - Open Depositories	XXX	XXX			15,486,863	XXX
0299998 Deposits in depositories which do not exceed the						
allowable limit in any one depository (See instructions) - suspended						
depositories	XXX	XXX				XXX
0299999. Totals - Suspended Depositories	XXX	XXX				XXX
0399999. Total Cash on Deposit	XXX	XXX			15,486,863	XXX
0499999. Cash in Company's Office	XXX	XXX	XXX	XXX		XXX
					•••••	
					• • • • • • • • • • • • • • • • • • • •	
				•		
0599999 Total - Cash	XXX	XXX			15,486,863	XXX

TOTALS OF DEPOSITORY BALANCES ON THE LAST DAY OF EACH MONTH DURING THE CURRENT YEAR

1.	January	(4, 152, 853)	4.	April	33,328,518	7.	July	98,308,808	10.	October	76,703,731
2.	February	(3,987,030)	5.	May	59,436,584	8.	August	106,687,365	11.	November	40,034,765
3.	March	5,916,571	6.	June	88,679,969	9.	September	115,975,729	12.	December	15,486,863

8899999 - Total Cash Equivalents

ANNUAL STATEMENT FOR THE YEAR 2020 OF THE First Symetra National Life Insurance Company of New York

SCHEDULE E - PART 2 - CASH EQUIVALENTS

	Show Investment		d December 31 of 0		LIVIO			
1	2	3	4	5	6	7 Book/Adjusted Carrying Value	8 Amount of Interest	9 Amount Received
CUSIP	Description	Code	Date Acquired	Rate of Interest	Maturity Date	Carrying Value	Due and Accrued	During Year
			·····					
		J.,						
		.		ļ			ļ	
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		.						

Book/Adjusted	Carrying Value by NAIC	Designation Category Foot	note:			
1A\$	1B\$	1C\$	1D\$	1E\$	1F\$	1G\$
2A\$	2B\$	2C\$				
3A\$	3B\$	3C\$				
4A\$	4B\$	4C\$				
5A\$	5B\$	5C\$				
6 6						

SCHEDULE E - PART 3 - SPECIAL DEPOSITS

Г		1	2	Deposit	s For the		
		'	2		Policyholders	All Other Spe	cial Deposits
				3	4	5	6
	O	Type of		Book/Adjusted		Book/Adjusted	
	States, Etc.	Deposit	Purpose of Deposit	Carrying Value	Fair Value	Carrying Value	Fair Value
	AlabamaAL						
2.	AlaskaAK						
3.	ArizonaAZ						
4.	ArkansasAR	· · · · · · · · · · · · · · · · · · ·					
5.	CaliforniaCA						
6.	ColoradoCO						
7.	ConnecticutCT						
8.	DelawareDE						
9.	District of ColumbiaDC						
10.	FloridaFL						
11.	GeorgiaGA						
12.	HawaiiHI						
13.							
		· · · · · · · · · · · · · · · · · · ·					
14.	Illinois JL						
15.	IndianaIN						
16.	lowaIA						
17.	KansasKS	ļ				ļ	
18.	KentuckyKY	ļ					
19.	LouisianaLA	ļ					
20.	MaineME						
21.	MarylandMD						
22.	MassachusettsMA						
23.	MichiganMI						
24.	MinnesotaMN						
		· · · · · · · · · · · · · · · · · · ·					
	MississippiMS	·····					
26.	MissouriMO						
27.	MontanaMT						
28.	NebraskaNE						
29.	NevadaNV						
30.	New HampshireNH						
31.	New JerseyNJ						
32.	New MexicoNM						
33.	New YorkNY	В	LIFE INSURANCE			456.486	
34.	North Carolina NC						
35.	North DakotaND						
36.	OhioOH						
37.	OklahomaOK						
38.	OregonOR						
39.	PennsylvaniaPA						
40.	Rhode IslandRI						
41.	South CarolinaSC						
42.	South DakotaSD						
43.	Tennessee TN						
44.	Texas TX						
45.	UtahUT						
		•••••					
46.		•••••					
	VirginiaVA		-			·····	
	WashingtonWA					}	
	West VirginiaWV						
50.	WisconsinWI						
51.	WyomingWY						
52.	American SamoaAS					ļ	
53.	GuamGU						
54.	Puerto RicoPR						
	U.S. Virgin IslandsVI						
56.	Northern Mariana IslandsMP						
57.	CanadaCAN			•			
		~~~		•			
	Aggregate Alien and OtherOT	XXX	XXX			450 400	F01 100
59.	Subtotal	XXX	XXX			456,486	521,438
	DETAILS OF WRITE-INS						
5801.						ļ	
5802.							
		1		•		†i	
J098.	Summary of remaining write-ins for Line 58 from overflow page	XXX	xxx				
5800	Totals (Lines 5801 thru 5803 plus						
5033.	5898)(Line 58 above)	XXX	xxx				
	//						

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